

# *Penetration Mechanics: Analytical Modeling*

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# Acknowledgements



- *Virtually everything I have learned about penetration and armor mechanics occurred during my 34½ years at Southwest Research Institute*
- *Much of the work was funded by DARPA and/or the Army (TARDEC), although there has been funding by the Navy, Air Force, and Ballistic Missile Defense*
- *There also has been internal funding by SwRI*

- *Email from Clive Woodley & Ian Cullis*
  - *Lecture on Terminal Ballistics*
    - ❖ *Cover the history*
    - ❖ *Key developments*
    - ❖ *Main challenges remaining*
- *Decided to narrow the scope and focus on analytical modeling*
  - *Subject to the constraint: the model had to provide considerable insight into the mechanics of penetration*
  - *Major advance and not a modification of an existing model*
    - ❖ *Any errors of omission are solely mine (either did not think that it met the selection criteria, or ignorance on my part)*
    - ❖ *Any misrepresentation my responsibility*

- *Rigid-body penetration – Poncelet Equation*
- *Hydrodynamic theory*
  - *Assumptions*
  - *Results*
- *Modifications to hydro theory*
  - *Shaped-charge jets*
  - *Allen & Rogers*
  - *Chistman and Gehring*

- *Recht-Ipson*
- *Projectile deceleration – Tate-Alekseevski theories*
  - *Target resistance*
  - *Cavity expansion*
- *Rigid-body penetration revisited*
  - *Tate theory*
  - *Forrestal, et al.'s contribution*
    - ❖ *Dynamic cavity expansion*
    - ❖ *The role of friction & temperature effects*
- *Transition from rigid-body to eroding penetration*

- *Ravid-Bodner*
  - *Flow fields*
  - *Failure mechanisms*
  
- *Walker-Anderson*
  - *Flow field*
    - ❖ *Cavity expansion*
    - ❖ *Extent of flow field*
  - *Effective flow stress*
    - ❖ *Similitude analysis*

- *Yarn Impact*
- *Fabric Modeling and Resin-Impregnated Fabrics*
- *Ceramics/Glasses*
  - *Penetration*
  - *Dwell*
  - *Dwell-penetration transition*
- *Summary*

■ *Jean-Victor Poncelet (1788 – 1867)*

- *French engineer and mathematician*
- *Most notable work was in projective geometry*
- *Commanding General of the École Polytechnique*

■ *Poncelet equation describes rigid-body penetration*

$$M \frac{dv}{dt} = -F = -(A + Bv^2)$$

Since rigid body, non-deforming:  
cross-sectional area is a constant

$$\rho_p L \frac{dv}{dt} = -(R_t + bv^2)$$

$R_t$  = static target resistance term



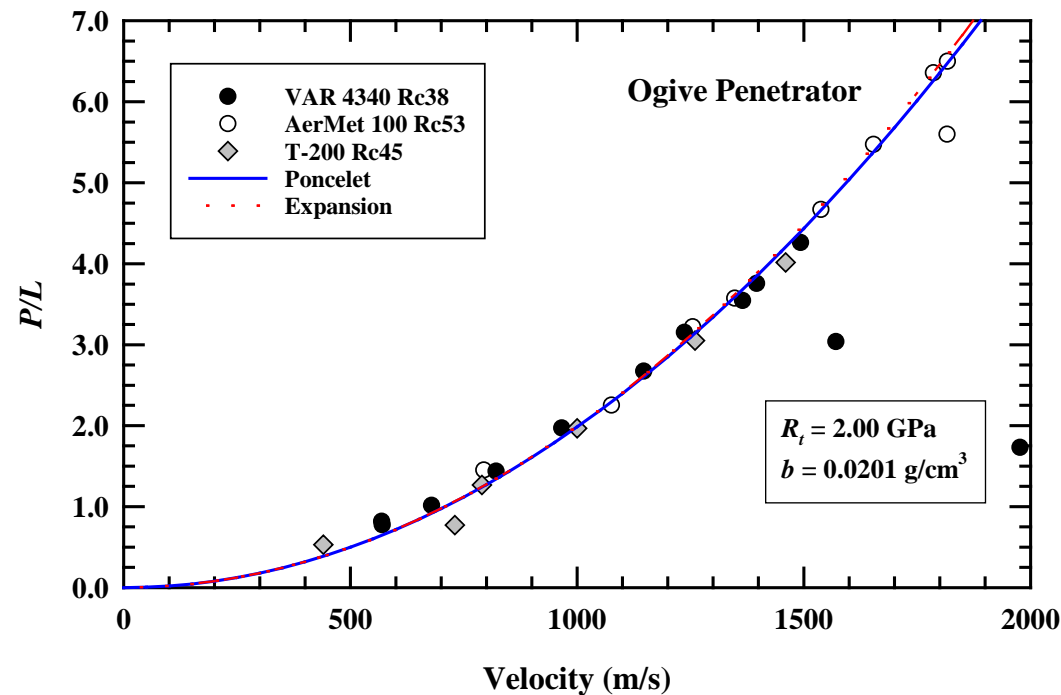
# Poncelet Equation

$$\frac{dv}{dt} = -\frac{1}{\rho_p L} (R_t + b v^2)$$

$$\frac{dv}{dt} = \frac{dv}{dx} \frac{dx}{dt} = v \frac{dv}{dx}$$

$$\frac{1}{\rho_p L} \int_0^P dx = - \int_V^0 \frac{v dv}{R_t + b v^2}$$

$$\frac{P}{L} = \frac{\rho_p}{2b} \ln \left( 1 + \frac{b V^2}{R_t} \right) \xrightarrow{\text{small "x"}} \frac{P}{L} = \frac{\rho_p V^2}{2R_t}$$



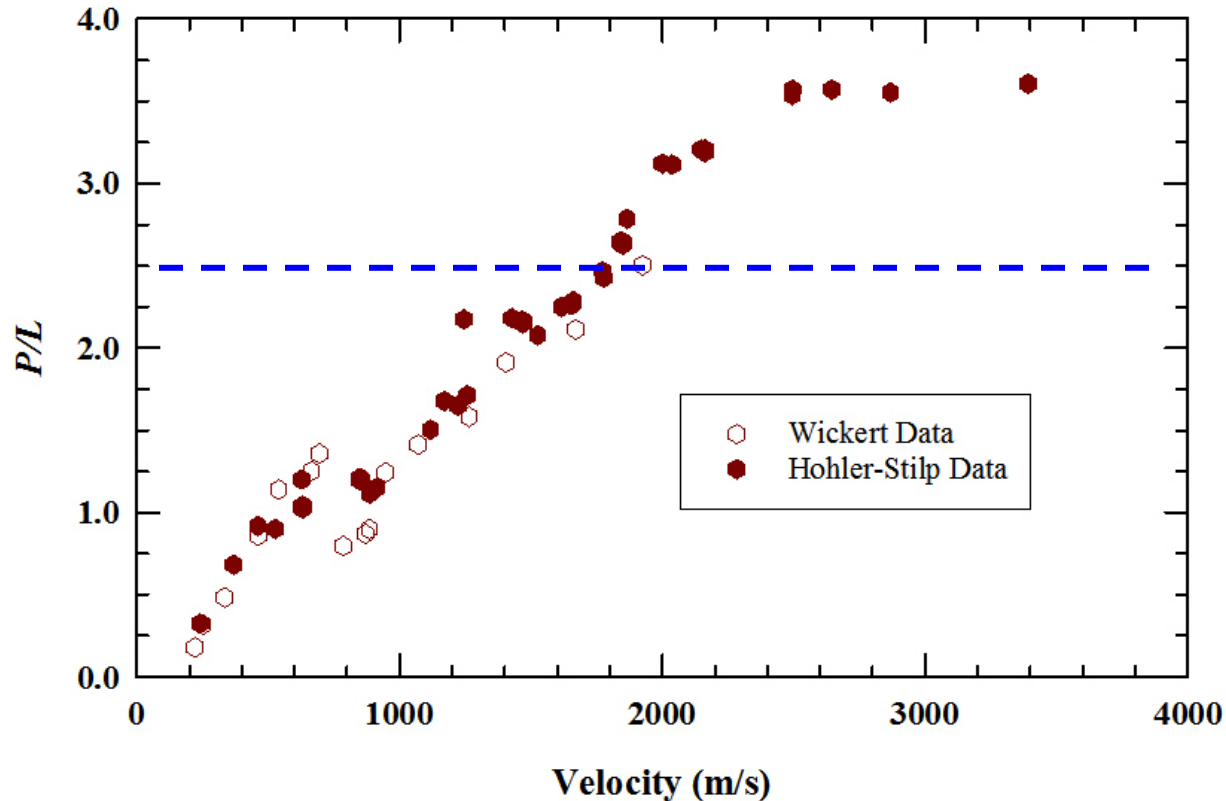
Steel into 6061 aluminum

## ■ Flat-nosed projectiles

HS:  $\rho = 17.0 \text{ g/cm}^3$   
 $\sigma_p = 0.985 \text{ GPa}$

MW:  $\rho = 17.6 \text{ g/cm}^3$   
 $\sigma_p = 1.37 \text{ GPa}$

$$\left(\frac{P}{L}\right)_{hydro} = \left(\frac{17.3}{2.8}\right)^{1/2} = 2.48$$



A. J. Stilp and V. Hohler, "Long rod penetration mechanics," Chapter 5 in *High Velocity Impact Dynamics* (J. A. Zukas, ed.), John Wiley & Sons, NY, NY, 1990.

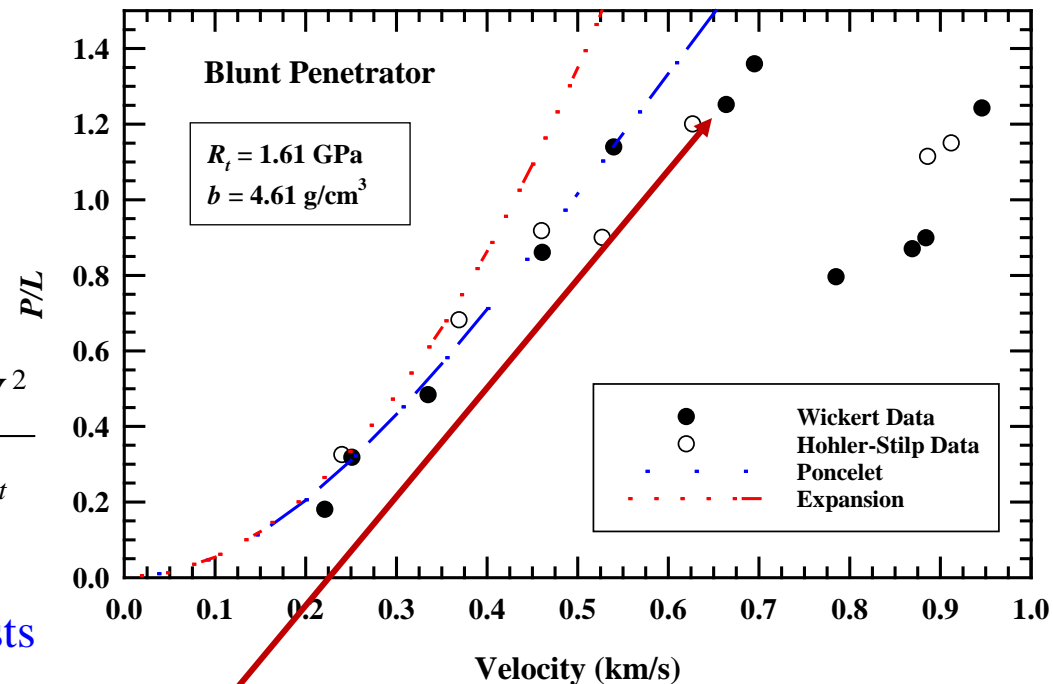
M. Wickert, "Penetration data for a medium caliber tungsten sinter alloy penetrator into aluminum alloy 7020 in the velocity regime from 250 m/s to 1900 m/s," *Proc. 23<sup>rd</sup> Int. Symp. Ballistics*, 2: 1437-1452, (F. Gálvez and V. Sánchez-Gálvez, Eds.), Gráficas Couche, S.L., Madrid, Spain, 2007.

# Poncelet Equation

$$\frac{dv}{dt} = -\frac{1}{\rho_p L} (R_t + b v^2)$$

$$\frac{P}{L} = \frac{\rho_p}{2b} \ln \left( 1 + \frac{b V^2}{R_t} \right) \xrightarrow{\text{small "x"}} \frac{P}{L} = \frac{\rho_p V^2}{2R_t}$$

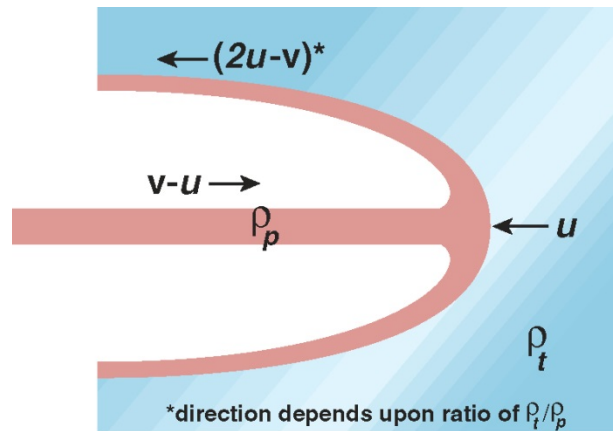
Application of Poncelet solution suggests maybe not rigid-body penetration, i.e., suggestive that projectile is deforming



Tungsten alloy into 6061 aluminum

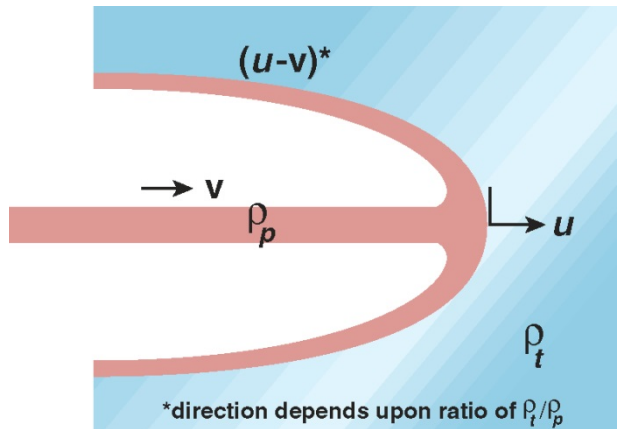
45% decrease in the fitted standard error by dropping these 3 data points

## Hydro Theory



- 
- \*direction depends upon ratio of  $\rho_t/\rho_p$

- *Hydrodynamic: “To a first approximation the strengths and viscosity of target materials can be neglected and the problem can be treated by hydrodynamics.”*
- *Incompressible jet material: “...jet with constant length  $L_o$ , velocity  $V$ , and density  $\rho_p$ ”*
- *Incompressible target material: “penetrating a semi-infinite target of density  $\rho_t$  with a velocity  $u$ ”*
- *Steady state: “... $u$  has reached a constant value.”*



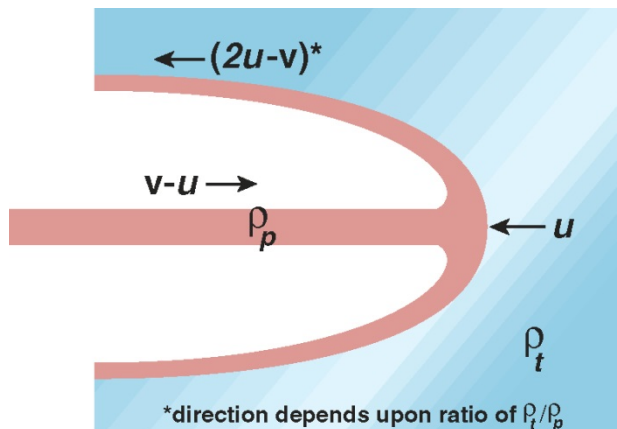
Hydrodynamic

$$\frac{\partial \mathbf{v}}{\partial t} + \frac{1}{2} \nabla (\mathbf{v}^2) - \mathbf{v} \times (\nabla \times \mathbf{v}) = -\frac{1}{\rho} \nabla P$$

$$\frac{1}{\rho} \nabla P = \nabla \left( \frac{P}{\rho} \right)$$

Incompressible

Change Coordination System



$$\cancel{\frac{\partial \mathbf{v}}{\partial t}} + \mathbf{v} \times (\nabla \times \mathbf{v}) = \nabla \left( \frac{1}{2} \mathbf{v}^2 + \frac{P}{\rho} \right)$$

Steady state

Take dot product of both sides with  $\mathbf{v}$

$$\cancel{\mathbf{v} \bullet} [\mathbf{v} \times (\nabla \times \mathbf{v})] = \mathbf{v} \bullet \nabla \left( \frac{1}{2} \mathbf{v}^2 + \frac{P}{\rho} \right)$$

*(Note: A red arrow points from the cancelled term to a red '0' above it.)*

$\mathbf{v} \times (\nabla \times \mathbf{v})$  is perpendicular to  $\mathbf{v}$

$$\mathbf{v} \bullet \nabla \left( \frac{1}{2} \mathbf{v}^2 + \frac{P}{\rho} \right) = 0 \Rightarrow \text{gradient of } \left( \frac{1}{2} \mathbf{v}^2 + \frac{P}{\rho} \right) \text{ is perpendicular to } \mathbf{v}$$

$$\frac{1}{2} \mathbf{v}^2 + \frac{P}{\rho} = \text{constant}$$

hydrodynamic  
incompressible  
steady state

Very important: although has units of specific energy,  
this was derived from the momentum equation.



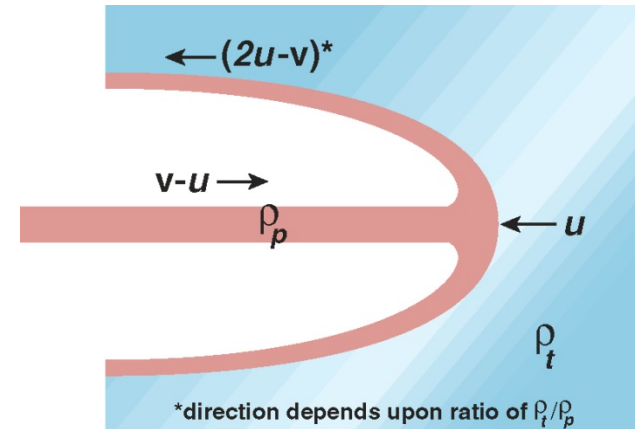
# Bernoulli Equation

$$\frac{\partial}{\partial x} \left( \frac{1}{2} \rho v^2 + P \right) = 0$$

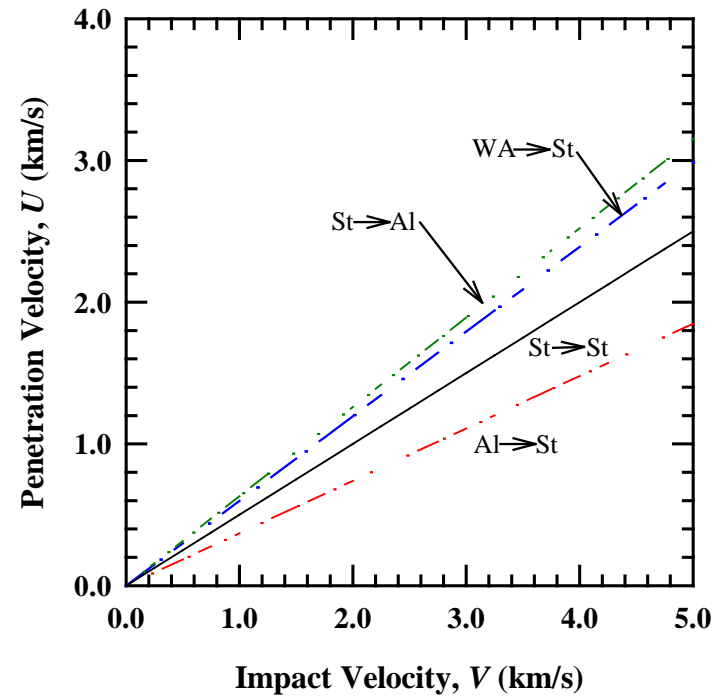
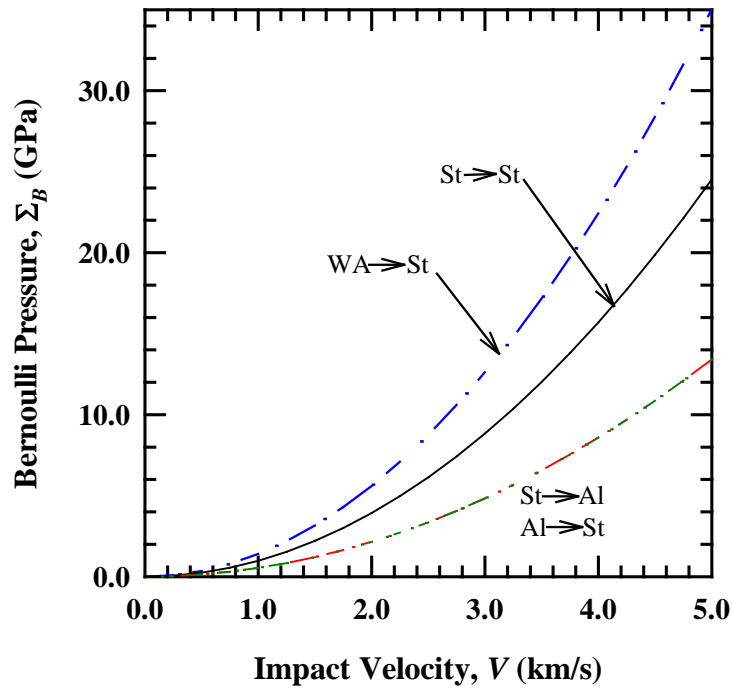
Integrate along the centerline

$$\frac{1}{2} \rho_p (v - u)^2 = \frac{1}{2} \rho_t u^2$$

$$u = \frac{v}{1 + \sqrt{\rho_t / \rho_p}}$$



# Predictions of Theory



C. E. Anderson, Jr. and D. L. Orphal, "Re-examination of the hydrodynamic theory of penetration," *Int. J. Impact Engng.*, **35**(12): 1386-1392, 2008.

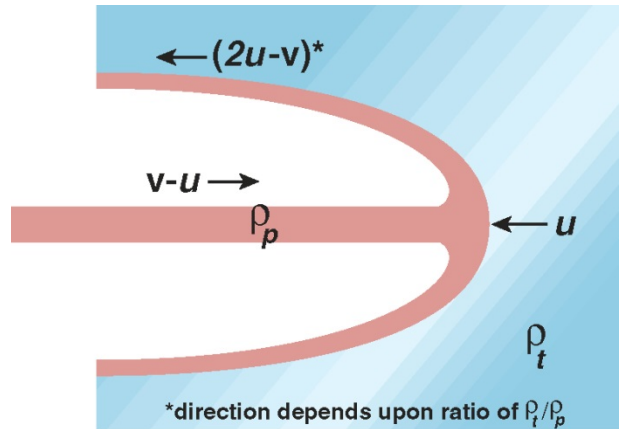
- *Additional assumptions required to derive the equation for the penetration depth:*
  - *Shock phase can be neglected: “...steady state is reached instantaneously”*
  - *No terminal phase of penetration: “...penetration stops as soon as the last particle of jet has struck the target”*

- *Since penetration is steady state, time of penetration:*

$$t = \frac{L}{v - u}$$

- *Penetration depth:  $P = ut$*

# Hydrodynamic Penetration Depth of Penetration



$$\frac{1}{2} \rho_p (v - u)^2 = \frac{1}{2} \rho_t u^2$$

$$u = \frac{v}{1 + \sqrt{\rho_t / \rho_p}}$$

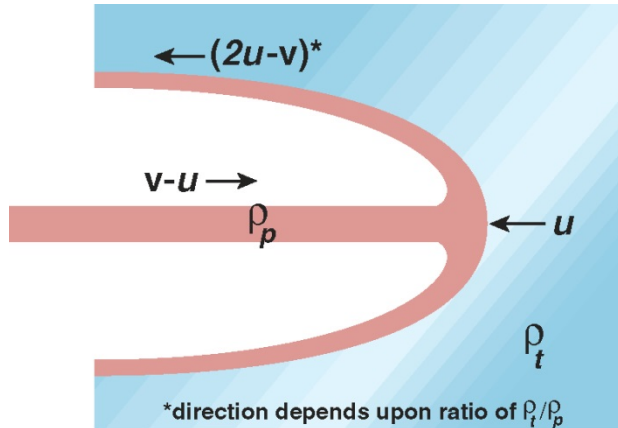
$$P = ut$$

$$t = \frac{L}{v - u}$$

$$P = \frac{uL}{v - u}$$

$$\frac{P}{L} = \frac{u}{v - u} = \sqrt{\rho_p / \rho_t}$$

# Bernoulli Equation



$$\frac{1}{2} \rho_p (v - u)^2 = \frac{1}{2} \rho_t u^2$$

$$u = \frac{v}{1 + \sqrt{\rho_t / \rho_p}}$$

$$\frac{P}{L} = \sqrt{\rho_p / \rho_t}$$

Steady state  
Incompressible  
Hydrodynamic

- 1956 Eichelberger: Need to account for target strength effects

$$\frac{1}{2} \rho_p (v - u)^2 = \frac{1}{2} \rho_t u^2 + \sigma$$

$$\sigma = \sigma_t - \sigma_p$$

$\sigma$ : resistance to plastic deformation  
taken to be 1 to 3 times uniaxial yield  
stress

# Modified Bernoulli Model

$$\frac{1}{2} \rho_p (v-u)^2 = \frac{1}{2} \rho_t u^2 + \sigma$$

$$\mu = \left( \frac{\rho_t}{\rho_p} \right)^{1/2}$$

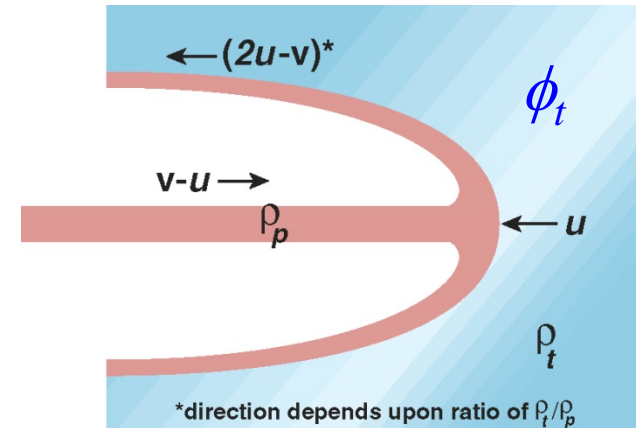
$$u = \frac{v - \mu \left( v^2 + 2(1 - \mu^2) \sigma / \rho_t \right)^{1/2}}{1 - \mu^2} \quad \rho_p \neq \rho_t$$

$$u = \frac{v}{2} - \frac{\sigma}{\rho v} \quad \rho_p = \rho_t$$

## Allen & Rogers (1961)

$$\frac{1}{2} \rho_p (v-u)^2 = \frac{1}{2} \rho_t u + \phi_t$$

- Shot 6 different projectile materials into 7075-T6 aluminum Au, Pb, Cu, Sn, Al, Mg
- Called  $\phi_t$  a dynamic yield strength of a solid target relative to a fluid jet
- $\phi_t = 3.9 Y_t \quad Y_t = 0.48 \text{ GPa}$   
 $\phi_t \sim 1.87 \text{ GPa}$
- Found the  $\phi_t$  had to be written as a function of impact velocity to reproduce final depth of penetration



W. A. Allen and J. W. Rogers, "Penetration of a rod into a semi-infinite target," *J. Franklin Inst.*, **272**: 275-284, 1961.

$$\frac{1}{2} \rho_p (v - u)^2 = \frac{1}{2} \rho_t u^2 + \sigma$$

- *Applies to relatively weak projectiles*
- *Assumption: projectile is completely consumed, i.e., no projectile remains at bottom of penetration channel*
- *Above assumption true only for very high velocity impacts*



- Christman and Gehring described the phases of penetration for high-velocity impact

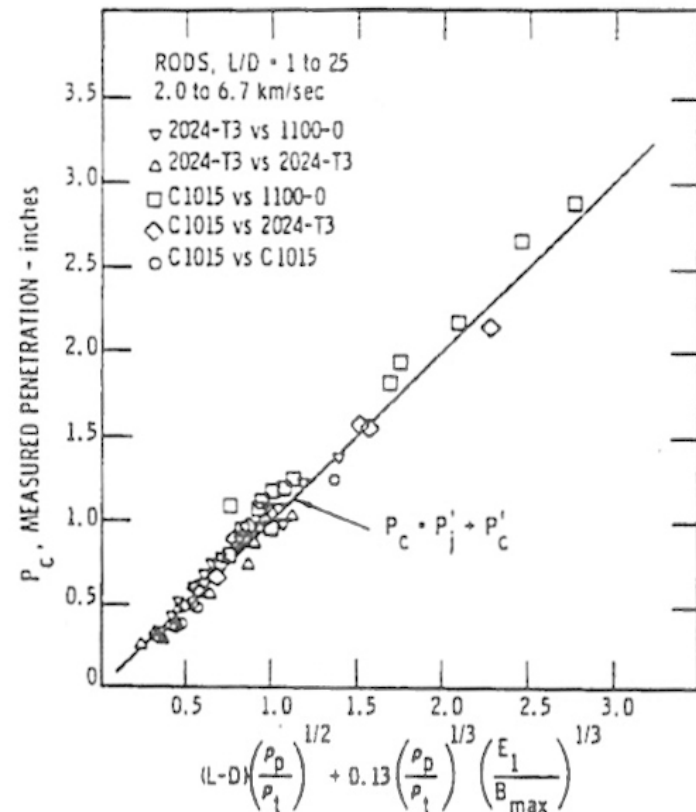
- Separated the primary phase from the secondary (transient) phase

$$P = (L - D) \left( \frac{\rho_p}{\rho_t} \right)^{1/2} + P_c$$

- $P_c$  is the crater depth obtained for a rod of  $L/D = 1$

- Correlated  $P_c$  with experimental data

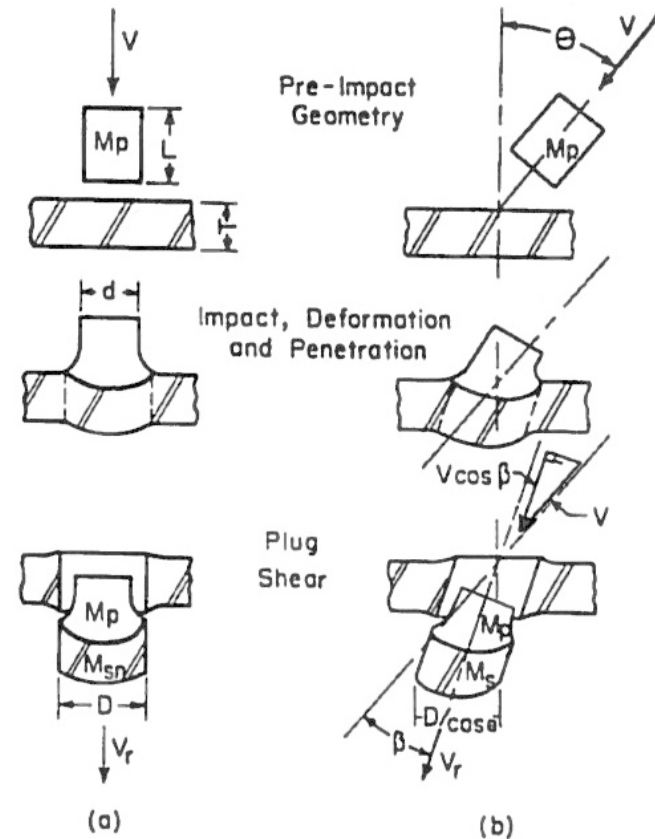
$$P_c = 0.13 \left( \frac{\rho_p}{\rho_t} \right)^{1/3} \left( \frac{\frac{1}{2} m v^2}{B_{\max}} \right)^{1/3}$$



D. R. Christman and J. W. Gehring, "Analysis of high-velocity projectile penetration mechanics," *J. Appl. Phys.*, **37**(4): 1579-1587, 1966.

- *Perforation of plates by blunt projectiles (fragments)*
  - *Normal and oblique impact*
- *Assumptions*
  - *Relatively chunky projectiles*
  - *Relatively thin plates*

$$\left[ \frac{T}{L} < \frac{1}{2}; \frac{T}{d} < \frac{1}{2} \right]$$
  - *Projectiles do not deform excessively (no erosion)*

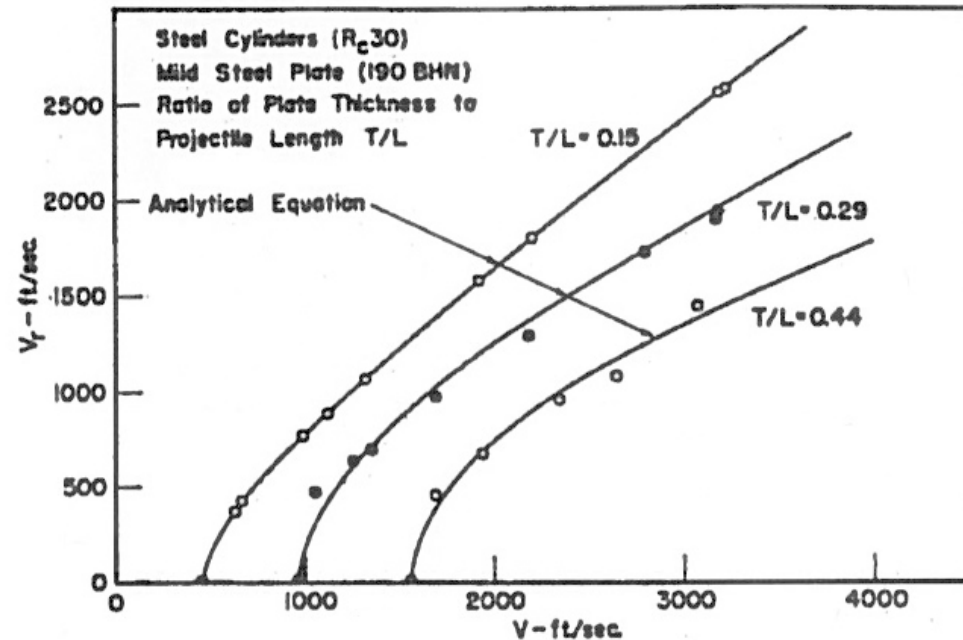


R. F. Recht and T. W. Ipson, "Ballistic perforation dynamics," *J. Appl. Mech.*, Sept.: 384-390, 1963.

- Observation: a plate plug is ejected
- Conservation of momentum and energy
  - Work required to shear the plug from the target is related to  $V_{50}$

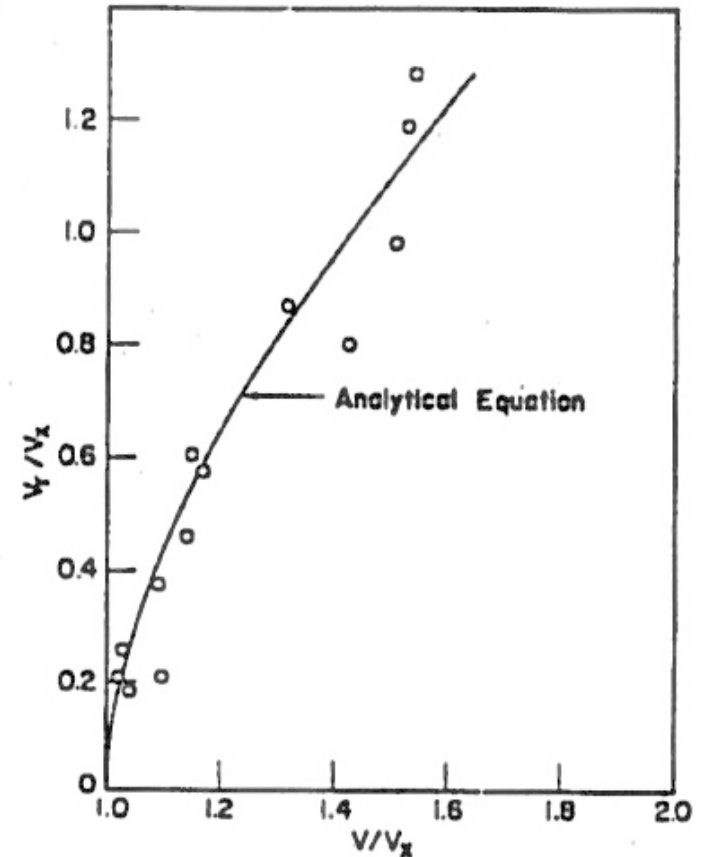
$$V_r = \frac{M_p}{M_p + M_{sn}} (V^2 - V_{50}^2)^{1/2}$$

$$V_r = \frac{1}{1 + \frac{\rho_t}{\rho_p} \left( \frac{D}{d} \right)^2 \frac{T}{L}} (V^2 - V_{50}^2)^{1/2}$$



R. F. Recht and T. W. Ipson, "Ballistic perforation dynamics," *J. Appl. Mech.*, Sept.: 384-390, 1963.

- Made engineering estimates for  $V_{50}$
- Oblique impact
  - Line-of-sight thickness
  - Angular change in fragment direction
- Thick plates perforated by cylinders
  - Problem is the plug mass; experimentally determined
- Plates perforated by AP projectiles (no plug)



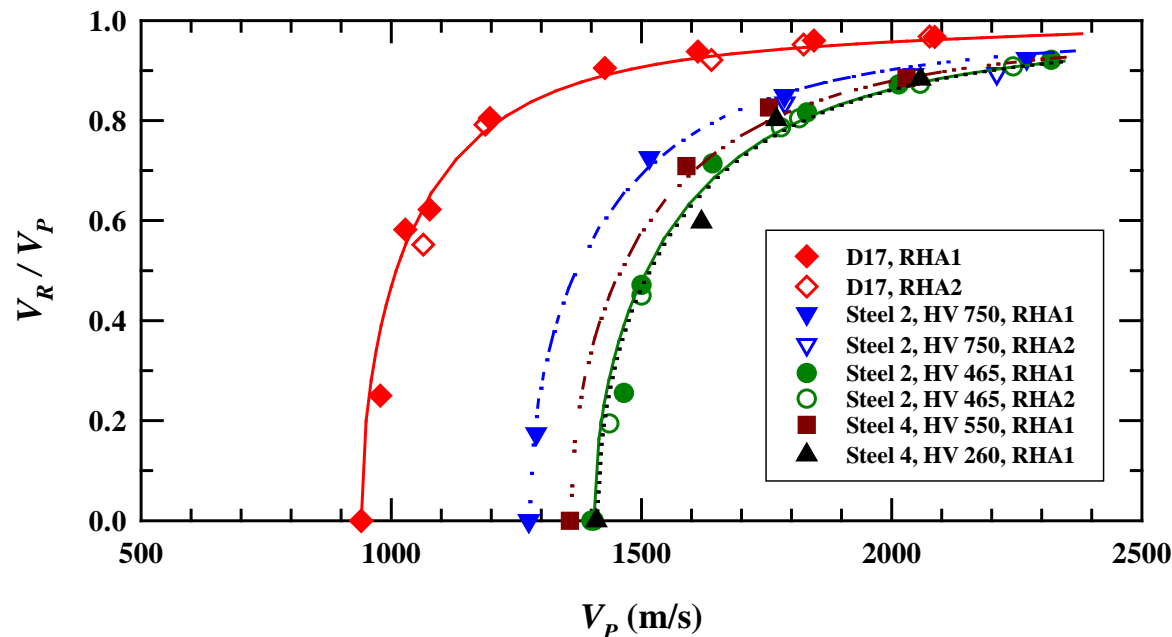
$$\frac{V_r}{V_{50}} = \left( \frac{V^2}{V_{50}^2} - 1 \right)^{1/2}$$

- *Energy is conserved, but:*
  - *It is difficult to account for all the various mechanisms that dissipate energy*
  - *The proportion of energy dissipation (energy transfer mechanisms) changes with impact velocity*
  - *In particular, as the impact velocity increases, the projectile kinetic energy is transferred to the target in terms of target kinetic energy and elastic compression energy; this compression energy is dissipated by plastic work at later times\**
    - ❖ *Walker demonstrated\*\* that it is the transfer of this energy at the time of penetration that defines the forces on the projectile*
    - ❖ *For energy rate balance to be successful, must include transfer of energy stored in the target as elastic compression*
- *Conservation of energy can be useful in analytical modeling, but generally over a limited velocity range and/or target-projectile configuration*

\*C. E. Anderson, Jr., D. L. Littlefield, and J. D. Walker, "Long-rod penetration, target resistance, and hypervelocity impact," *Int. J. Impact Engng.*, **14**: 1-14, 1993.

\*\*J. D. Walker, "Hypervelocity penetration modeling: momentum vs. energy and energy transfer mechanisms," *Int. J. Impact Engng.*, **26**: 809-822, 2001.

- Large set of experimental data
  - Tungsten alloy and 6 different steels with different heat treats
  - 17 different projectiles
  - Two different target (armor steel) hardnesses



C. E. Anderson, Jr., V. Hohler, J. D. Walker, and A. J. Stilp, "The influence of projectile harness on ballistic performance," *Int. J. Impact Engng.*, **22**(6): 619-632, 1999.

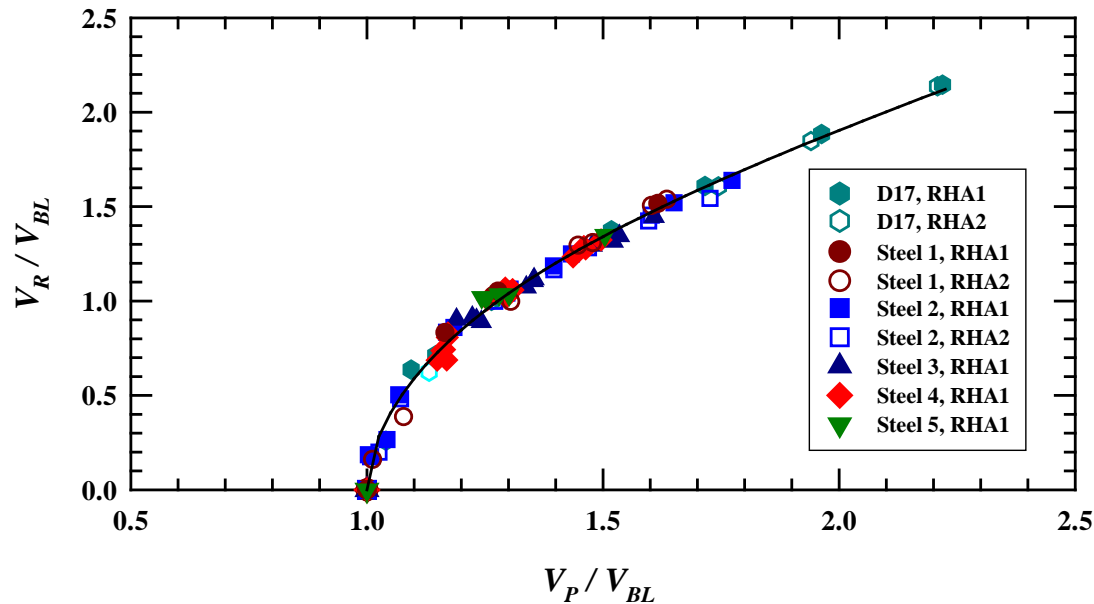
# Scaling of Residual Velocity



$$V_R = \begin{cases} a(V_p^m - V_{BL}^m)^{1/m} & V_P > V_{BL} \\ 0 & V_P \leq V_{BL} \end{cases}$$

$$\frac{V_R}{V_{BL}} = a \left[ \left( \frac{V_P}{V_{BL}} \right)^m - 1 \right]^{1/m}$$

- *Similitude modeling, with correct choice of parameters, permits collapse of data*
- *Demonstrates that a lot of basic information about projectile and target material response is contained in  $V_{BL}$  or  $V_{50}$*
- *However, similitude analysis does not explicitly allow us to determine these relationships*



$$\frac{V_R}{V_{BL}} = \frac{(0.90x^2 + 1.3x + 1.6x^{1/2})}{(x+1)} \quad x = \frac{V_P}{V_{BL}} - 1$$



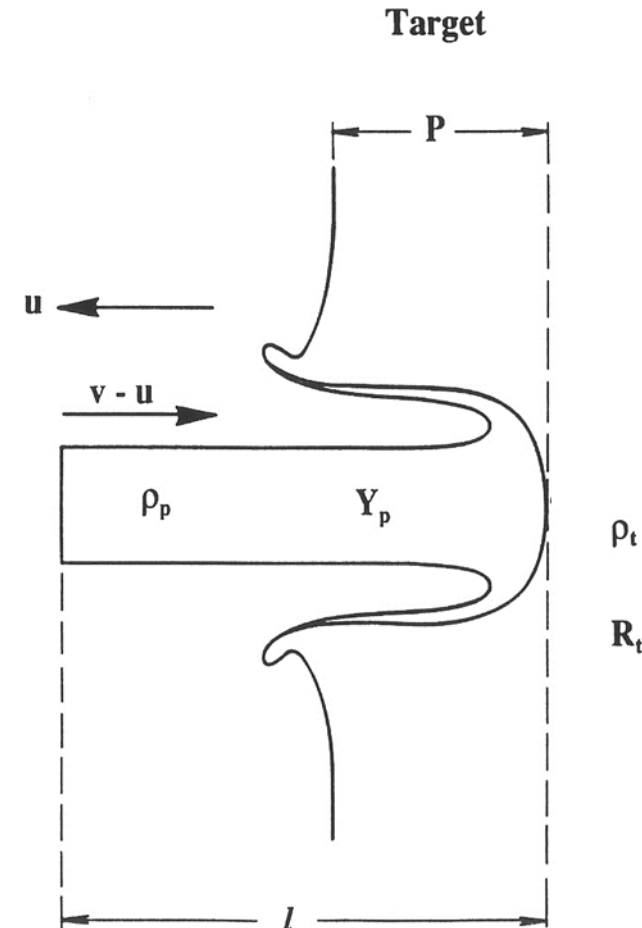
## *Tate Model*

- A. Tate, “A theory for the deceleration of long rods after impact,” *J. Mech. Phys. Solids*, **15**: 387-399, 1967
- A. Tate, “Further results in the theory of long rod penetration,” *J. Mech. Phys. Solids*, **17**: 141-150, 1969.
- A. Tate, K. E. B. Green, P. C. Chamberlain, and R. G. Baker, “Model scale experiments on long rod penetration, *Proc. 4<sup>th</sup> Int. Symp. Ballistics*, 1978.
- A. Tate, “Long rod penetration models—Part I. A flow field model for high speed long rod penetration,” *Int. J. Mech. Sci.*, **28**(8): 535-548, 1986.
- A. Tate, “Long rod penetration models—Part II. Extensions to the hydrodynamic theory of penetration,” *Int. J. Mech. Sci.*, **28**(9): 599-612, 1986.
- A. Tate, “A theoretical estimate of temperature effects during rod penetration,” *Proc. 9<sup>th</sup> Int. Symp. Ballistics*, **2**: 307-314, Shriverhem, UK, 1986.

- Real rods decelerate while penetrating
- Tate (1967, 1969), and Alekseevski (1966) independently postulated a different modified Bernoulli equation

$$\frac{1}{2} \rho_p (v-u)^2 + Y_p = \frac{1}{2} \rho_t u^2 + R_t$$

- $Y_p$ : dynamic flow stress of projectile material
- $R_t$ : target resistance



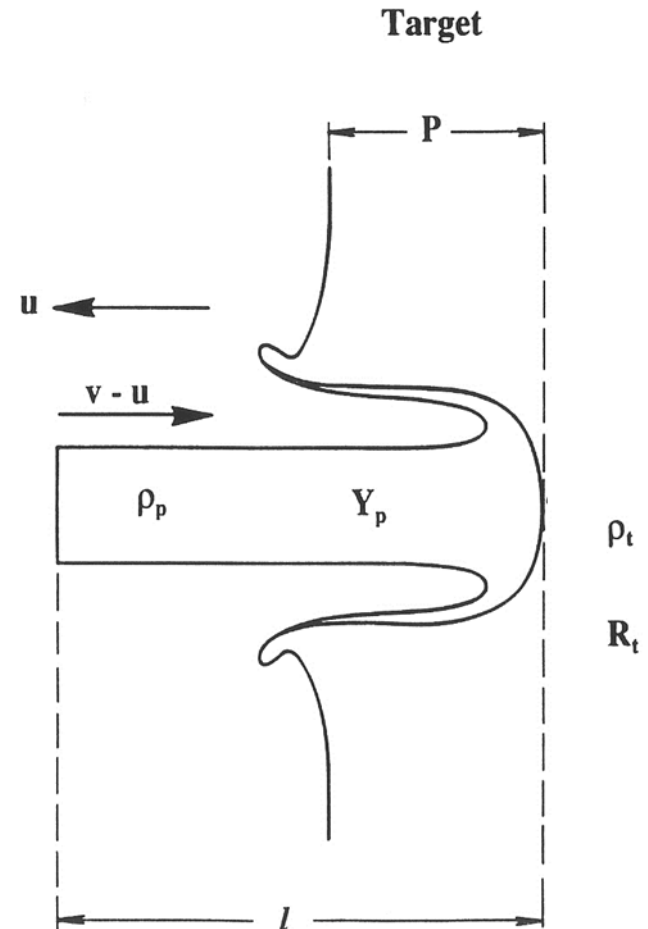
- Force acting to decelerate residual rod  $\ell$  :

$$\rho_p \ell \pi R^2 \frac{dv}{dt} = -\pi R^2 Y_p$$

$$\rho_p \ell \frac{dv}{dt} = -Y_p$$

- Rod is getting shorter as it erodes

$$\frac{d\ell}{dt} = -(v - u)$$

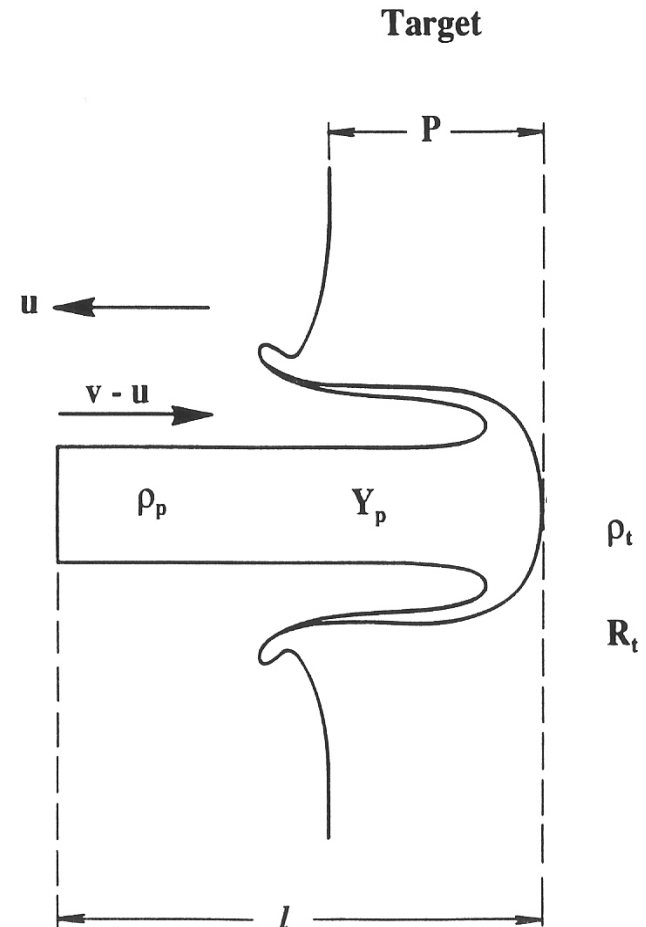


$$\frac{1}{2} \rho_p (v-u)^2 + Y_p = \frac{1}{2} \rho_t u^2 + R_t$$

$$\rho_p \ell \frac{dv}{dt} = -Y_p$$

$$\frac{d\ell}{dt} = -(v-u)$$

Simultaneous solution of  
three equations



$$\frac{1}{2} \rho_p (v - u)^2 + Y_p = \frac{1}{2} \rho_t u^2 + R_t$$

$$u = \frac{v - \mu (v^2 + A)^{1/2}}{1 - \mu^2} \quad \rho_p \neq \rho_t$$

$$\mu = \left( \frac{\rho_t}{\rho_p} \right)^{1/2} \quad A = \frac{2(R_t - Y_p)(1 - \mu^2)}{\rho_t}$$

$$u = \frac{v}{2} - \frac{(R_t - Y_p)}{v \rho} \quad \rho_p = \rho_t$$

Critical Velocity (when  $u = 0$ ):

$$v_c = \left[ \frac{2(R_t - Y_p)}{\rho_p} \right]^{1/2}$$

No penetration below  $v_c$

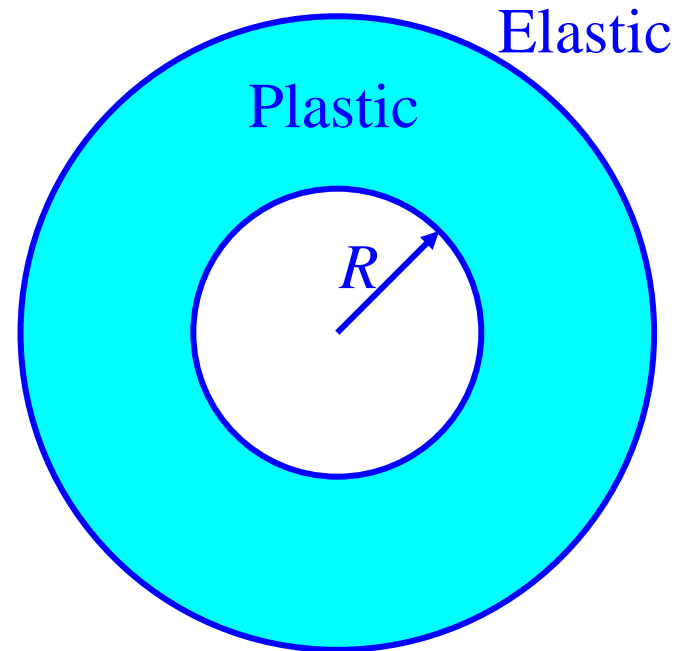
## *How to Estimate $Y_p$ and $R_t$ ?*

$$\frac{1}{2} \rho_p (v - u)^2 + Y_p = \frac{1}{2} \rho_t u^2 + R_t$$

- $Y_p = (1 + \lambda) \sigma_p \quad \sigma_p = 3.92 \bullet \text{BHN} \quad [\text{N/mm}^2]$
- $\lambda \equiv$  a factor to account for dynamic effects
- Use quasistatic cavity expansion to estimate the target resistance  $R_t$

# Cavity Expansion Theory

- Assume an incompressible or compressible plastic region and an elastic region.
- Opening up a cavity from zero radius to  $R$  quasi-statically.
- Find a similarity solution.
- Solution leads to elastic region - plastic region and allows calculation of stress at interface.



R. F. Bishop, R. Hill, and N. F. Mott, "The Theory of Indentation and Hardness," *Proc. Royal Soc.*, **57**(3): 147-159, 1945.

- *Spherical Expansion (incompressible)*

$$P_{\text{sph}} = \frac{2Y_t}{3} \left[ 1 + \ln \left( \frac{E_t}{(1+\nu_t)Y_t} \right) \right] \rightarrow \frac{2Y_t}{3} \left[ 1 + \ln \left( \frac{2E_t}{3Y_t} \right) \right]$$

- *Cylindrical Expansion (incompressible)*

$$P_{\text{cyl}} = \frac{Y_t}{\sqrt{3}} \left[ 1 + \ln \left( \frac{\sqrt{3} E_t}{2(1+\nu_t)Y_t} \right) \right] \rightarrow \frac{Y_t}{\sqrt{3}} \left[ 1 + \ln \left( \frac{\sqrt{3} E_t}{3Y_t} \right) \right]$$

- *Spherical Expansion (compressible)*

$$P_{\text{sph}} = \frac{2Y_t}{3} \left[ 1 + \ln \left( \frac{E_t}{3(1-\nu_t)Y_t} \right) \right]$$

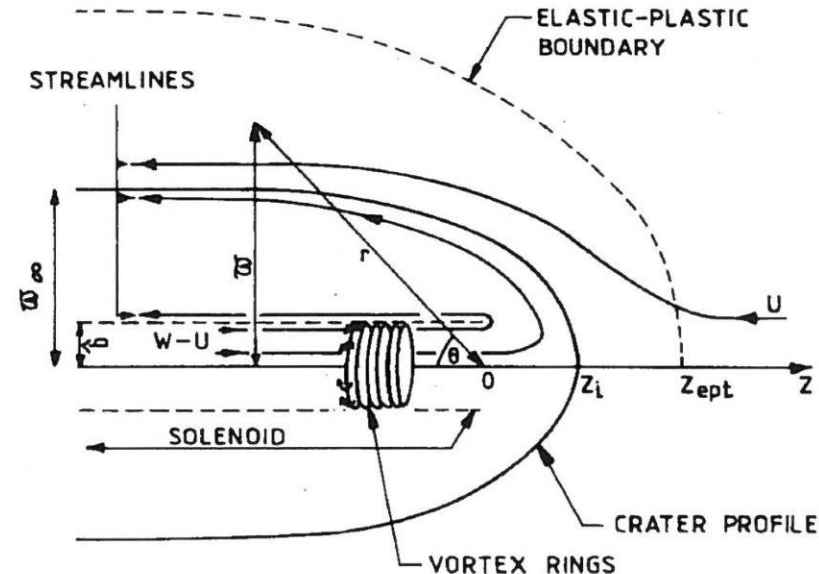
- *Cylindrical Expansion (compressible)*

$$P_{\text{cyl}} = \frac{Y_t}{\sqrt{3}} \left[ 1 + \ln \left( \frac{\sqrt{3} E_t}{6(1-\nu_t)Y_t} \right) \right]$$



## ■ Tate – Solenoidal model

- Inspired by the magnetic flow lines in a solenoid
- Material is incompressible
- The von Mises yield criterion applies
- $J_2$  flow law applies
- When yielding, the materials are perfectly plastic
- No attempt to account for rate effects, microstructural features, etc.



$$P_{\text{Tate}} = Y_t \left[ \frac{2}{3} + \ln \left( \frac{2 E_t}{(4 - e^{-\lambda}) Y_t} \right) \right] \xrightarrow{\lambda = 0.7} Y_t \left[ \frac{2}{3} + \ln \left( \frac{0.59 E_t}{Y_t} \right) \right]$$

# Determination of $Y_p$ and $R_t$

- $Y_p$ : dynamic yield strength of projectile ( $Y_p = 1.7\sigma_p$ )
- $R_t$ : target resistance; assumed constant for a given material

$$R_t = \frac{2Y_t}{3} \left[ 1 + \ln \left( \frac{2E_t}{3Y_t} \right) \right]$$

$$R_t = \frac{Y_t}{\sqrt{3}} \left[ 1 + \ln \left( \frac{\sqrt{3} E_t}{3 Y_t} \right) \right]$$

$$R_t = \frac{2Y_t}{3} \left[ 1 + \ln \left( \frac{E_t}{3(1-\nu_t)Y_t} \right) \right]$$

$$R_t = \frac{Y_t}{\sqrt{3}} \left[ 1 + \ln \left( \frac{\sqrt{3} E_t}{6(1-\nu_t)Y_t} \right) \right]$$

$$R_t = Y_t \left[ \frac{2}{3} + \ln \left( \frac{0.59 E_t}{Y_t} \right) \right]$$

- $R_t$  is the resistance to plastic deformation

■ *Compute  $R_t$  for an armor-like steel*

- $E_t = 200 \text{ GPa}$
- $Y_t = 1.0 \text{ GPa}$

$$R_t = \frac{2Y_t}{3} \left[ 1 + \ln \left( \frac{2E_t}{3Y_t} \right) \right] = 3.93 \text{ GPa}$$

$$R_t = \frac{Y_t}{\sqrt{3}} \left[ 1 + \ln \left( \frac{\sqrt{3} E_t}{3 Y_t} \right) \right] = 3.32 \text{ GPa}$$

$$R_t = \frac{2Y_t}{3} \left[ 1 + \ln \left( \frac{E_t}{3(1-\nu_t)Y_t} \right) \right] = 3.74 \text{ GPa}$$

$$R_t = \frac{Y_t}{\sqrt{3}} \left[ 1 + \ln \left( \frac{\sqrt{3} E_t}{6(1-\nu_t)Y_t} \right) \right] = 3.15 \text{ GPa}$$

$$R_t = Y_t \left[ \frac{2}{3} + \ln \left( \frac{0.59 E_t}{Y_t} \right) \right] = 5.44 \text{ GPa}$$

- $R_t$ : 3-5 times dynamic yield strength of target material
- Problem:  $R_t$  is **not** a material constant;  $R_t$  changes with impact velocity

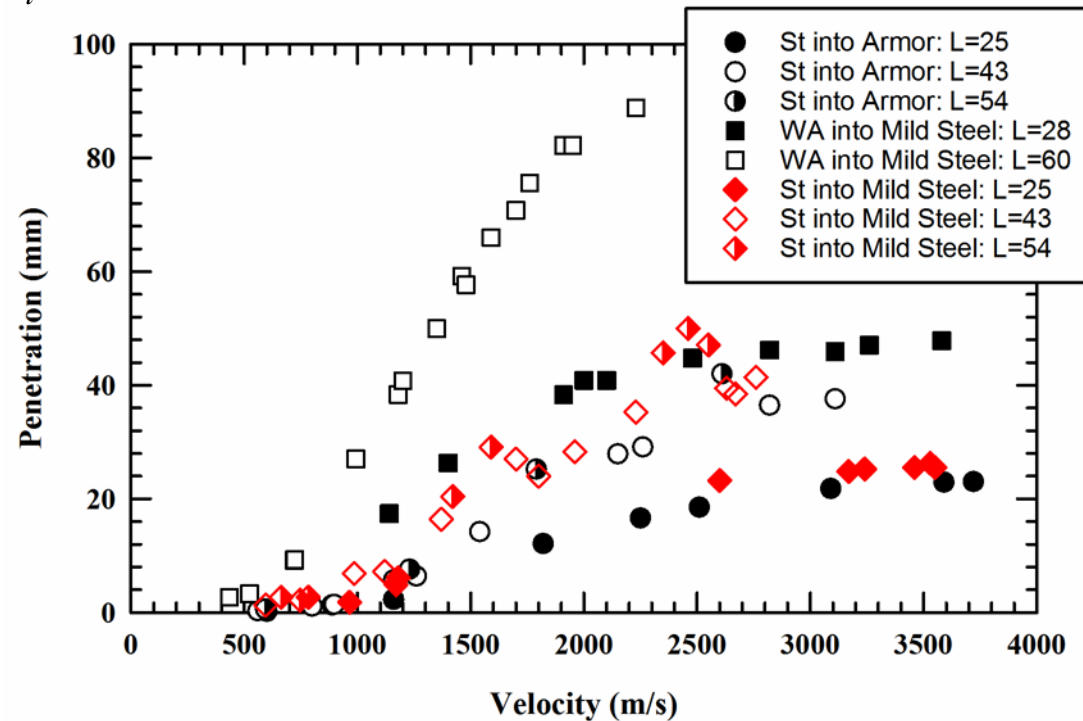
$$\frac{1}{2} \rho_p (v - u)^2 + Y_p = \frac{1}{2} \rho_t u^2 + R_t$$

■  $R_t > Y_p$  and  $u = 0$

■ Critical velocity

$$v_c = \left[ \frac{2(R_t - Y_p)}{\rho_p} \right]^{1/2}$$

■ No penetration below  $v_c$



WA into armor steel:  $v_c = 642$  m/s  
Steel into armor steel:  $v_c = 1050$  m/s

# *Rigid-Body Penetration*

$$\frac{1}{2} \rho_p (v - u)^2 + Y_p = \frac{1}{2} \rho_t u^2 + R_t$$

- $Y_p > R_t$  and  $u \equiv v$
- *Rigid-body penetration*
- *Crater diameter = projectile diameter*



- *Threshold velocity*

$$v_{th} = \left[ \frac{2(Y_p - R_t)}{\rho_t} \right]^{1/2}$$

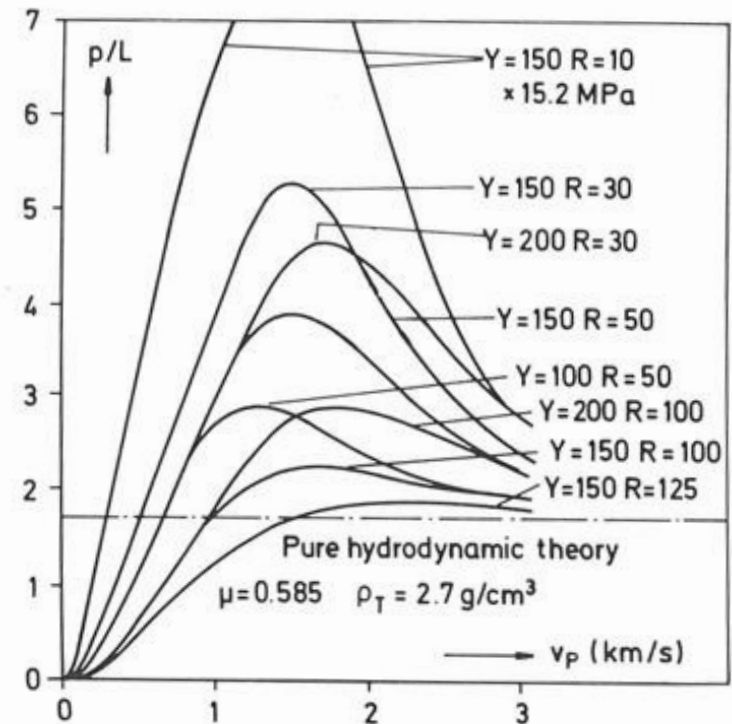
- $V_p < v_{th}$ , rigid

- $V_p > v_{th}$ , eroding

- *Deceleration of projectile*

$$\frac{dv}{dt} = -\frac{\tilde{\sigma}}{\rho_p L} = -\frac{1}{\rho_p L} \left( \frac{1}{2} \rho_t v^2 + R_t \right)$$

- *Tate model demonstrates the mechanics, but complications in trying to determine “accurate”  $Y_p$  and  $R_t$*



# Poncelet Equation

$$M \frac{dv}{dt} = -F = -(A + Bv^2)$$

$$\rho_p L \frac{dv}{dt} = -(a' + b' v^2)$$

$$a' = R_t \quad b' = \frac{1}{2} \rho_t \quad \text{Tate}$$

$$\frac{dv}{dt} = -\frac{1}{\rho_p L} \left( R_t + \frac{1}{2} \rho_t v^2 \right) \quad \text{“Tate” Poncelet Equation}$$

- Ogive steel penetrator into 6061-T6 aluminum

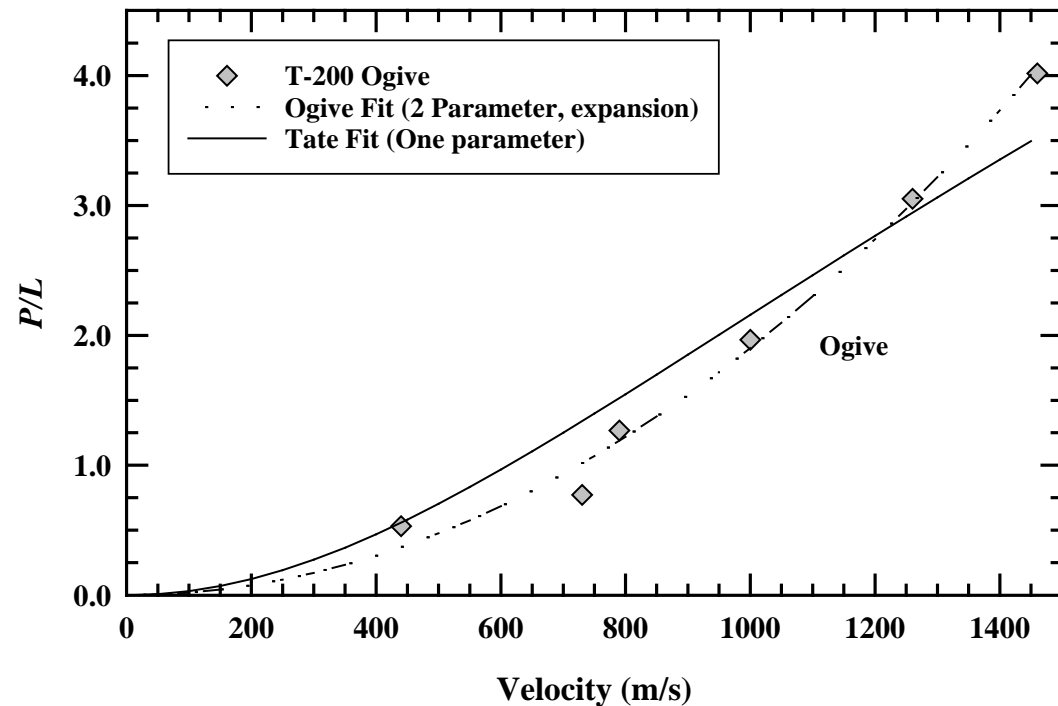
- Poncelet with 2-parameter fit; can use expansion

- $$\frac{P}{L} = \frac{1}{2} \frac{\rho_p}{R_t} V^2$$

- $$R_t = 2.10 \text{ GPa}$$

- Tate: one-parameter fit

- $$R_t = 1.260 \text{ GPa}$$



M. J. Forrestal, J. K. Okajima, and V. K. Luk, “Penetration of 6061-T651 aluminum targets with rigid long rods,” *J. Appl. Mech.* **55**: 755-760, 1988.



## *Tate Model: Summary*



- *A one-dimensional model that predicts time history of penetration, including projectile deceleration*
- *Target resistance,  $R_t$ , is not a constant, but depends upon material and impact velocity—although not a material property, a useful metric for comparison of different target performance*
- *Provides insights into dependence of penetration on material properties (e.g., density, strength)*
- *Predicts a critical velocity ( $u = 0$ )*
- *Predicts rigid-body penetration and threshold velocity for transition from rigid-body to eroding penetration*

- Vascomax steel into 6061-T6 aluminum

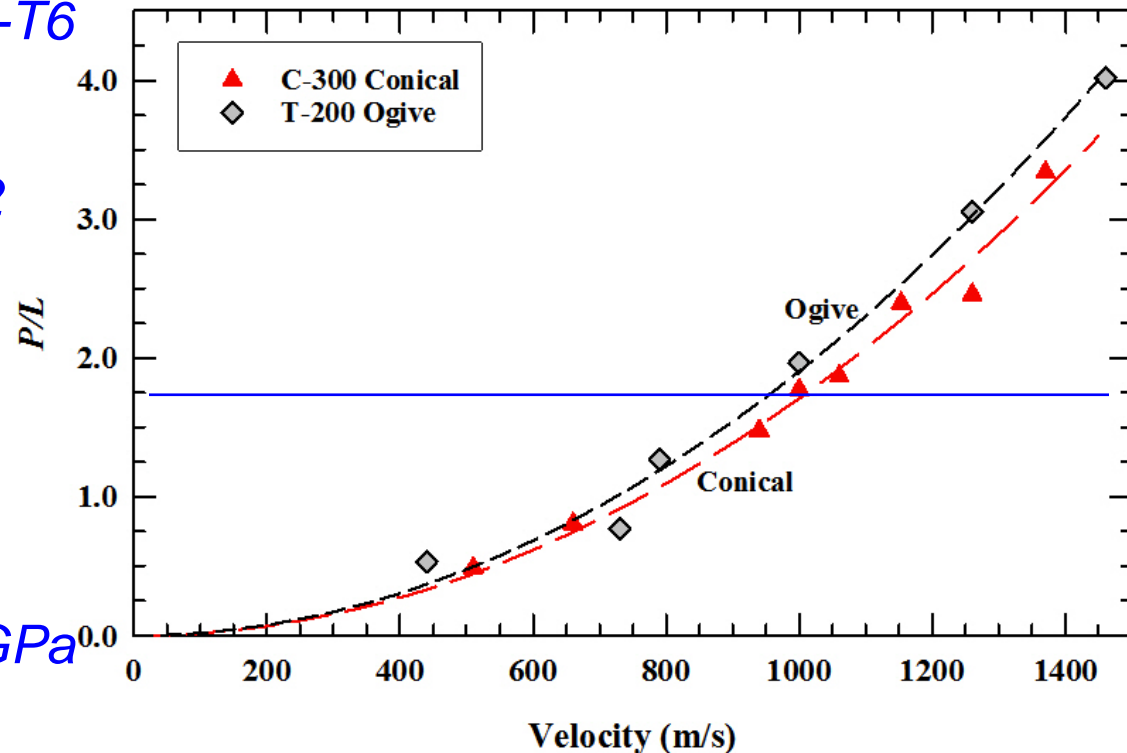
- Hydro limit =  $\left(\frac{8.0}{2.71}\right)^{1/2} = 1.72$

- $\frac{P}{L} = \frac{1}{2} \frac{\rho_p}{R_t} V^2$

- $R_t = 2.34 \text{ GPa (conical)}$

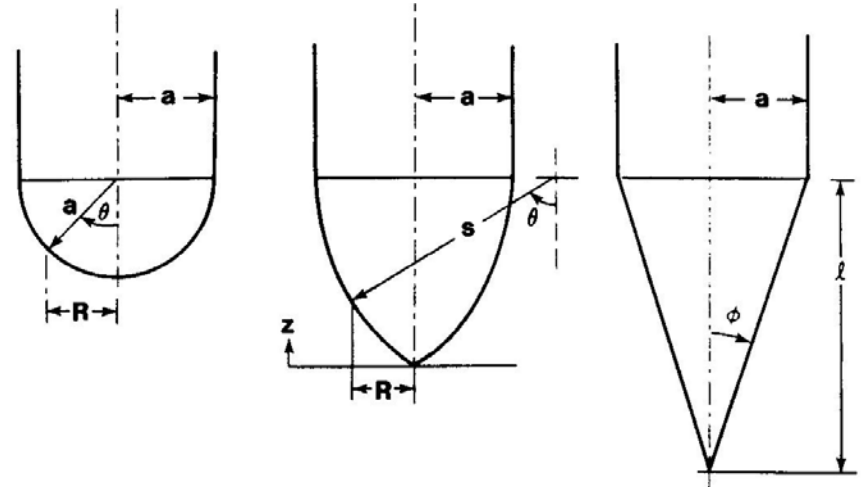
- $R_t = 2.10 \text{ GPa (ogive)}$

- $\sigma_t = 0.414 \text{ GPa}; \sigma_p = 1.5 \text{ GPa}$



M. J. Forrestal, J. K. Okajima, and V. K. Luk, "Penetration of 6061-T651 aluminum targets with rigid long rods," *J. Appl. Mech.* **55**: 755-760, 1988.

- Modeled rigid-body penetration
- Calculated the force on the projectile nose
  - Different nose shapes
  - Dynamic cavity expansion
  - Accurate constitutive model
    - ❖ Strain hardening
    - ❖ Rate effects

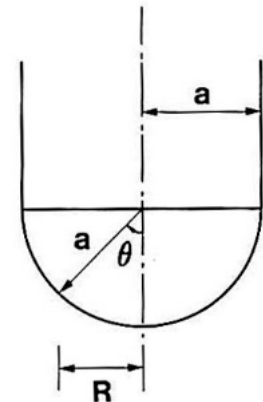


M. J. Forrestal, J. K. Okajima, and V. K. Luk, "Penetration of 6061-T651 aluminum targets with rigid long rods," *J. Appl. Mech.* **55**: 755-760, 1988.

For the hemispherical nose:

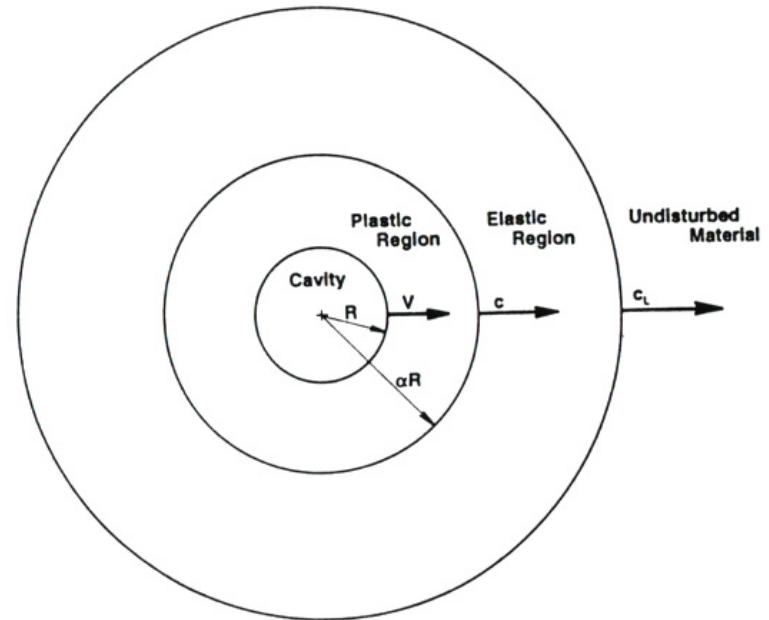
$$dF_z = 2\pi R a \cos \theta \sigma_n(V_z, \theta) d\theta \quad R = a \sin \theta$$

$$F_z = \pi a^2 \int_0^{\pi/2} \sigma_n(V_z, \theta) \sin 2\theta d\theta$$



Now need to calculate  $\sigma_n(V_z, \theta)$

- Assume an incompressible or compressible plastic region and an elastic region.
- Cavity opened at constant velocity.
- Find similarity solution.
- Solution leads to elastic region - plastic region and interface velocity.
- Solution allows calculation of stress at interface.



H. G. Hopkins, "Dynamic expansion of spherical cavities in Metals," Progress in Solid Mechanics, Vol. 1 (I. Sneddon and R. Hill, Eds.), North-Holland, NY, pp. 85-164, 1960.

# Dynamic Spherical Cavity Expansion

Conservation of momentum

$$\frac{\partial \sigma_r}{\partial r} + \frac{2(\sigma_r - \sigma_\theta)}{r} = -\rho \left( \frac{\partial v}{\partial t} + v \frac{\partial v}{\partial r} \right)$$

Conservation of mass

$$\rho_o \frac{\partial}{\partial r} [(r-u)^3] = 3\rho r^2$$

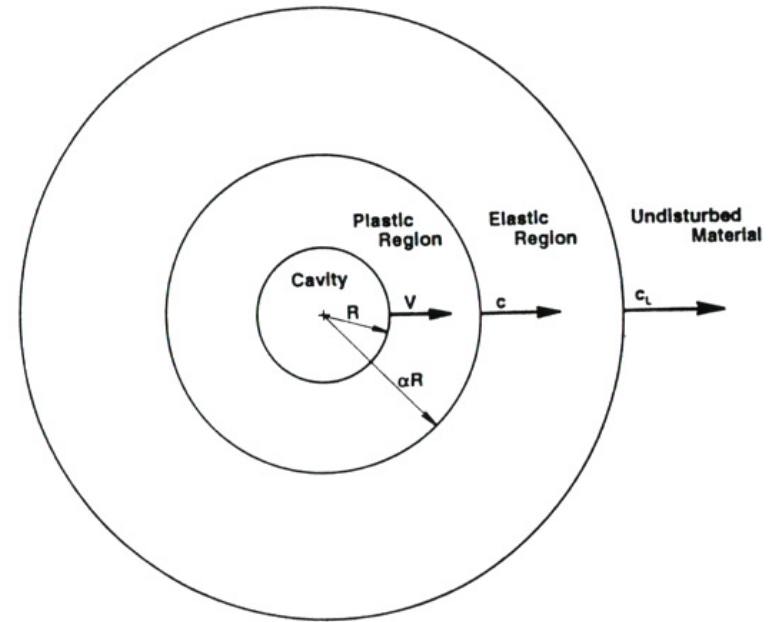
Particle displacement – particle velocity

$$\frac{\partial u}{\partial t} = v \left( 1 - \frac{\partial u}{\partial r} \right)$$

True strain rates  $\dot{\epsilon}_r = -\frac{\partial v}{\partial r}$   $\dot{\epsilon}_\theta = -\frac{v}{r}$

True strain-displacement

$$\epsilon_r = \ln \left( 1 - \frac{\partial u}{\partial r} \right) \quad \epsilon_\theta = \ln \left( 1 - \frac{u}{r} \right)$$



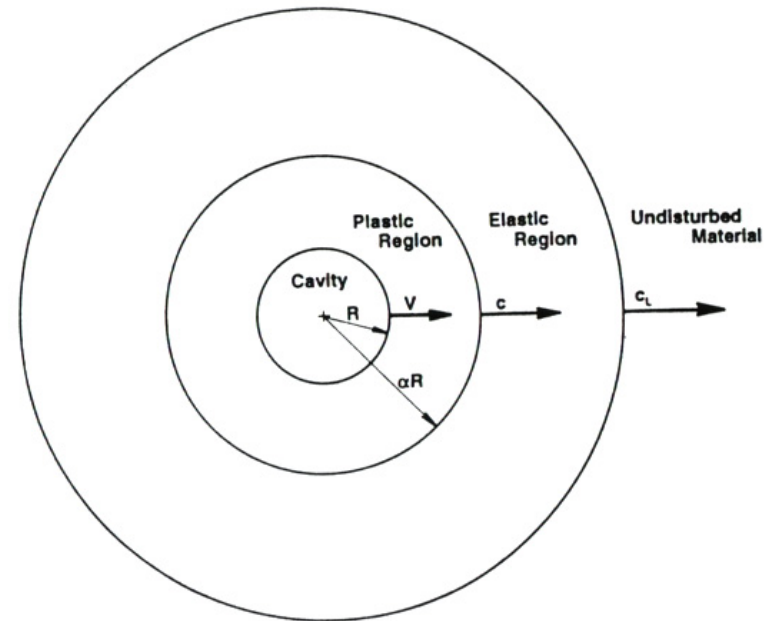
And now a lot of math

# Dynamic Spherical Cavity Expansion

$$\frac{\partial \sigma_r}{\partial r} + \frac{2(\sigma_r - \sigma_\theta)}{r} = -\rho \left( \frac{\partial v}{\partial t} + v \frac{\partial v}{\partial r} \right)$$
$$\rho_o \frac{\partial}{\partial r} [(r-u)^3] = 3\rho r^2$$

If incompressible:  $\rho = \rho_o$

$$\sigma_r = \frac{2Y_t}{3} \left[ 1 + \ln \left( \frac{2E_t}{3Y_t} \right) \right] + \frac{3}{2} \rho_t V^2 = A + BV^2$$

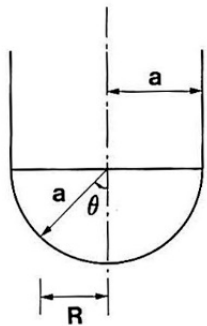


Now have a resistance term that includes strength and inertial effects  
Also note that the “resistance” is proportional to the square of the cavity velocity

Note: in virtually all the work of Forrestal and colleagues, they used spherical cavity expansion

For the hemispherical nose:

$$F_z = \pi a^2 \int_0^{\pi/2} \sigma_n(V_z, \theta) \sin 2\theta d\theta \quad \sigma_n(V_z, \theta) = A + BV^2 = A + BV_z^2 \cos^2 \theta$$



$$F_z = \pi a^2 \left[ A + \frac{B}{2} V_z^2 \right]$$

$$M \frac{dv}{dt} = M v_z \frac{dv_z}{dx} = -F_z$$

$$\int_{V_0}^0 \left[ \frac{M v_z dv_z}{A + B v_z^2 / 2} \right] = -\pi a^2 \int_0^P dx$$

$$M = \pi a^2 \rho_p \left( L + \frac{2a}{3} \right)$$

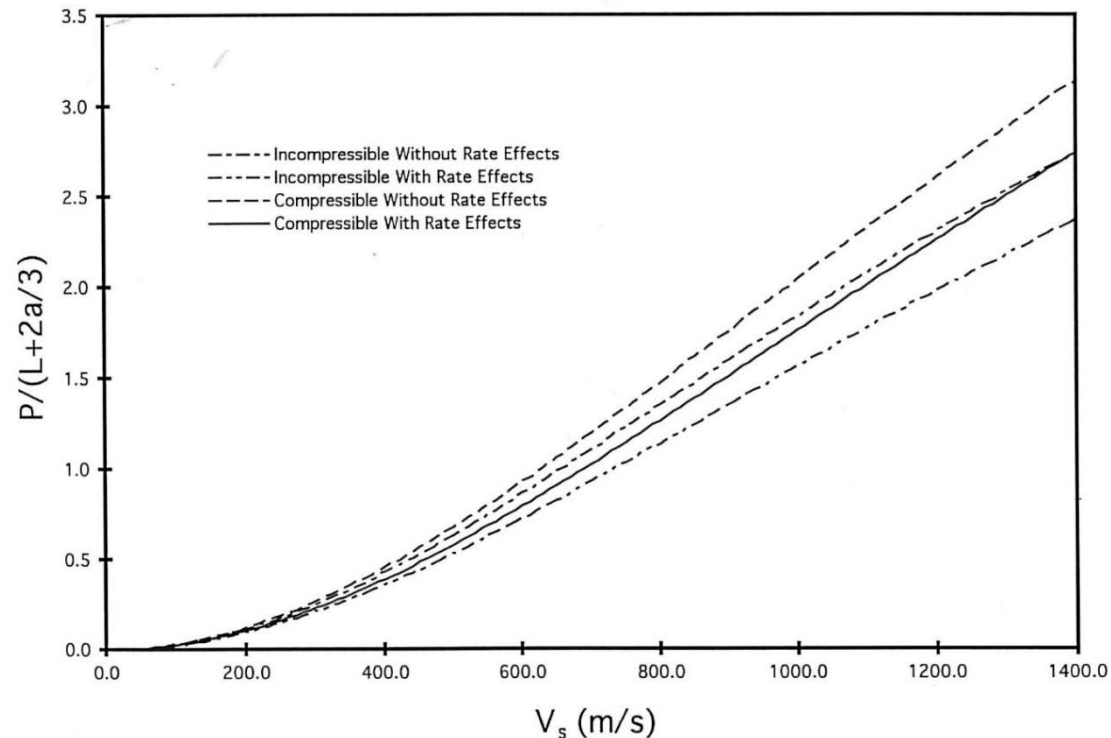
$$\frac{P}{L + 2a/3} = \frac{\rho_p}{B} \ln \left( 1 + \frac{B V_0^2}{2A} \right) = \frac{2\rho_p}{3\rho_t} \ln \left( 1 + \frac{\frac{3}{2} \rho_t V_0^2}{\frac{4}{3} Y_t \left[ 1 + \ln \left( \frac{2E}{3Y_t} \right) \right]} \right)$$



$$\frac{\partial \sigma_r}{\partial r} + \frac{2(\sigma_r - \sigma_\theta)}{r} = -\rho \left( \frac{\partial v}{\partial t} + v \frac{\partial v}{\partial r} \right)$$

$$\sigma = \begin{cases} E\varepsilon & \sigma \leq Y_d \\ Y \left( \frac{E\varepsilon}{Y_d} \right)^n + \alpha \left( \frac{\dot{\varepsilon}}{\dot{\varepsilon}_o} \right)^m & \sigma > Y_d \end{cases}$$

$$Y_d = Y + \alpha \left( \frac{\dot{\varepsilon}}{\dot{\varepsilon}_o} \right)^m$$

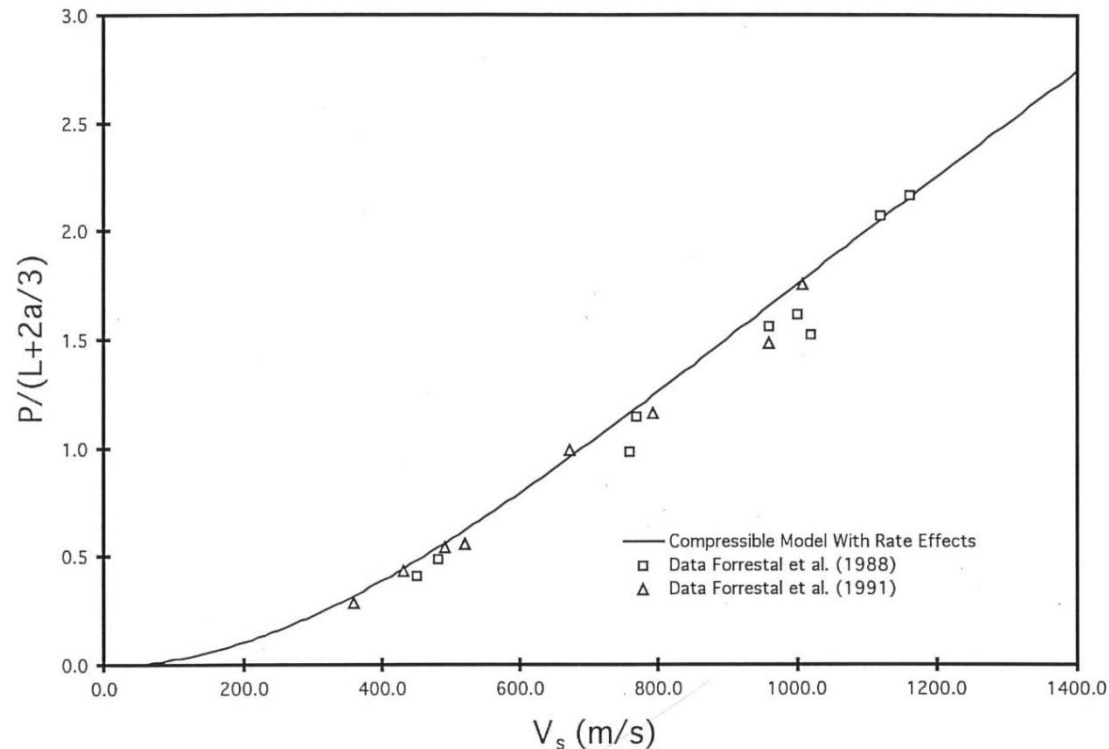


And now a whole lot of math

T. L. Warren and M. J. Forrestal, "Effects of strain hardening and strain-rate sensitivity on the penetration of aluminum targets with spherical-nosed rods," *Int. J. Solids Structures* **35**(28-29): 3737-3753, 1998.

$$\sigma = \begin{cases} E\varepsilon & \sigma \leq Y_d \\ Y \left( \frac{E\varepsilon}{Y_d} \right)^n + \alpha \left( \frac{\dot{\varepsilon}}{\dot{\varepsilon}_o} \right)^m & \sigma > Y_d \end{cases}$$

$$Y_d = Y + \alpha \left( \frac{\dot{\varepsilon}}{\dot{\varepsilon}_o} \right)^m$$



T. L. Warren and M. J. Forrestal, "Effects of strain hardening and strain-rate sensitivity on the penetration of aluminum targets with spherical-nosed rods," *Int. J. Solids Structures* **35**(28-29): 3737-3753, 1998.

- *Initially, Forrestal and colleagues were concerned about friction effects between the projectile and penetration cavity wall— included frictional forces in their model*
- *Later, determined that when used accurate constitutive model, there was no need to include friction*

- *Performed detailed finite element simulations of some of the experiments, using a new adaptive meshing technique and a constitutive material law*
  - *strain hardening*
  - *rate-dependent plasticity*
  - *heat conduction*
  - *thermal-mechanical coupling*
- *Simulations showed a very thin melted layer in the target next to the projectile that resulted in a nearly frictionless interface*

G.T. Camacho and M. Ortiz, "Adaptive Lagrangian modeling of ballistic penetration of metallic targets," *Comput. Methods Appl. Mech. Eng.*, 142:269-301, 1997.

- *Tate made an estimate of temperature effects during rod penetration*
  - *Thermal conduction is significant only very close to the interface*
  - *When distances are scaled relative to the crater diameter:*
    - ❖ *Temperature distribution is independent of the impact velocity*
    - ❖ *Temperature approaches the melting temperature in a small region which is of the same order of size as the conduction dominated zone*

A. Tate, "A theoretical estimate of temperature effects during rod penetration,"  
*Proc. 9<sup>th</sup> Int. Symp. Ballistics*, 2-307-314, Shriverham, UK, 1996.

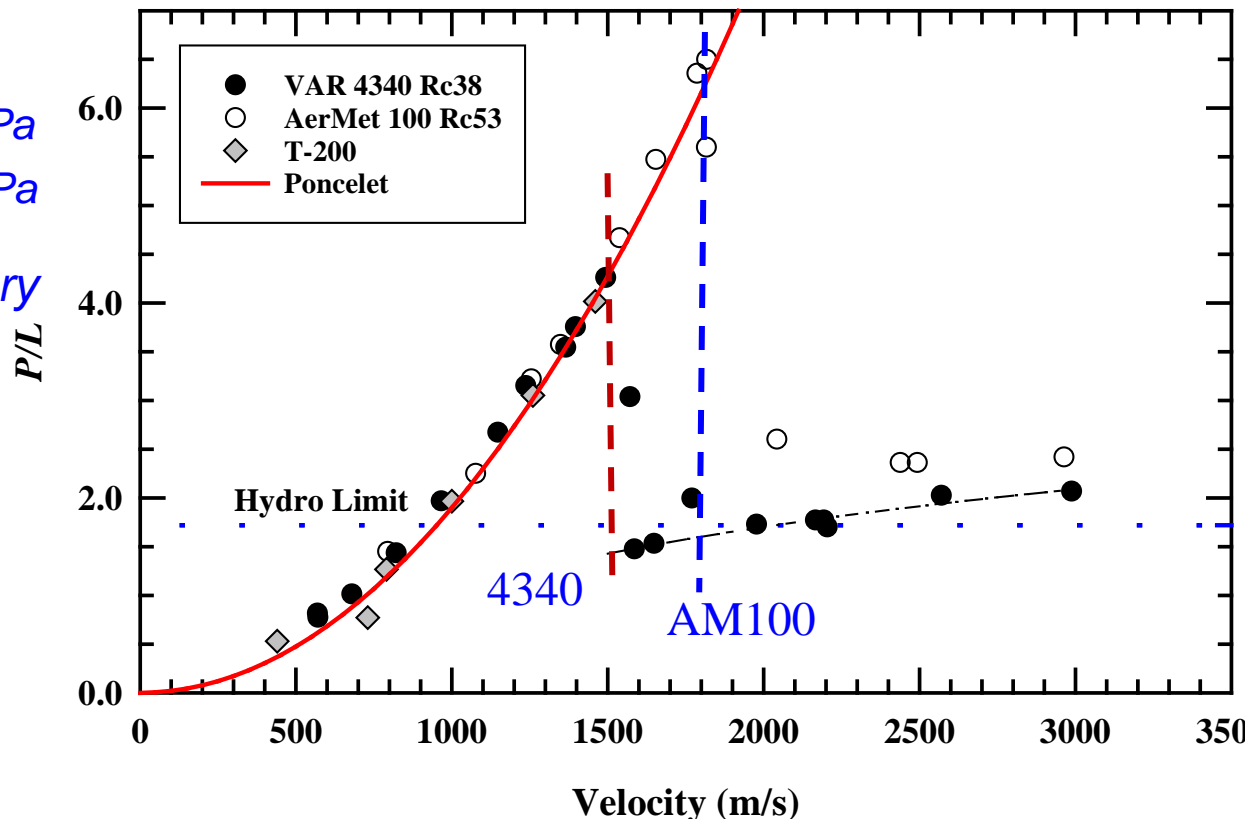
- *Mark Wilkins initially did not have friction forces in Lagrangian hydrocode HEMP, but had good agreement with experiments*
- *Added friction, and calculated results got worse*
- *Friction in penetration mechanics: that quantity added to an analytic model to improve agreement with experiment, whose sole justification is that the friction coefficient is on the order of 0.1 – 0.2 and thus appears reasonable*

## *Rigid-Body to Eroding Penetration*

## ■ Projectile strength

- T-200:  $\sigma_p = 1.38$  GPa
- 4340 VAR:  $\sigma_p = 1.50$  GPa
- AerMet100:  $\sigma_p = 1.93$  GPa

## ■ Transition occurs over very narrow velocity interval



A. J. Piekutowski, M. J. Forrestal, K. L. Poormon, and T. L. Warren, "Penetration of 6061-T6511 aluminum targets by ogive-nose steel projectiles with striking velocities between 0.5 and 3.0 km/s," *Int. J. Impact Engng.*, **23**: 723-734, 1999.

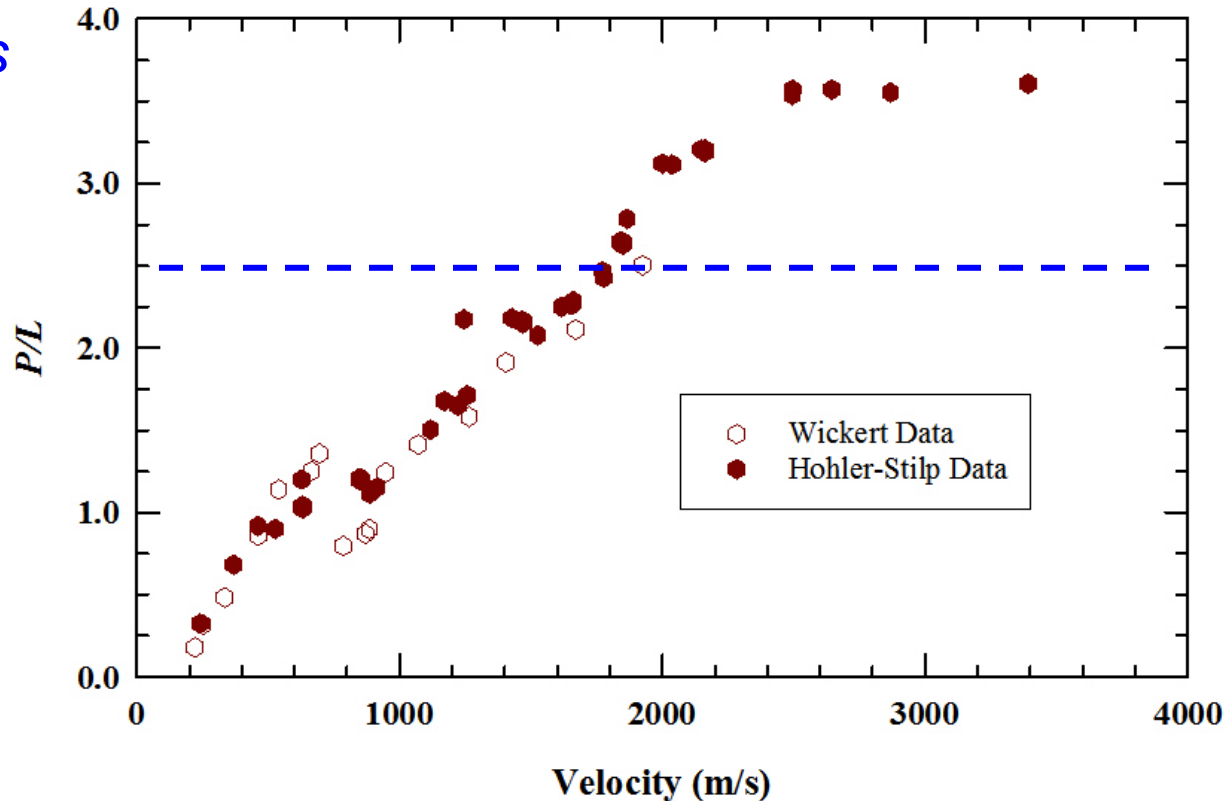


## ■ Flat-nosed projectiles

HS:  $\rho = 17.0 \text{ g/cm}^3$   
 $\sigma_p = 0.985 \text{ GPa}$

MW:  $\rho = 17.6 \text{ g/cm}^3$   
 $\sigma_p = 1.37 \text{ GPa}$

$$\left(\frac{P}{L}\right)_{hydro} = \left(\frac{17.3}{2.8}\right)^{1/2} = 2.48$$



A. J. Stilp and V. Hohler, "Long rod penetration mechanics," Chapter 5 in *High Velocity Impact Dynamics* (J. A. Zukas, ed.), John Wiley & Sons, NY, NY, 1990.

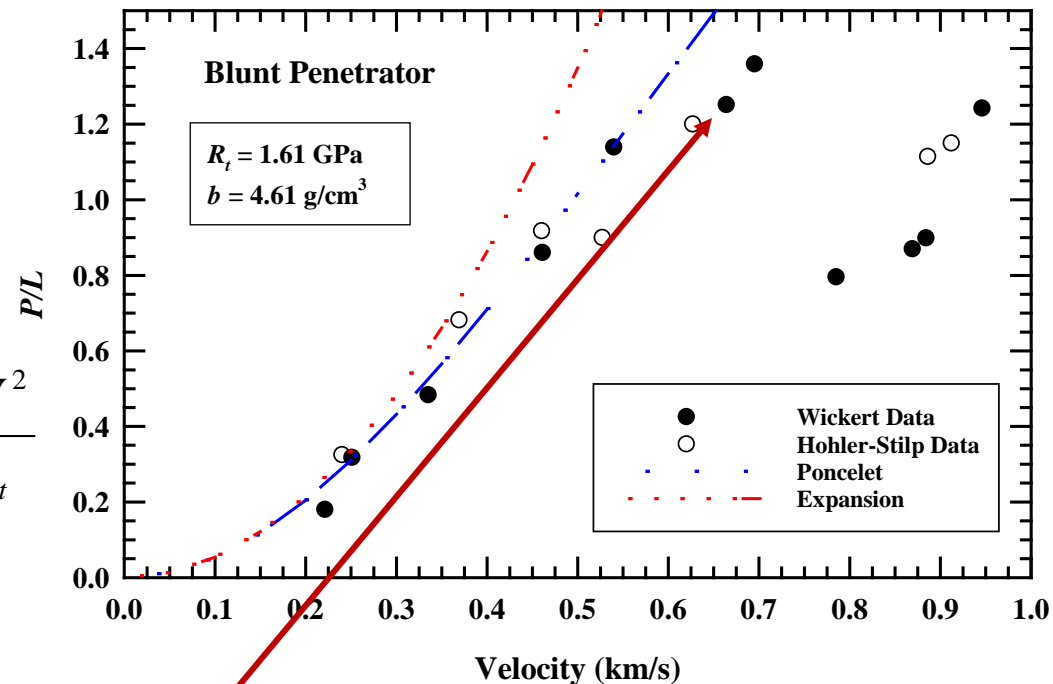
M. Wickert, "Penetration data for a medium caliber tungsten sinter alloy penetrator into aluminum alloy 7020 in the velocity regime from 250 m/s to 1900 m/s," *Proc. 23<sup>rd</sup> Int. Symp. Ballistics*, 2: 1437-1452, (F. Gálvez and V. Sánchez-Gálvez, Eds.), Gráficas Couche, S.L., Madrid, Spain, 2007.

# Poncelet Equation

$$\frac{dv}{dt} = -\frac{1}{\rho_p L} (R_t + b v^2)$$

$$\frac{P}{L} = \frac{\rho_p}{2b} \ln \left( 1 + \frac{b V^2}{R_t} \right) \xrightarrow{\text{small "x"}} \frac{P}{L} = \frac{\rho_p V^2}{2R_t}$$

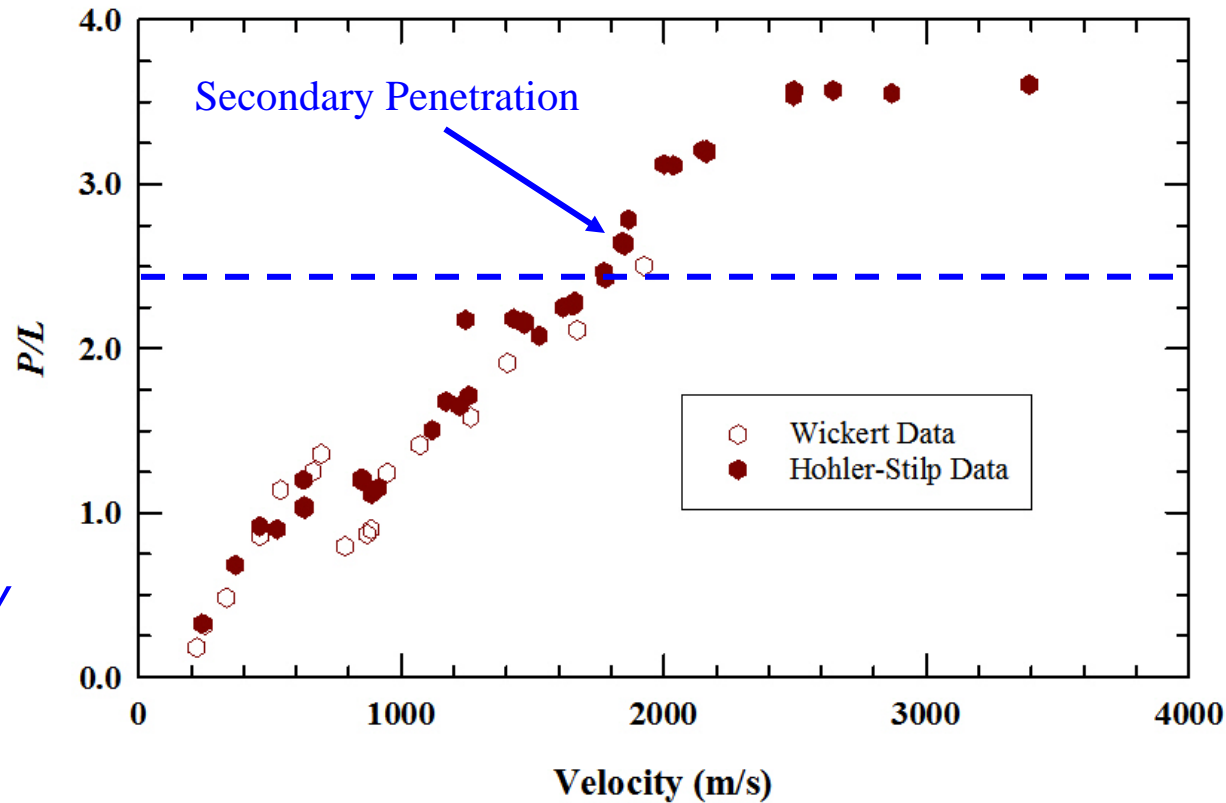
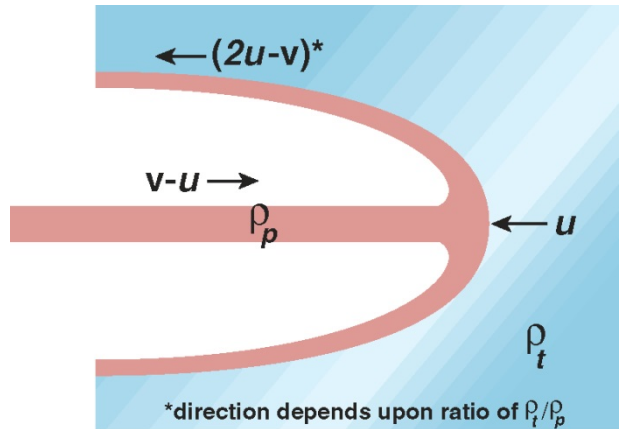
Tungsten alloy more ductile than the very hard steels, allowing mushrooming before eroding



Tungsten alloy into 6061 aluminum

45% decrease in the fitted standard error by dropping these 3 data points

# Tungsten Alloy into Aluminum Secondary Penetration



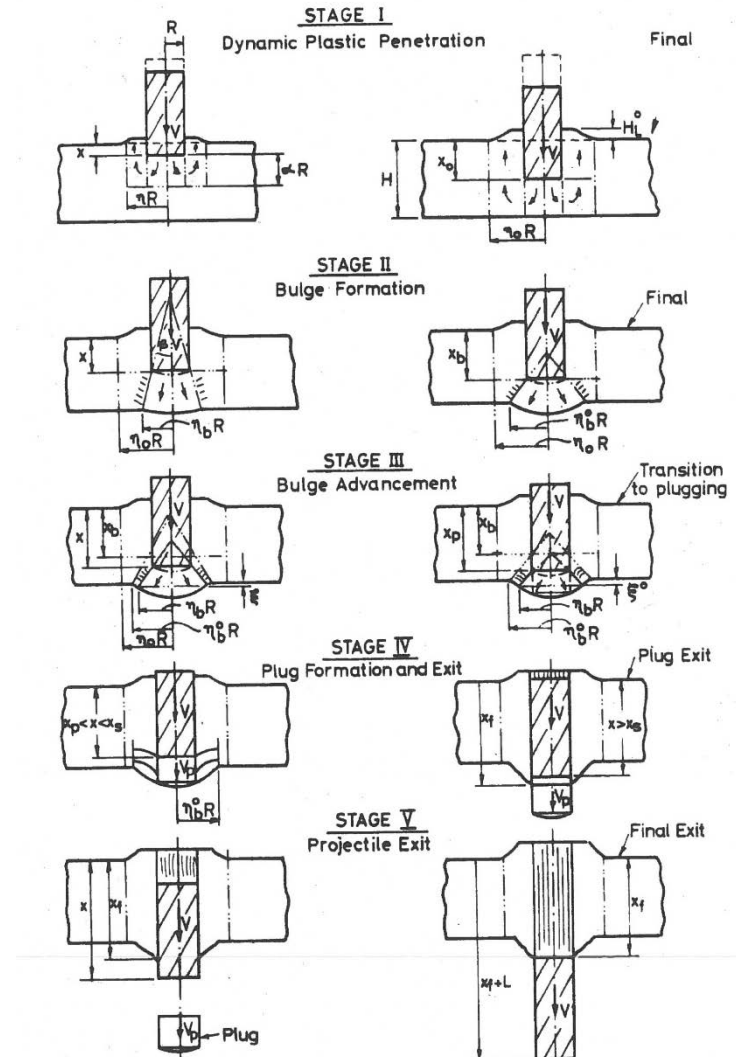
- $u_{debris} = (2u-v)$
- $\rho_p \ll \rho_t, u \approx 0, u_{debris} \sim -v$
- $\rho_p = \rho_t, u_{debris} = 0$
- $\rho_p > \rho_t, u_{debris}$  into target
- $\frac{1}{2} \rho_p u_{debris}^2 > R_t$  additional penetration

- *Can obtain deep penetration when projectile remains rigid, particularly compared to eroding penetration*
- *Diameter of penetration channel is the diameter of the projectile*
- *At sufficiently high velocities, projectile begins to deform and then begins to erode*
  - *Deformation occurs over a relatively small range of impact velocities*
  - *Projectile material strength and ductility important near/at transition velocity*
  - *Nose shape is important*
- *Projectile typically does not want to penetrate straight*

# *Dynamic Plasticity*

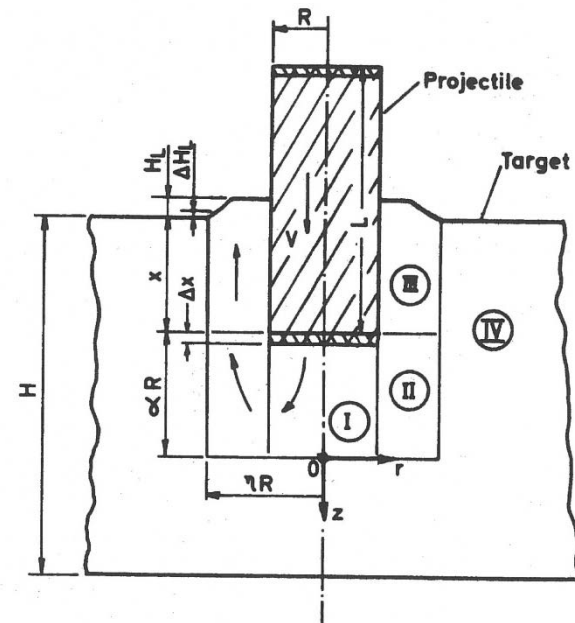
## *Plastic Deformation Flow Fields*

- Multi-stage penetration/perforation model by a rigid projectile
  - Penetration
  - Bulge formation
  - Bulge advancement
  - Plug formation and exit
  - Projectile exit



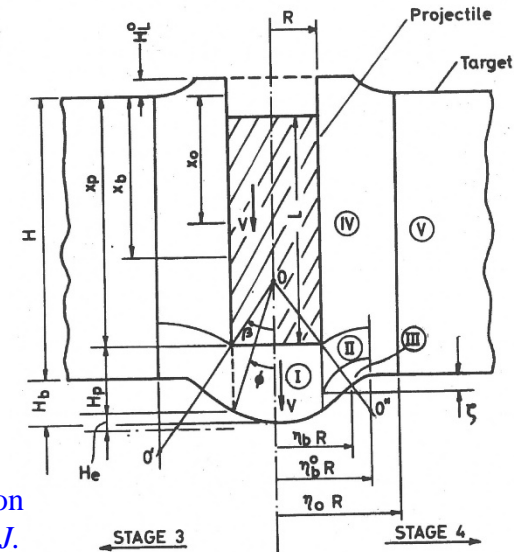
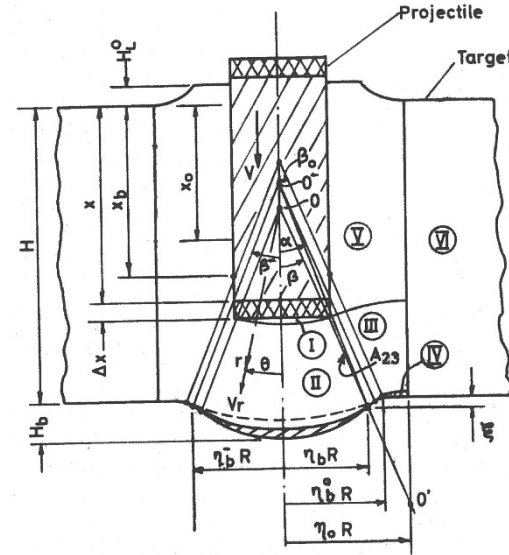
M. Ravid and S. R. Bodner, Dynamic perforation of viscoplastic plates by rigid projectiles," *Int. J. Engng. Sci.*, **21**(6): 577-591, 1983.

- Established plastic flow fields within the target
  - Specified velocity distributions subject to compatibility and continuity conditions
  - Computed plastic work rates (including strain-rate effects)
- Solved for the radial and axial extent of the plastic zone fields ( $\eta R$  and  $\alpha R$ , where  $R$  is the projectile radius)
- Deceleration of projectile computed from an energy rate balance



M. Ravid and S. R. Bodner, Dynamic perforation of viscoplastic plates by rigid projectiles," *Int. J. Engng. Sci.*, **21**(6): 577-591, 1983.

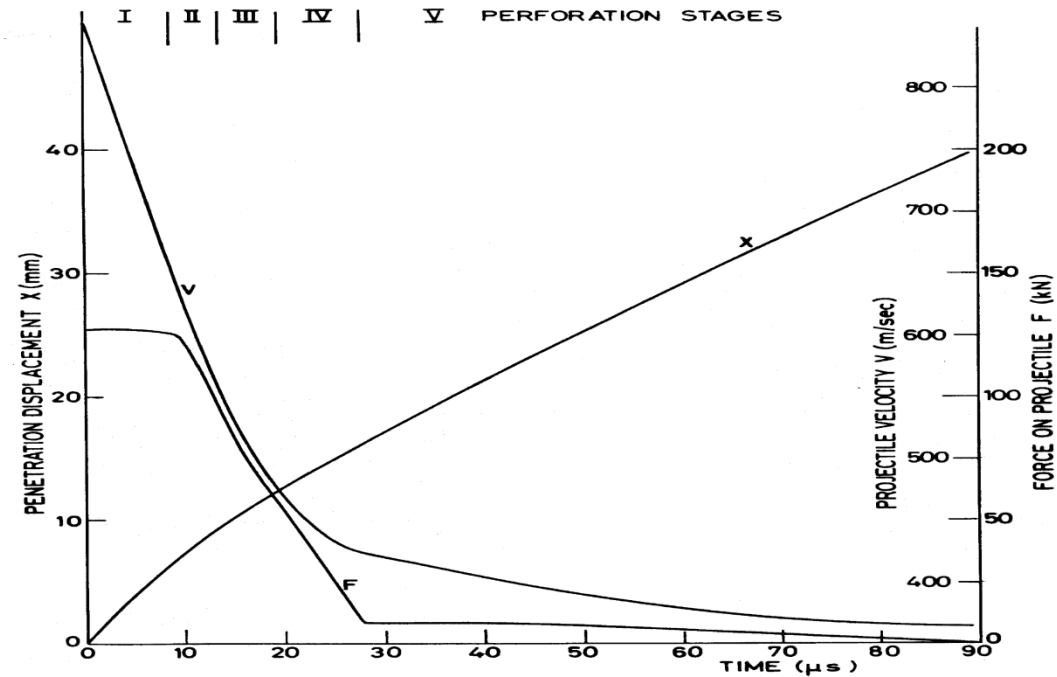
- *When plastic zone reaches rear of target, bulging begins*
- *At some point, the bulge no longer expands radially, and instead, the bulge advances in direction of penetration*
- *When a failure criterion is met (variety of failure modes), target material fails and the plug is ejected*
- *Projectile exits the target*



M. Ravid and S. R. Bodner, Dynamic perforation of viscoplastic plates by rigid projectiles," *Int. J. Engng. Sci.*, **21**(6): 577-591, 1983.



- Steel plate: 12-mm thick
- 7.62-mm AP bullet
- Impact velocity: 855 m/s
- Exit velocity: 300-390 m/s
- Model
  - Plug velocity: 424 m/s
  - Bullet velocity: 364 m/s



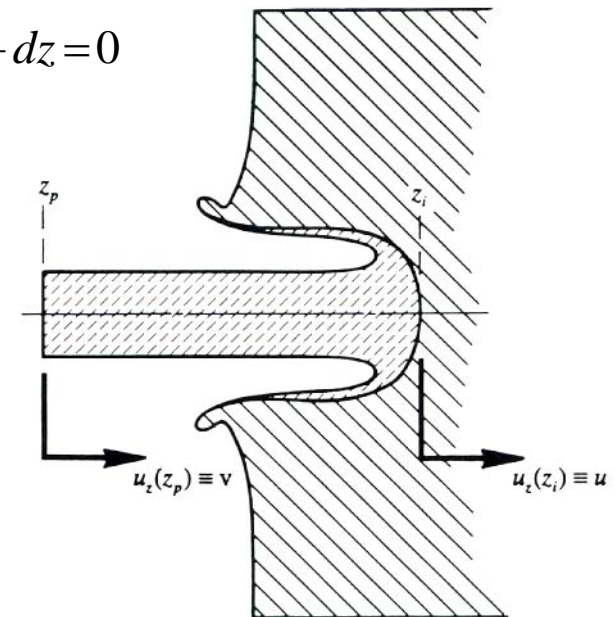
M. Ravid and S. R. Bodner, Dynamic perforation of viscoplastic plates by rigid projectiles," *Int. J. Engng. Sci.*, **21**(6): 577-591, 1983.

$$\rho_p \int_{z_p}^{z_i} \frac{\partial u_z}{\partial t} dz + \rho_t \int_{z_i}^{+\infty} \frac{\partial u_z}{\partial t} dz + \frac{1}{2} \rho_p u_z^2 \bigg|_{z_p}^{z_i} + \frac{1}{2} \rho_t u_z^2 \bigg|_{z_i}^{+\infty} - \sigma_{zz} \bigg|_{z_p}^{+\infty} - 2 \int_{z_p}^{+\infty} \frac{\partial \sigma_{xz}}{\partial x} dz = 0$$

→  $z$

$$\rho_p \int_{z_p}^{z_i} \frac{\partial u_z}{\partial t} dz + \rho_t \int_{z_i}^{+\infty} \frac{\partial u_z}{\partial t} dz + \frac{1}{2} \rho_p (u^2 - v^2) - \frac{1}{2} \rho_t u^2 - \int_{z_p}^{+\infty} \frac{\partial \sigma_{xz}}{\partial x} dz = 0$$

- Integrate momentum equation along the centerline over the projectile and target
- Three assumptions
  - Velocity profile along the centerline in projectile and target specified
  - The rear of the projectile is decelerated by elastic waves
  - Shear behavior of the target material is specified



J. D. Walker and C. E. Anderson, Jr., "A time-dependent model for long-rod penetration," *Int. J. Impact Engng.*, **16**(1): 19-48, 1995.

## Projectile

$$u_z(z) = \begin{cases} u - \frac{V-u}{s}(z-z_i) & (z_i - s) \leq z < z_i \\ V & z_p \leq z < (z_i - s) \end{cases}$$

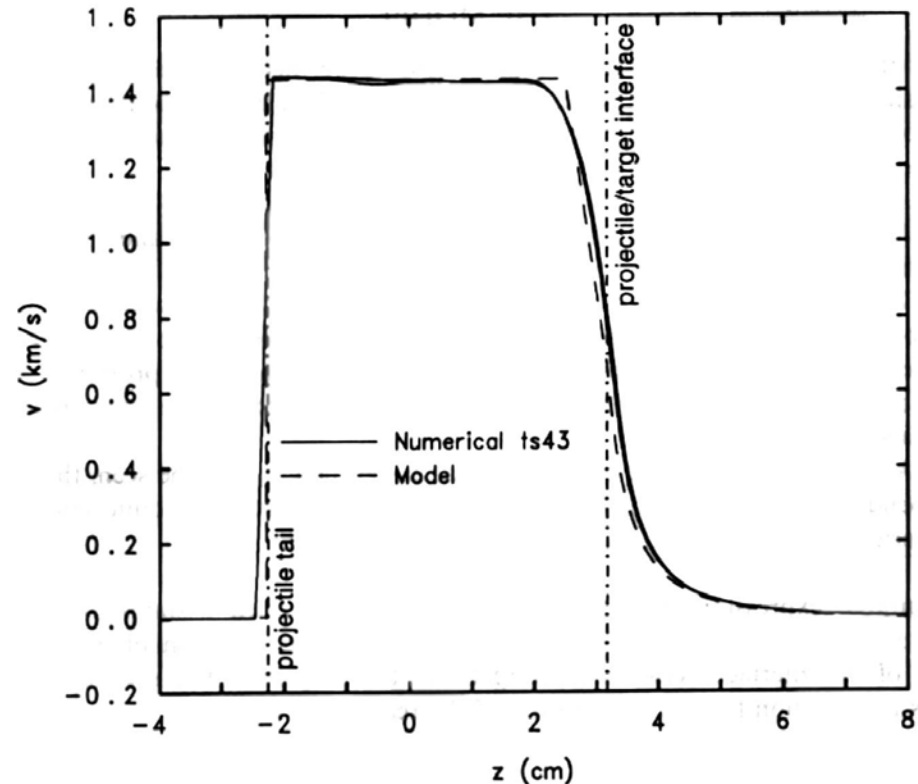
## Target

$$u_z(z) = \begin{cases} \frac{u}{\alpha^2 - 1} \left[ \left( \frac{\alpha R}{r(z)} \right)^2 - 1 \right] & R \leq r(z) < \alpha R \\ 0 & r(z) \geq \alpha R \end{cases}$$

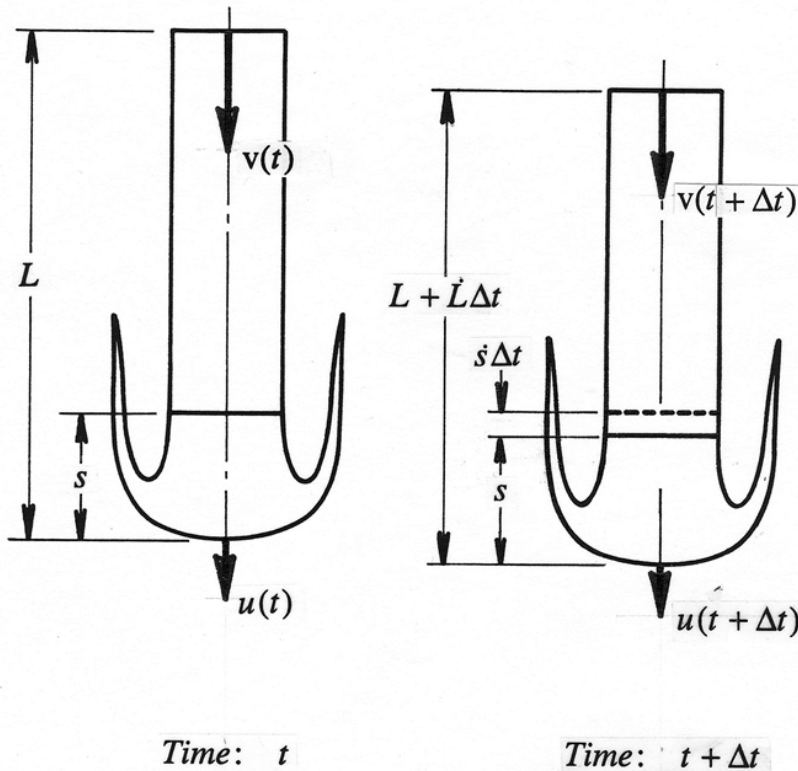
Velocity field derived from a vector potential

Extent of flow field in target =  $\alpha R$

$R$  = crater radius



J. D. Walker and C. E. Anderson, Jr., "A time-dependent model for long-rod penetration," *Int. J. Impact Engng.*, **16**(1): 19-48, 1995.



- Front end and back end of projectile have different velocities - thus projectile erodes during penetration event.

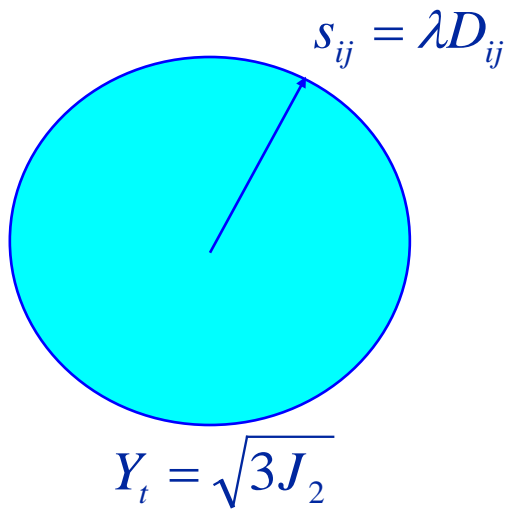
$$\dot{L} = -(v - u)$$

- Back of projectile is decelerated by elastic waves that travel up and down the length of the projectile, reflecting off the free surface at the back and at the elastic-plastic interface at the front.

$$\Delta v = -2c \frac{\sigma_p}{E_p}$$

$$\dot{v} = -\frac{\sigma_p}{\rho_p (L - s)} \left\{ 1 + \frac{v - u}{c} + \frac{\dot{s}}{c} \right\}$$

J. D. Walker and C. E. Anderson, Jr., "A time-dependent model for long-rod penetration," *Int. J. Impact Engng.*, **16**(1): 19-48, 1995.



- Shear stress is directly proportional to rate of deformation (rigid plasticity)  $s_{ij} = \lambda D_{ij}$

- A von Mises yield surface is assumed

$$s_{ij}s_{ij} = 2J_2 = \frac{2}{3}Y_t^2 \quad \text{or} \quad Y_t = \sqrt{3J_2}$$

$$s_{ij} = \lambda D_{ij} = \frac{\sqrt{\frac{2}{3}}Y_t}{\sqrt{D_{ij}D_{ij}}} D_{ij}$$

- Assumed target flow field gives

$$\alpha = \frac{\text{Extent of Plastic Zone}}{\text{Crater Radius}}$$

$$\int_R^{\alpha R} \left. \frac{\partial s_{xz}}{\partial x} \right|_{x=0} dz = -\frac{7Y_t}{6} \ln(\alpha)$$

J. D. Walker and C. E. Anderson, Jr., "A time-dependent model for long-rod penetration," *Int. J. Impact Engng.*, **16**(1): 19-48, 1995. 77

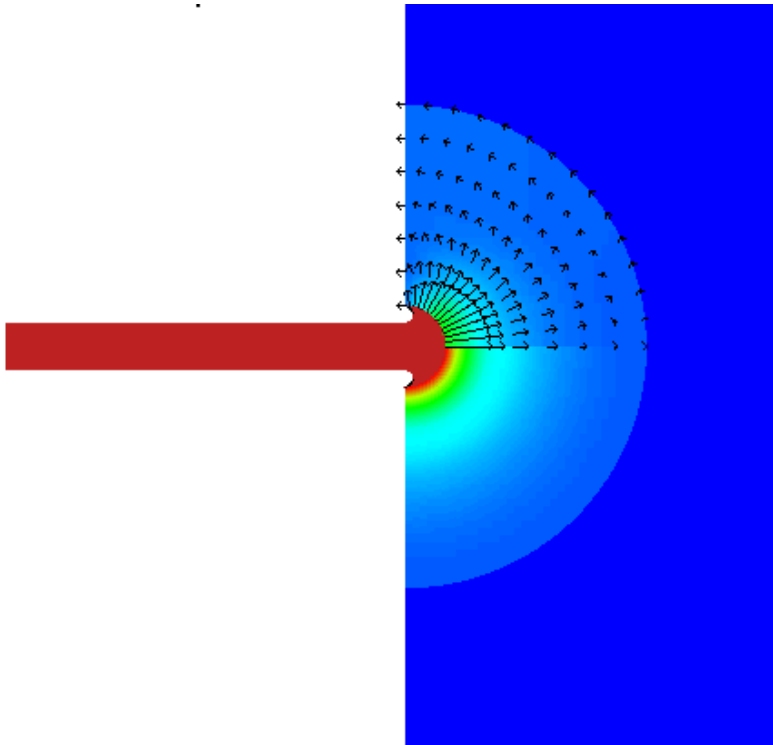
$$\begin{aligned} \rho_p \dot{v}(L-s) + \dot{u} \left\{ \rho_p s + \rho_t R \frac{\alpha-1}{\alpha+1} \right\} + \rho_p \frac{d}{dt} \left( \frac{v-u}{s} \right) \frac{s^2}{2} + \rho_t \dot{\alpha} \frac{2Ru}{(\alpha+1)^2} \\ = \frac{1}{2} \rho_p (v-u)^2 - \left\{ \frac{1}{2} \rho_t u^2 + \frac{7}{3} Y_t \ln \alpha \right\} \quad \text{(Centerline momentum balance)} \end{aligned}$$

$$\dot{v} = - \frac{\sigma_p}{\rho_p (L-s)} \left\{ 1 + \frac{v-u}{c} + \frac{\dot{s}}{c} \right\} \quad \text{(Projectile rear deceleration)}$$

$$\dot{L} = -(v-u) \quad \text{(Erosion)}$$

J. D. Walker and C. E. Anderson, Jr., "A time-dependent model for long-rod penetration," *Int.*

*J. Impact Engng.*, **16**(1): 19-48, 1995.

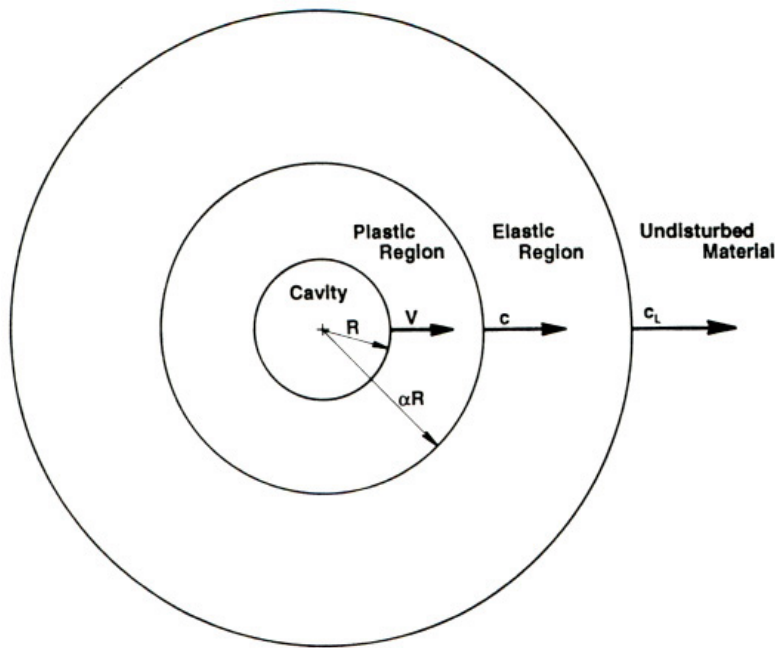


- *Ignoring transient terms, the target resistance is*

$$\text{target resistance} \sim -\left\{ \frac{1}{2} \rho_t u^2 + \frac{7Y_t}{3} \ln(\alpha) \right\}$$

*the first term is due to inertial terms, moving target material out of the way, and the second term is due to plastic flow in the target.*

J. D. Walker and C. E. Anderson, Jr., "A time-dependent model for long-rod penetration," *Int. J. Impact Engng.*, **16**(1): 19-48, 1995.

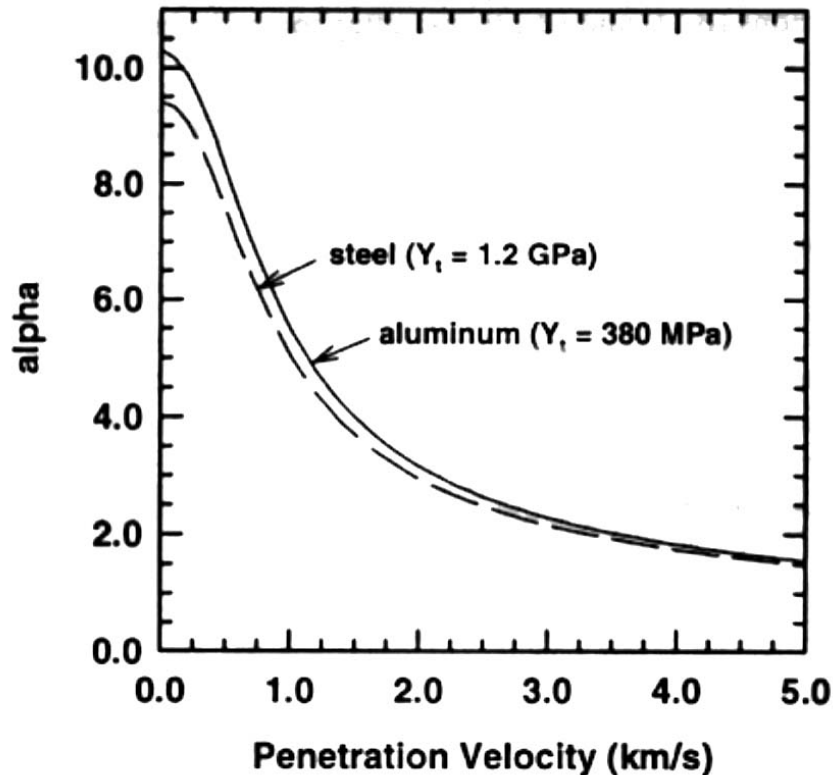


## 2-D Cylindrical Cavity Expansion

S. Chocron, C. E. Anderson, Jr., and J. D. Walker, "Long-rod penetration: Cylindrical vs. spherical cavity expansion for the extent of plastic flow," *Proc 17<sup>th</sup> Symp. Ballistics*, 1998.

- *Cylindrical compressible cavity expansion*
- *Two regions identified, separated by three boundaries with appropriate B.C.:*
  - *elastic*
  - *elastic-plastic (compressible)*
- *The inner cavity is driven at a constant velocity  $V$*
- *Important approximate assumption: the velocity is assumed continuous between the elastic and elastic-plastic region*
- *Cylindrical cavity expansion considerably more accurate than spherical cavity expansion*





$\alpha$  is a function of impact velocity

- Interface between elastic-plastic and elastic region moves at a constant velocity  $c$ .
- The extent of the plastic flow field within the target is defined as

$$\alpha(u) = c(u)/u$$

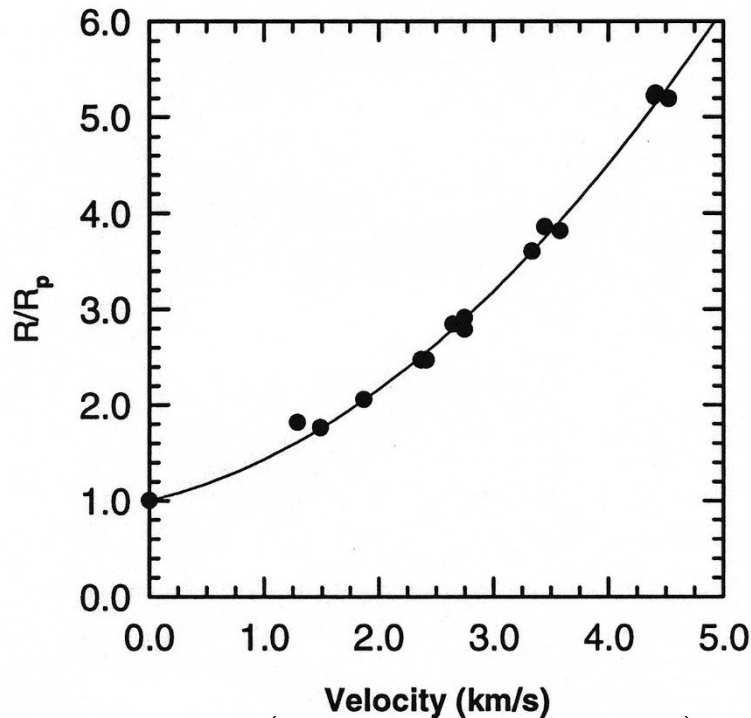
- The cavity expansion provides an expression for this extent:

$$\left(1 + \frac{\rho_t u^2}{Y_t}\right) \sqrt{K_t - \rho_t u^2 \alpha} = \left(1 + \frac{\rho_t u^2 \alpha^2}{2G_t}\right) \sqrt{K_t - \rho_t u^2}$$

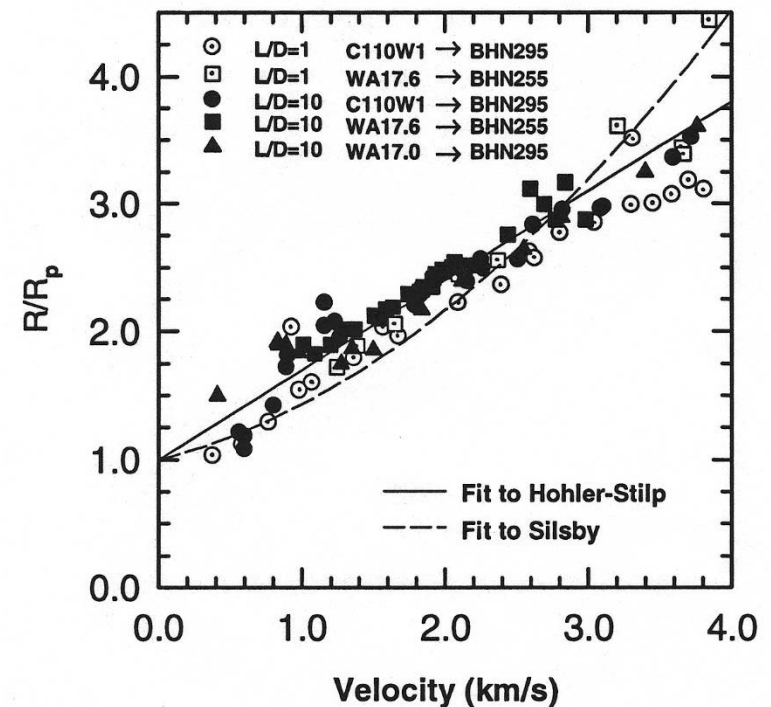
- Compressibility of the target is reflected in  $\alpha$ .
- High pressure stiffness adjustment

$$K_t \sim K_0 \left(1 + k \frac{u_p}{c_0}\right)$$

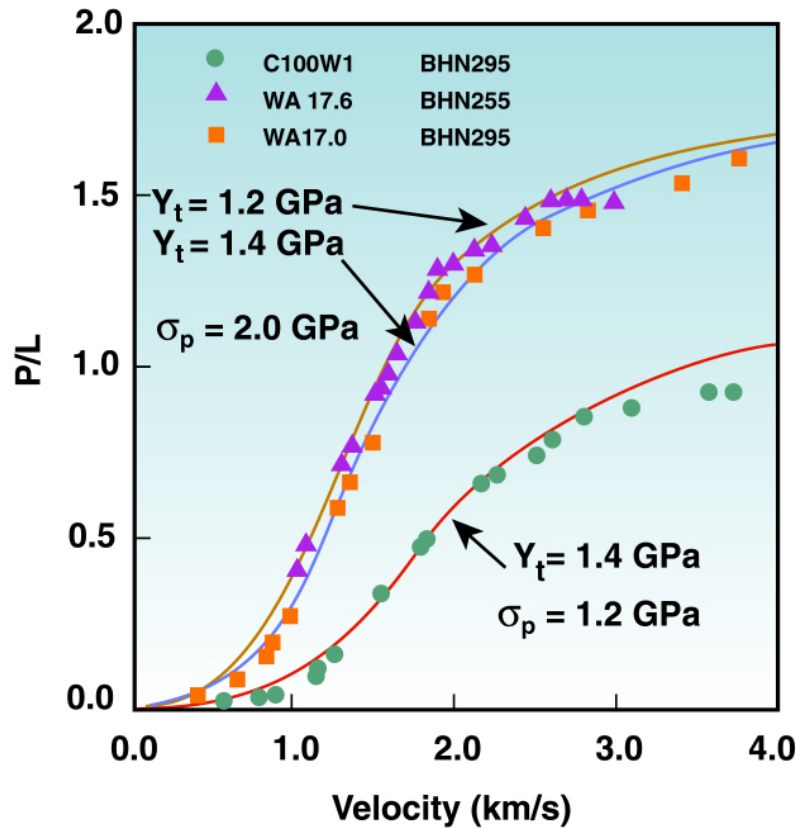
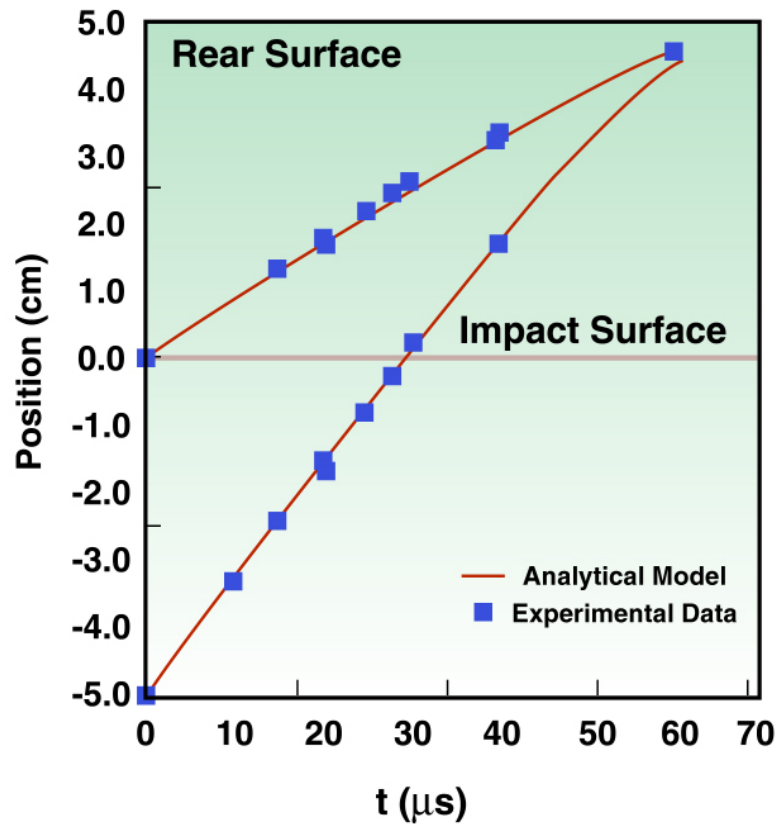
- *Curve fit based on experimental data: depends on projectile and target material properties and penetration velocity*



$$R = R_p (1 + 0.287v_o + 0.148v_o^2) \quad [v_o] = \text{km/s}$$



$$R = R_p (1 + 0.70v_o)$$



J. D. Walker and C. E. Anderson, Jr., "A time-dependent model for long-rod penetration," *Int. J. Impact Engng.*, **16**(1): 19-48, 1995.

# Walker-Anderson Model $\Rightarrow$ Tate Model

$$\begin{aligned} \rho_p \dot{v}(L-s) + \dot{u} \left\{ \rho_p s + \rho_t R \frac{\alpha-1}{\alpha+1} \right\} + \rho_p \frac{d}{dt} \left( \frac{v-u}{s} \right) \frac{s^2}{2} + \rho_t \dot{\alpha} \frac{2Ru}{(\alpha+1)^2} \\ = \frac{1}{2} \rho_p (v-u)^2 - \left\{ \frac{1}{2} \rho_t u^2 + \frac{7}{3} Y_t \ln \alpha \right\} \end{aligned}$$

- *In the limit where the three dimensional terms are removed, the Walker-Anderson penetration model reduces to the Tate model:  
 $R \rightarrow 0$  and  $s \rightarrow 0$*

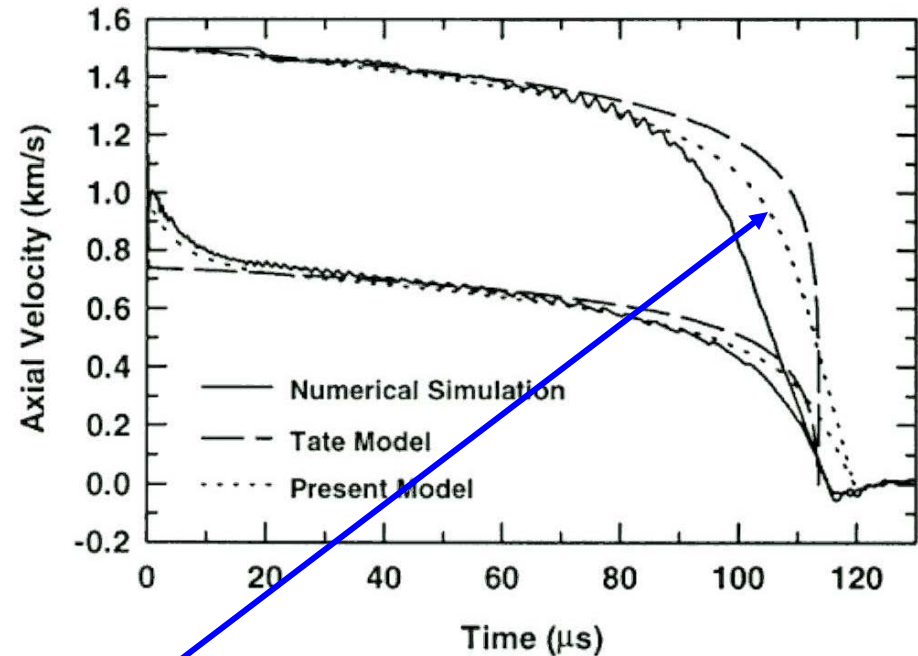
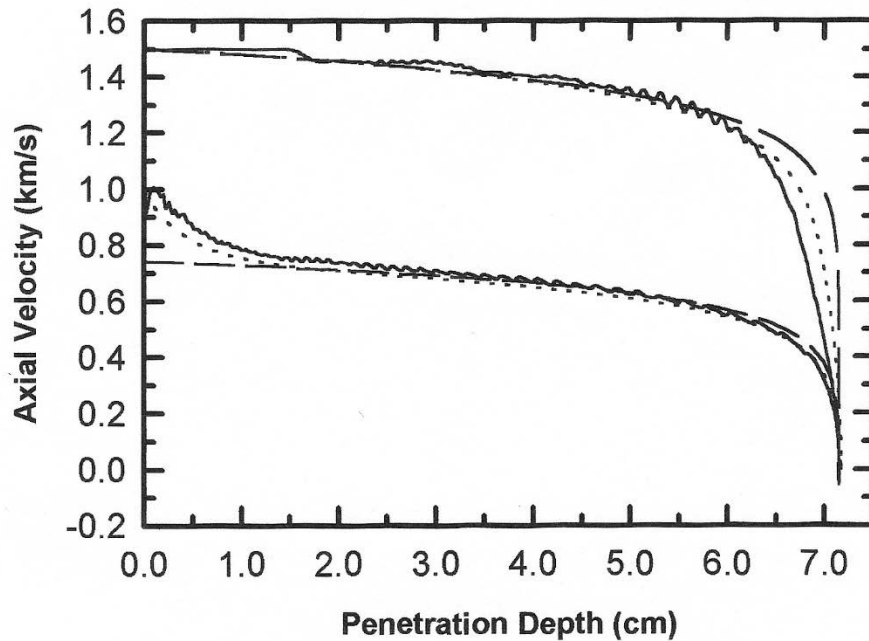
*Also let the Young's modulus in the projectile become large:  $c \rightarrow \infty$*

- *Then*  $-\rho_p \dot{v}L + \frac{1}{2} \rho_p (v-u)^2 = \frac{1}{2} \rho_t u^2 + \frac{7Y_t}{3} \ln(\alpha)$

$$\dot{v} = -\frac{\sigma_p}{\rho_p L}$$

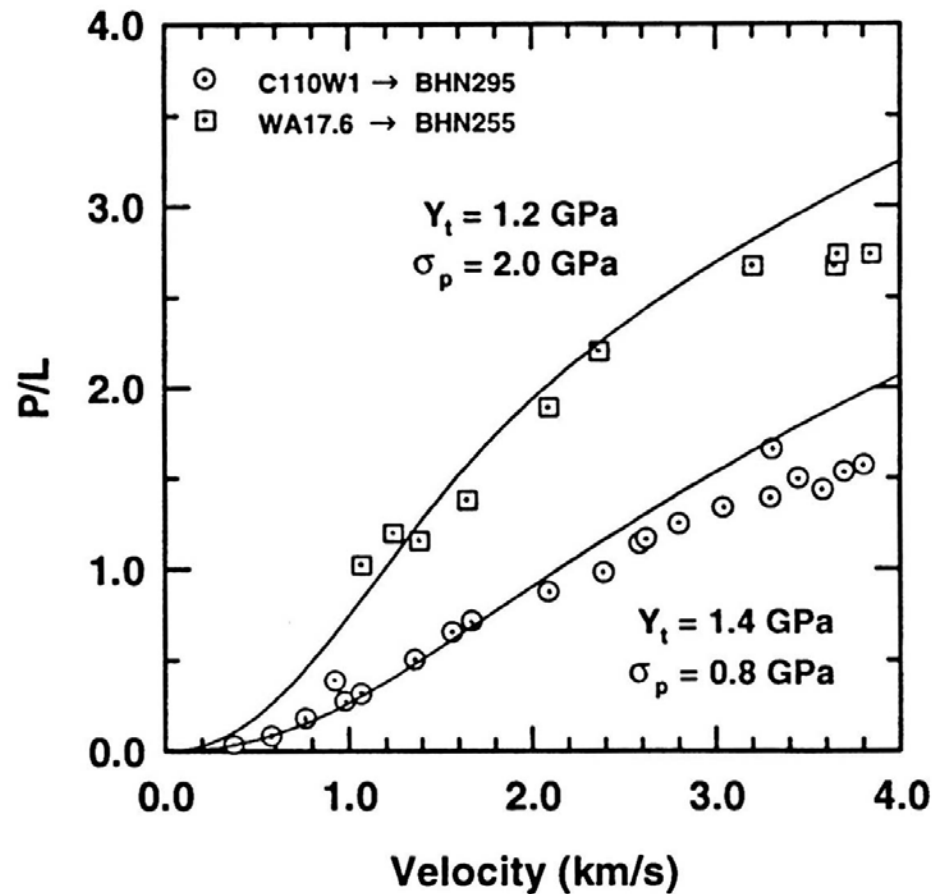
$$\dot{L} = -(v-u)$$

**Tate:**  $Y_p = 1.7 \sigma_p$   
 $R_t = \frac{7Y_t}{3} \ln(\alpha)$

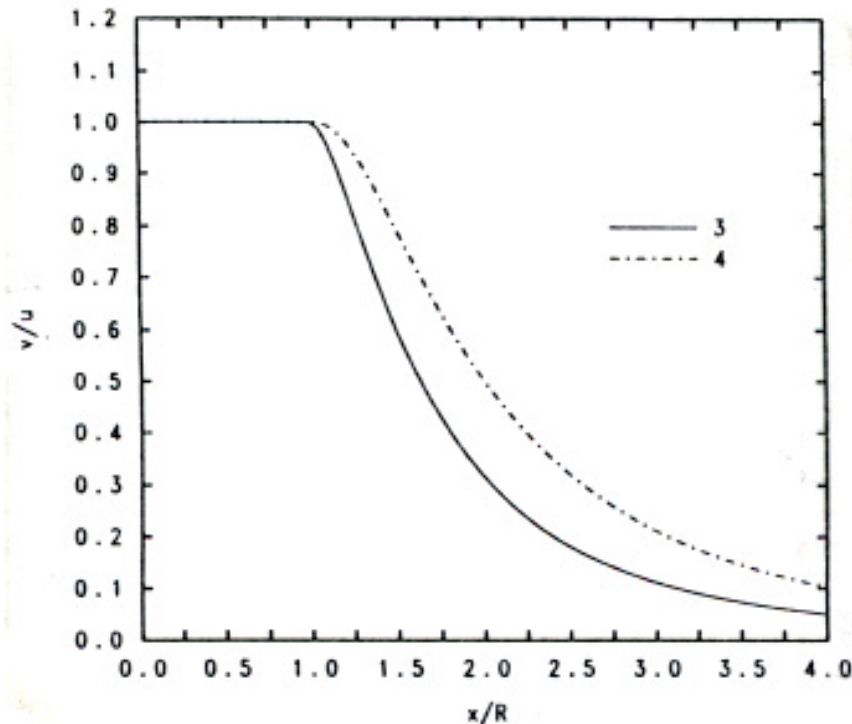


When rod is short ( $L/D \sim 1$ ), tail of rod does not decelerate quite as rapidly as should; tail “sees” the high-pressures at projectile-target interface, not just elastic deceleration waves

# Walker-Anderson Model Results



$L/D = 1$



- For back surface bulge, a flow field is achieved through a multiplicative blending of the potentials for hemispherical flow and a shear flow

$$\vec{A}(r, \theta) = A_{\text{deep}}^{\lambda} A_{\text{shear}}^{1-\lambda} \hat{e}_{\phi}$$

- Velocity is obtained from curl

$$\vec{v} = \text{curl}(A(r, \theta) \hat{e}_{\phi})$$

- Two shear flows are

$$(v_z)_3 = u \left( \frac{R}{x} \right)^3 \left\{ 4 - 3 \frac{R}{x} \right\}$$

$$(v_z)_4 = u \left( \frac{R}{x} \right)^3 \left\{ 10 - 15 \frac{R}{x} + 6 \left( \frac{R}{x} \right)^2 \right\}$$

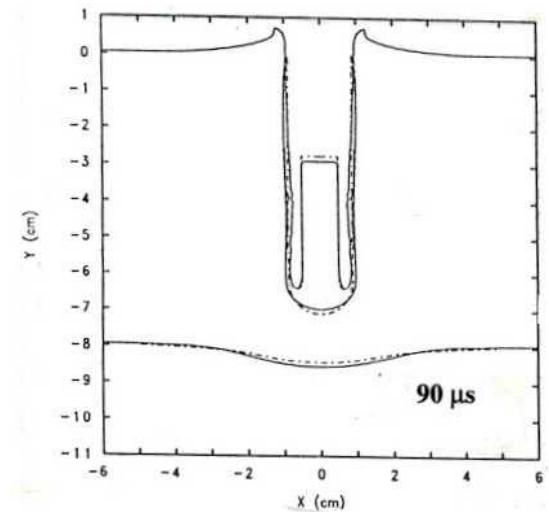
J. D. Walker, "An analytic velocity field for back surface bulging," *Proc. Int. Symp. Ballistics*, **2**: 1239-1246, Technomic Publishing Company, Lancaster, PA, 1999.

- Amount of material with shear vs. deep penetration potential is based on the hemisphere volume (radius  $\tilde{R}$ ) overlapping the remaining thickness cylinder volume (thickness  $T$ )

$$\lambda = \frac{3}{2} \frac{T}{\tilde{R}} - \frac{1}{2} \left( \frac{T}{\tilde{R}} \right)^3$$

- Target resistance term is replaced by

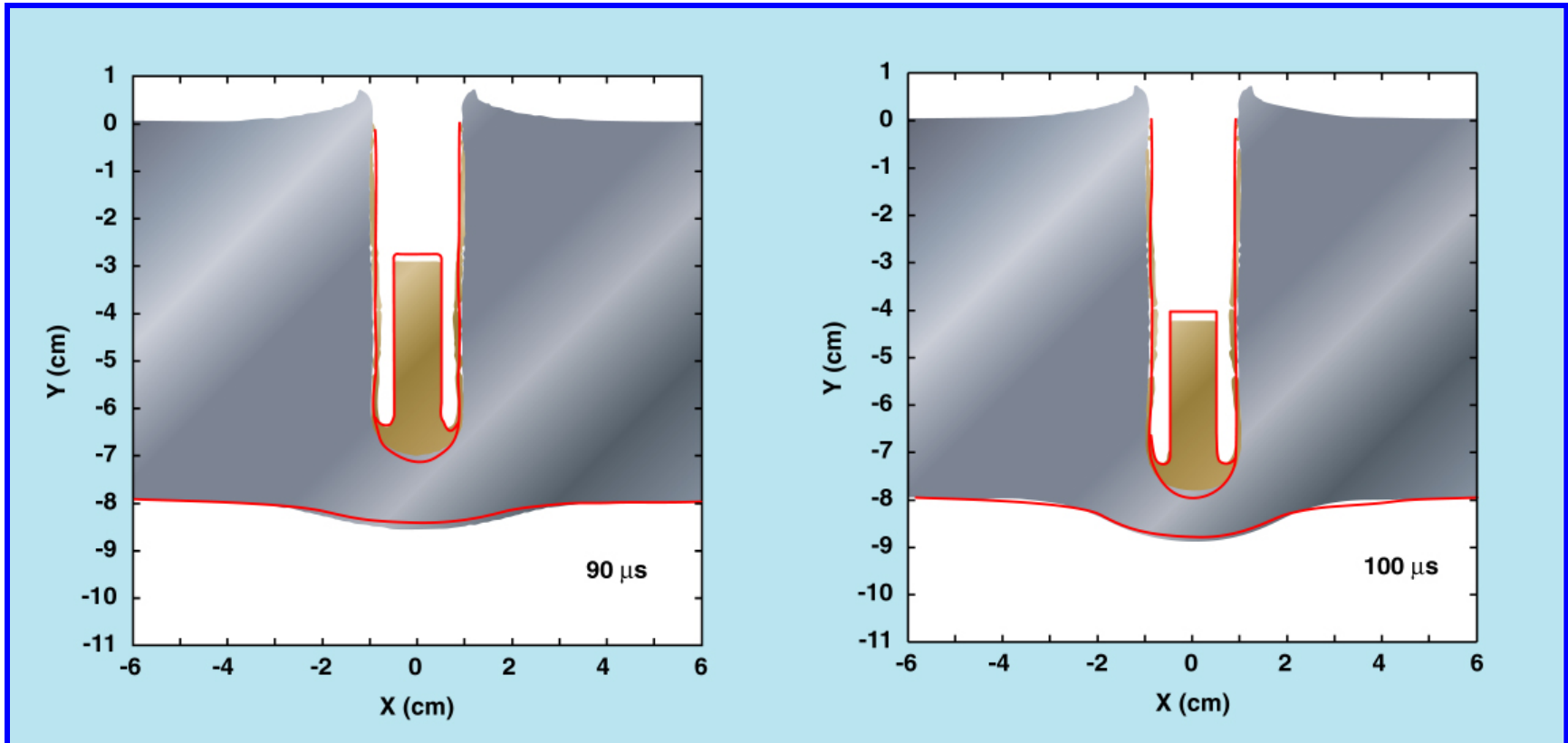
$$-\left\{ \frac{1}{2} \rho_t u^2 + \frac{7}{3} Y_t \ln(\alpha) \right\} \quad \text{by} \quad -\frac{1}{2} \rho_t (u - u_{back})^2 + \frac{7}{3} Y_t \ln(\tilde{\alpha})$$



- Back surface location can be computed since the velocity is known.

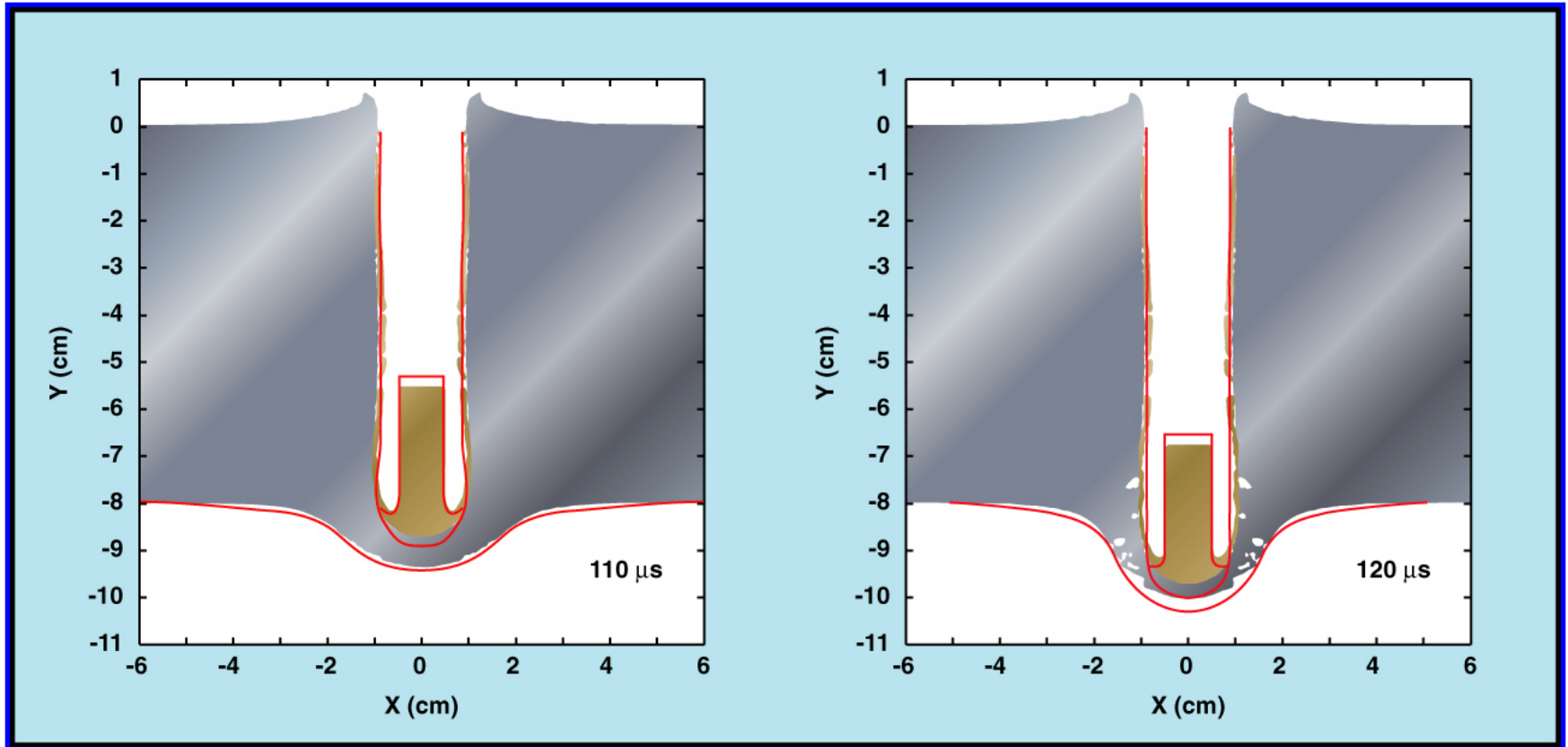


# Analytical Model Compared to Numerical Simulations



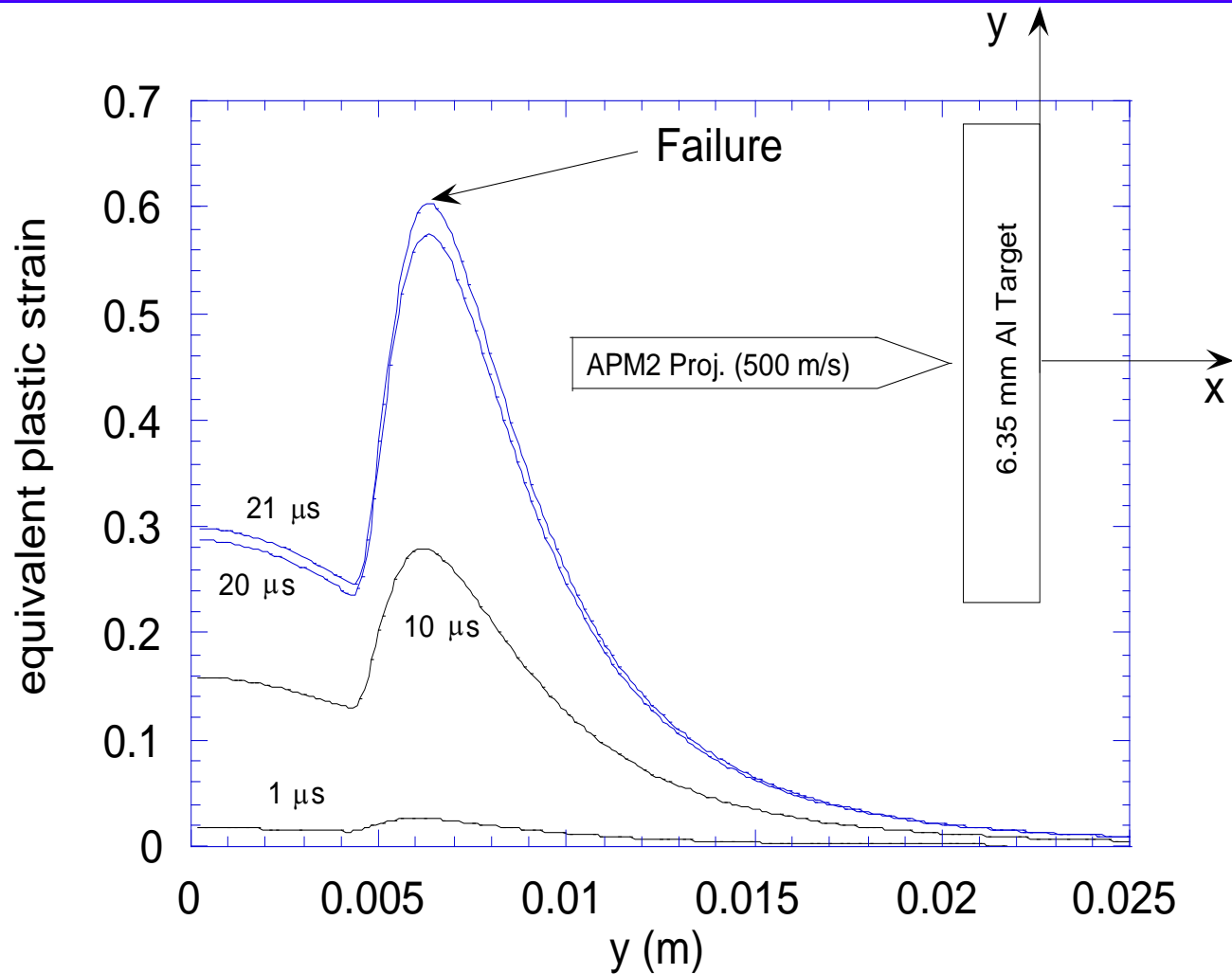
Tungsten into Steel,  $L/D=10$ , 1.5 km/s

# Analytical Model Compared to Numerical Simulations



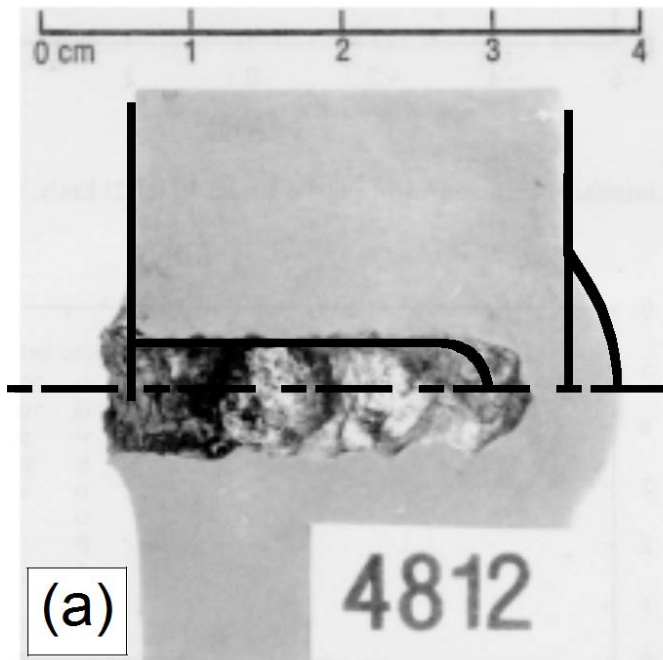
Tungsten into Steel,  $L/D=10$ , 1.5 km/s

# Back Surface Strains



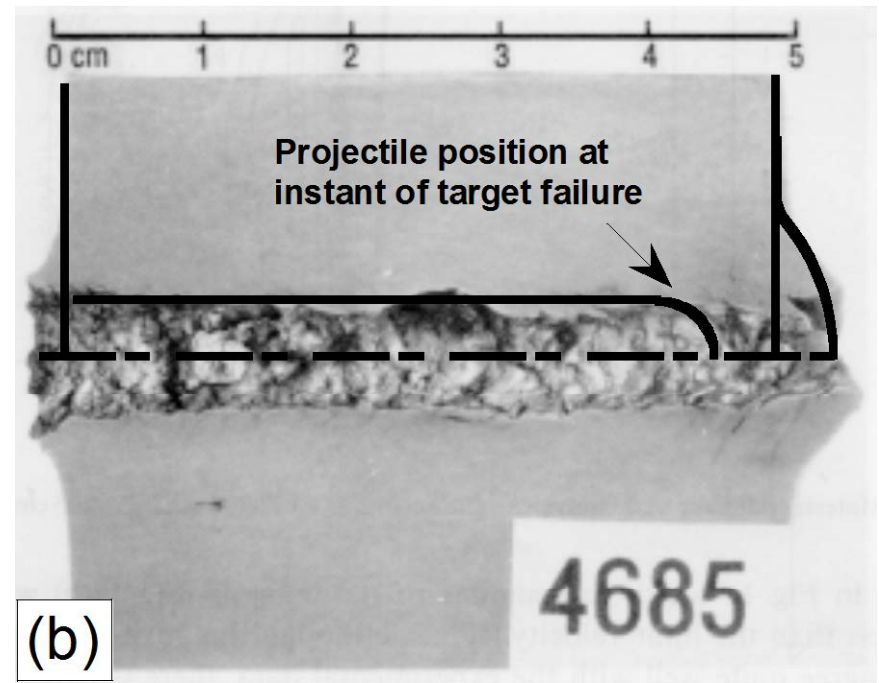
# Analytical Model Compared to Experiment

$T = 2.90 \text{ cm}$



$V_o = 1241 \text{ m/s}$

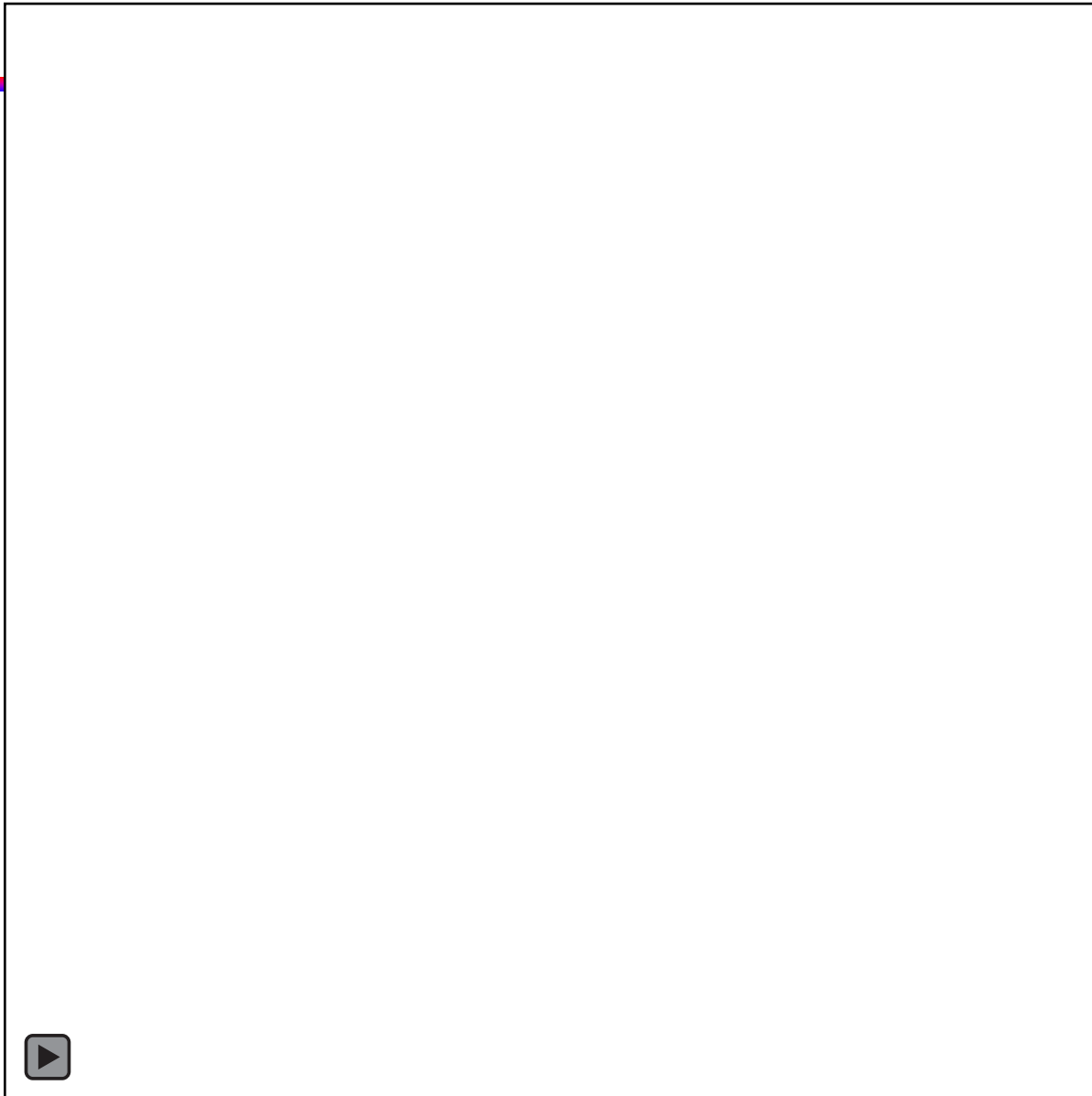
$T = 4.95 \text{ cm}$



$V_o = 1700 \text{ m/s}$

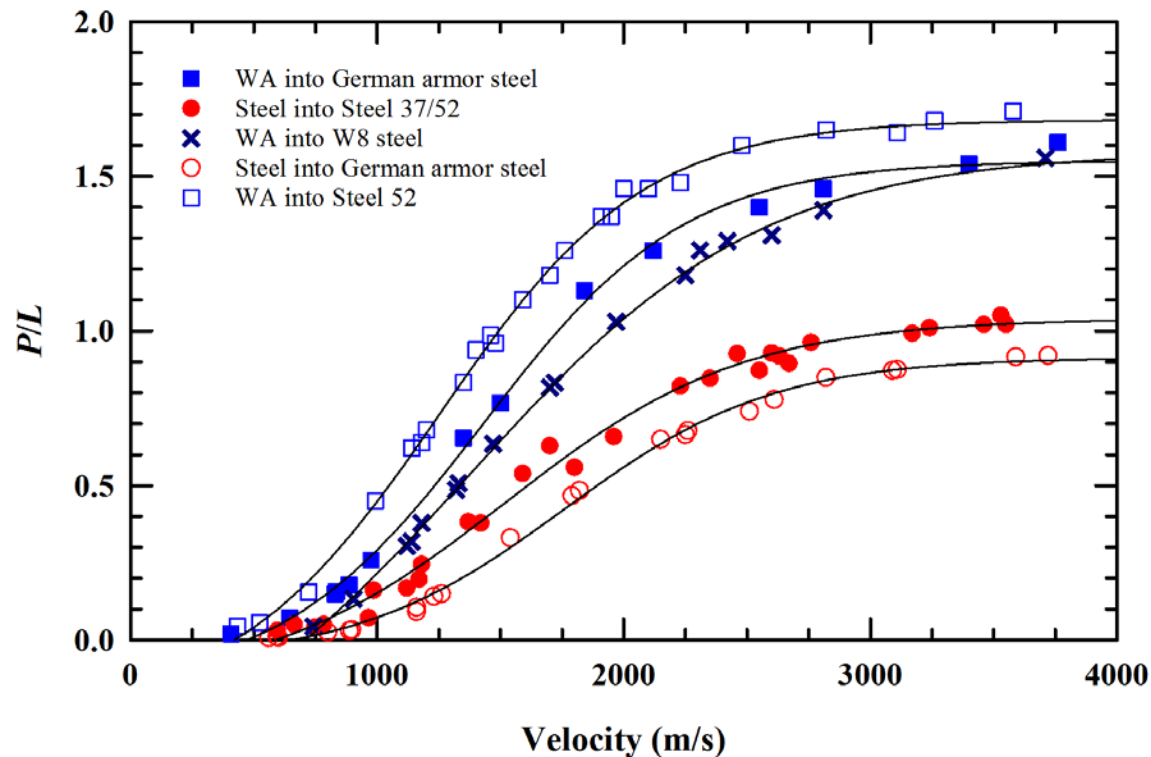
J. D. Walker, "An analytic velocity field for back surface bulging," *Proc. Int. Symp. Ballistics*, 2: 1239-1246, Technomic Publishing Company, Lancaster, PA, 1999.

# *Model Results*



# *Similitude Analysis*

# *P/L vs. Impact Velocity*



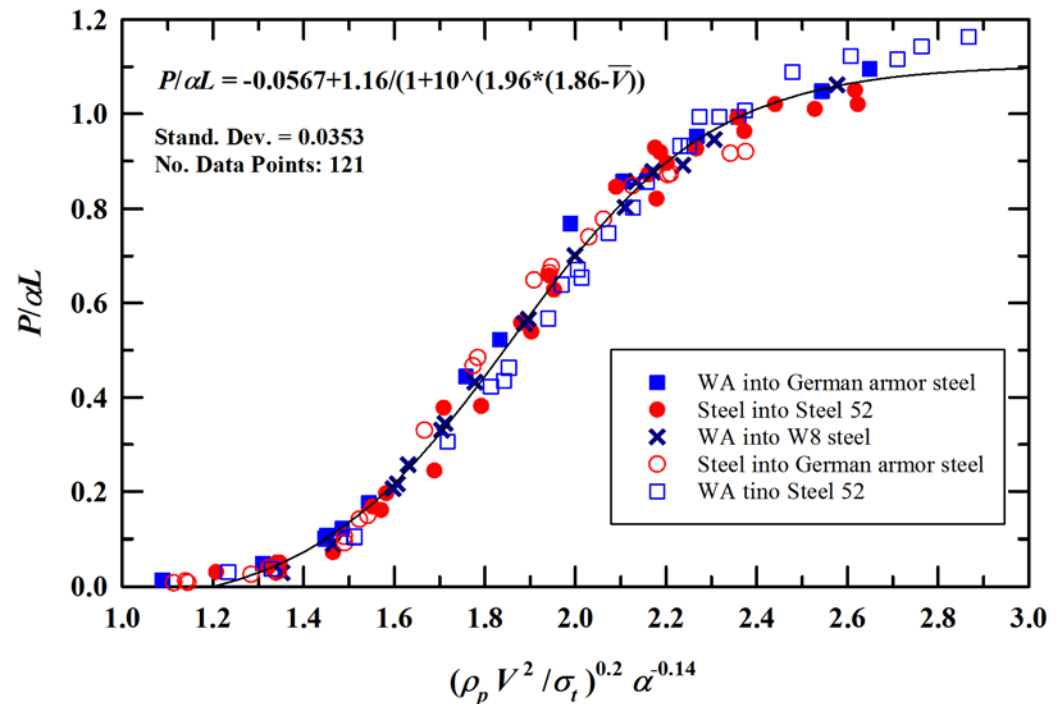
Data from Hohler and Stilp, compiled in: C. E. Anderson, Jr., B. L. Morris, and D. L. Littlefield, "A penetration mechanics database," SwRI Report 3593/001, San Antonio TX, 1992, prepared for DARPA.

	$\rho$ (g/cm <sup>3</sup> )	$\sigma_t$ (GPa-)
tungsten alloy	17.0	-
W8 steel	7.85	1.57
St 52 steel	7.85	0.858
St37/52 steel	7.85	1.09
German armor steel	7.85	1.41

## ■ Non-dimensionalization

- $P / \alpha L \propto \sqrt{\rho_p / \rho_t}$

- $\left( \frac{\rho_p V^2}{\sigma_t} \right)^{0.2} \alpha^{-0.14}$

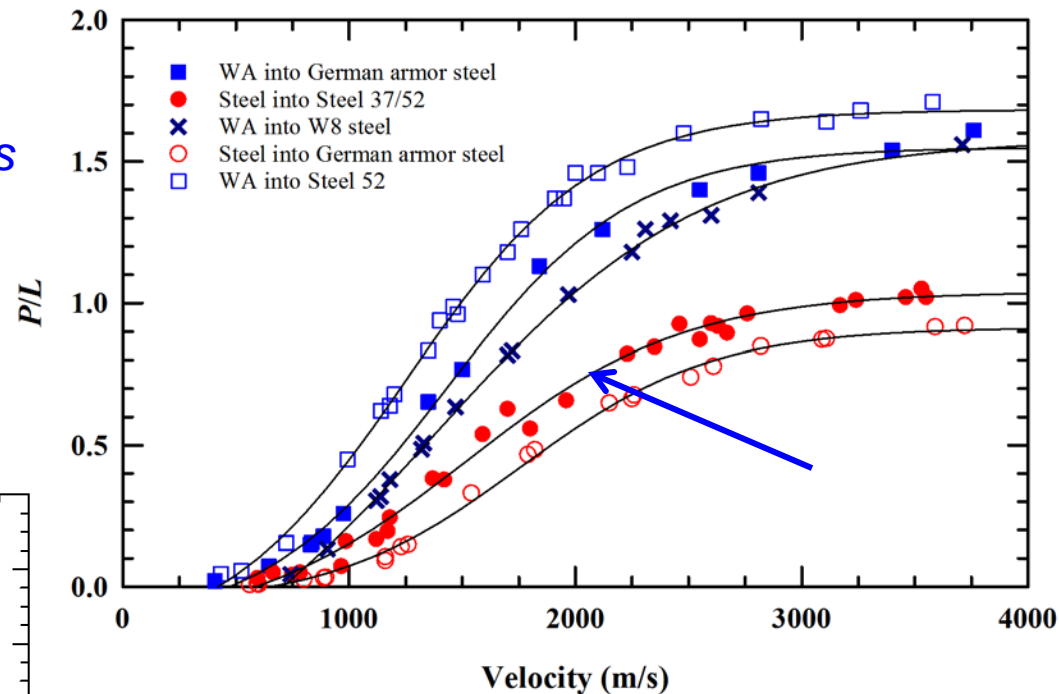
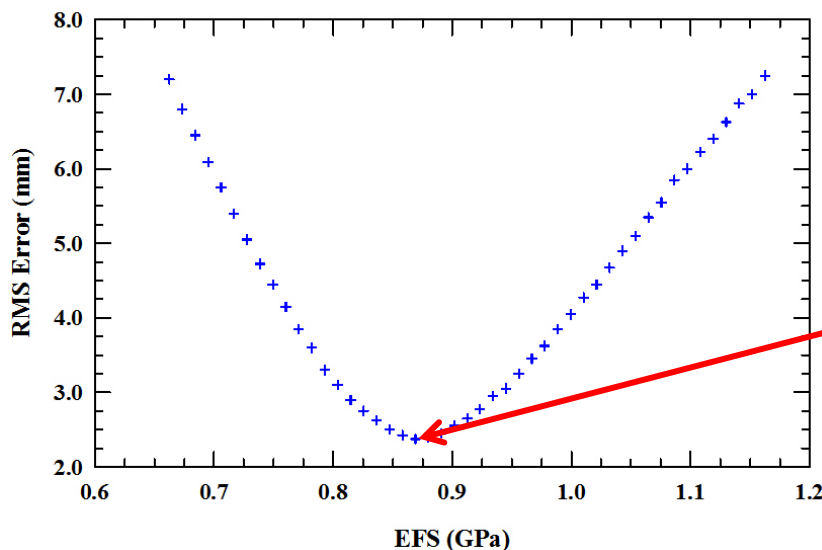


C. E. Anderson, Jr. and J.P. Riegel III, "A penetration model based on experimental data," *Int. J. Impact Engng.*, **80**: 24-35, 2015.



## ■ Use Walker-Anderson model

- Parametric study on  $P/L$  as a function of velocity for various values of  $\sigma_t$
- Select value of  $\sigma_t$  that minimized the root mean square error on  $P/L$



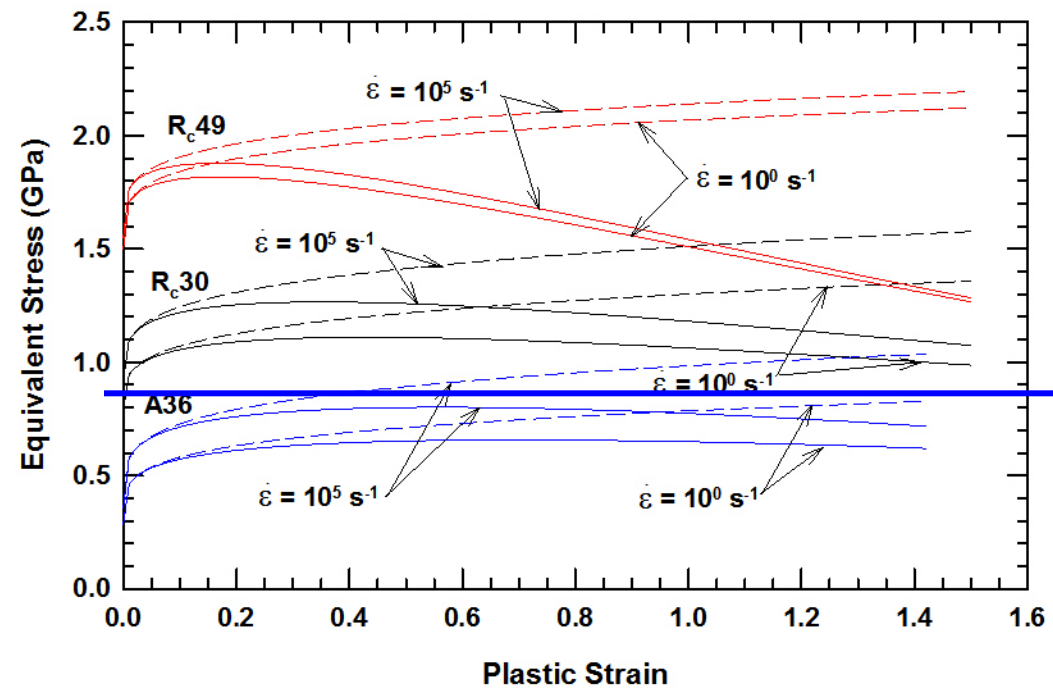
$$\sigma_t = 0.858 \text{ GPa}$$

$$R_t = \frac{7Y_t}{3} \ln(\alpha)$$

J.P. Riegel, III and C. E. Anderson, Jr., "Target effective flow stress calibrated using the Walker-Anderson penetration model," *Proc. 28<sup>th</sup> Int. Symp. Ballistics*, DESTech Publications, Inc., 2: 1242-1253, 2014.

Do these values of  $\sigma_t$  have any relationship to reality?

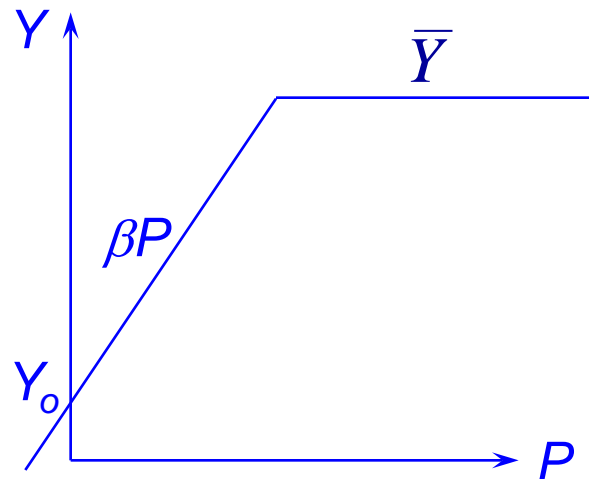
	$\rho$ (g/cm <sup>3</sup> )	$\sigma_t$ (GPa-)
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<u>St 52 steel</u>	<u>7.85</u>	<u>0.858</u>
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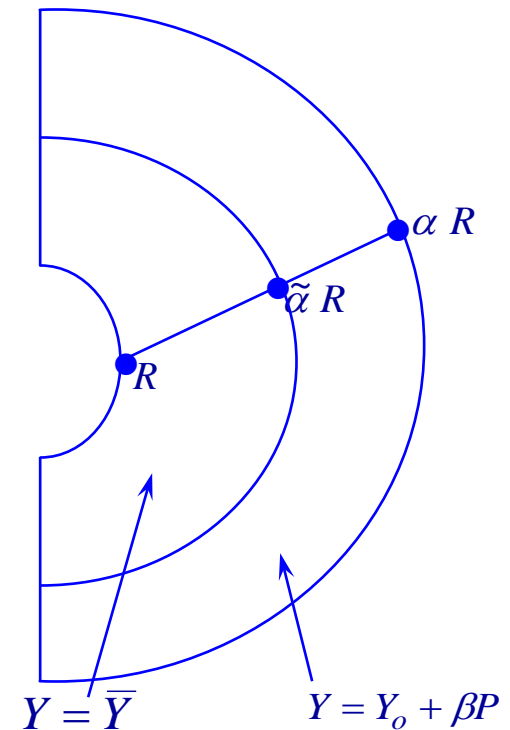
Interpretation:  $\sigma_t \equiv Y_t$  is the average flow stress over the volume of the plastic zone including strain-hardening and strain-rate effects

# *Ceramics & Glasses*

- Drucker-Prager yield surface



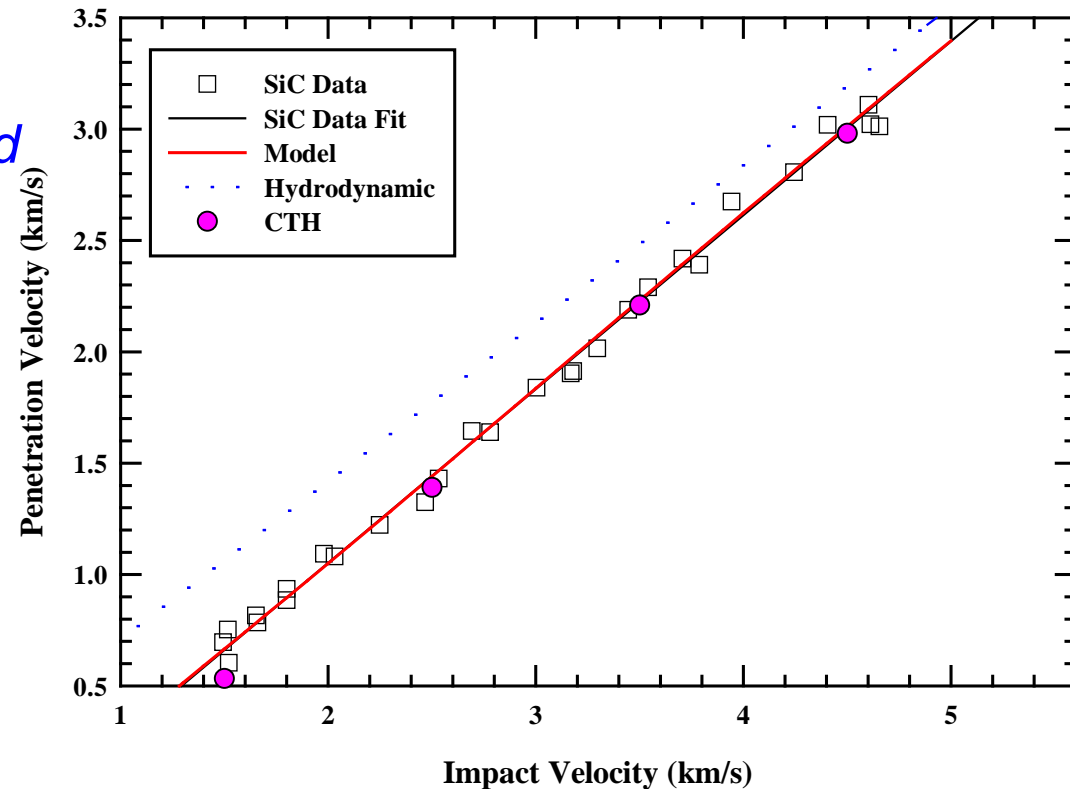
- Requires solving for an interior boundary



J. D. Walker, "Analytic model for penetration of thick ceramic targets,"  
*Ceramic Transactions*, **134**: 337-348 (2002)

# Modeled Orphal-Franzen Experiments

- Tungsten long rods into SiC
- Assumption: penetrating failed material
- Determined  $Y_o$  (0.1 GPa),  $\beta$  (2.5), and  $Y_{cap}$  (3.7 GPa)
- Used same parameters in numerical simulations

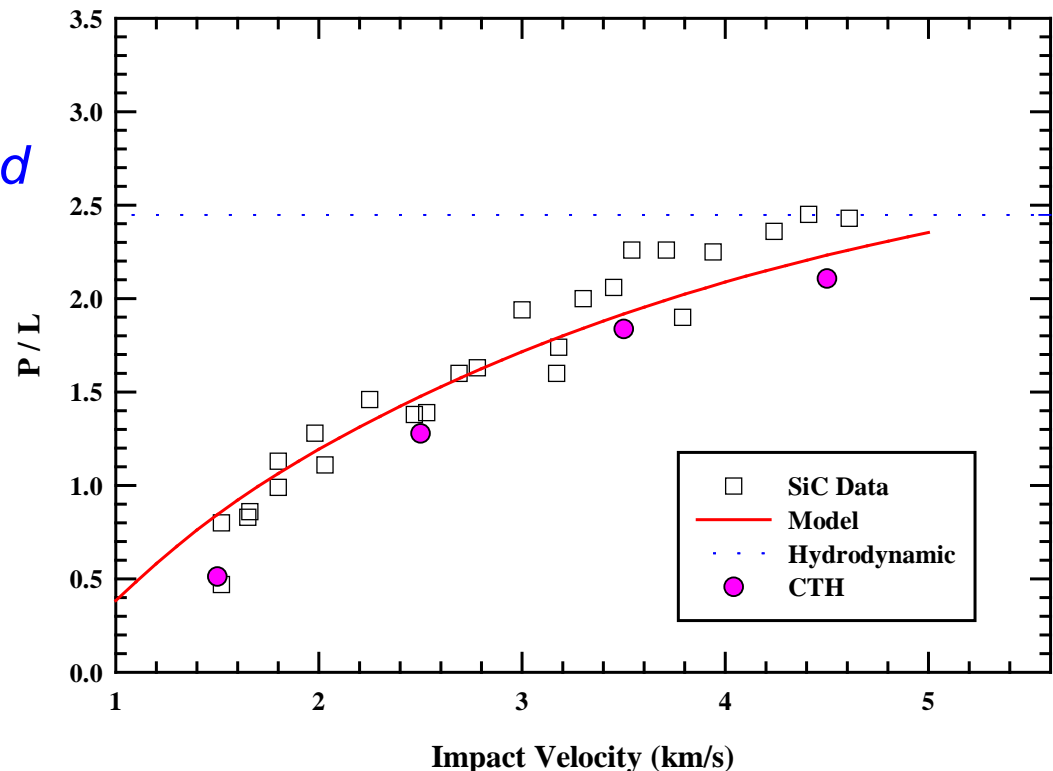


J. D. Walker, "Analytic model for penetration of thick ceramic targets," *Ceramic Transactions*, **134**: 337-348 (2002)

D. L. Orphal and R. R. Franzen, "Penetration of confined silicon carbide targets by tungsten long rods at impact velocities from 1.5 to 4.6 km/s," *Int. J. Impact Engng.*, **19**(1): 1-13 (1997).

# Modeled Orphal-Franzen Experiments

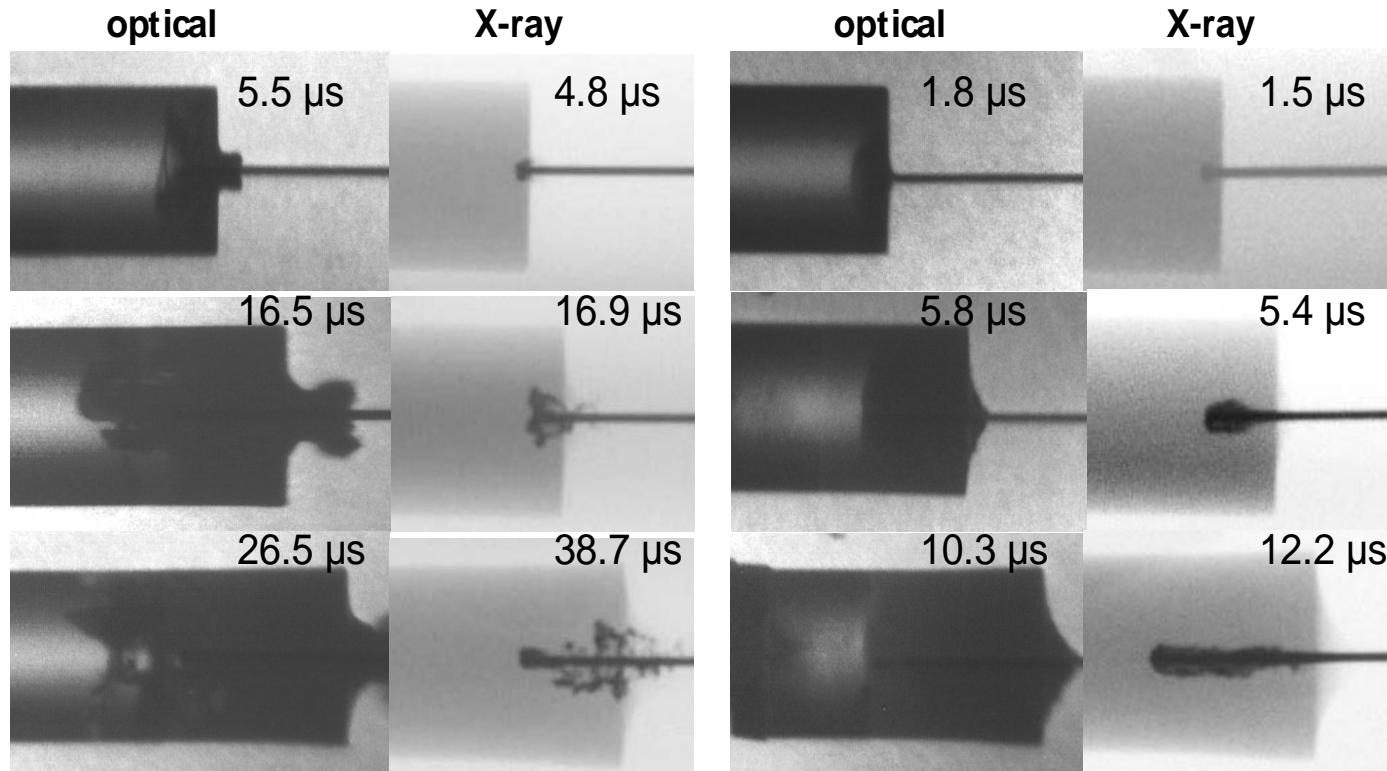
- Tungsten long rods into SiC
- Assumption: penetrating failed material
- Determined  $Y_o$  (0.1 GPa),  $\beta$  (2.5), and  $Y_{cap}$  (3.7 GPa)



J. D. Walker, "Analytic model for penetration of thick ceramic targets," *Ceramic Transactions*, **134**: 337-348 (2002)

D. L. Orphal and R. R. Franzen, "Penetration of confined silicon carbide targets by tungsten long rods at impact velocities from 1.5 to 4.6 km/s," *Int. J. Impact Engng.*, **19**(1): 1-13 (1997).

- Reverse ballistic experiments of borosilicate glass into a long, gold rod

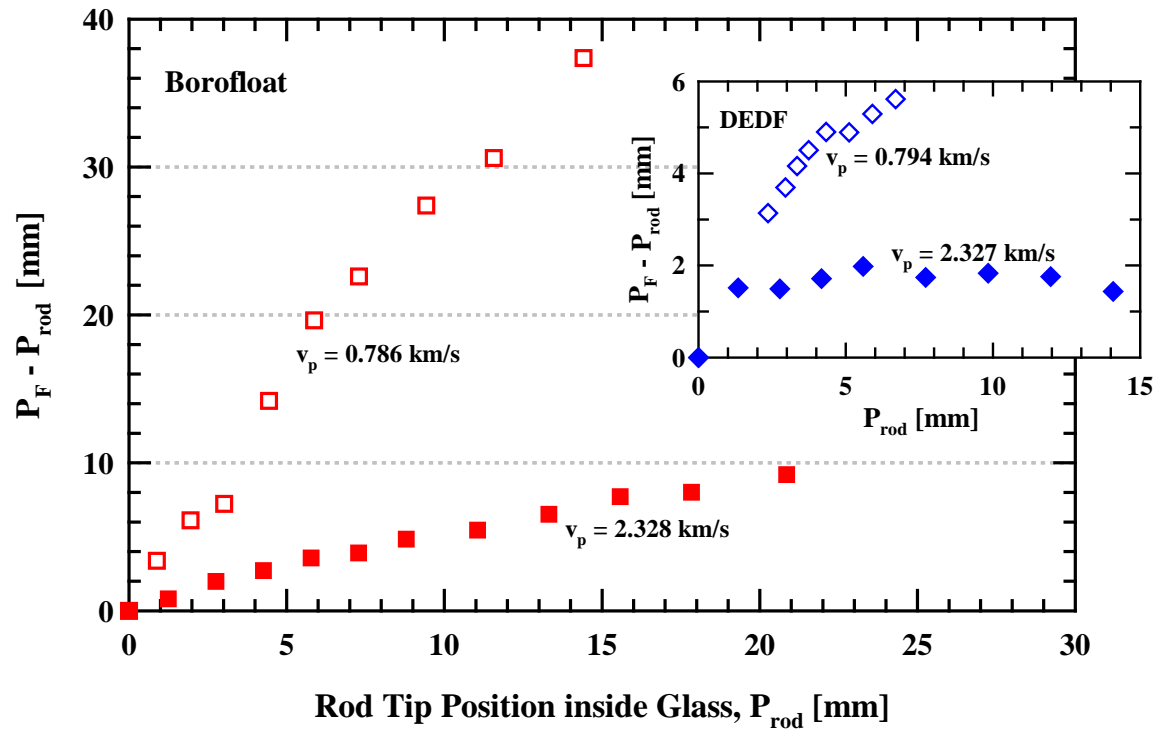


Exp. 10557,  $v_p = 786$  m/s

Exp. 10585,  $v_p = 2328$  m/s

T. Behner, C. E. Anderson, Jr., D. L. Orphal, V. Hohler, M. Moll, and D. W. Templeton, "Penetration and failure of lead and borosilicate glass against rod impact," *Int. J. Impact Engng.*, **35**(6): 447-456 (2008).

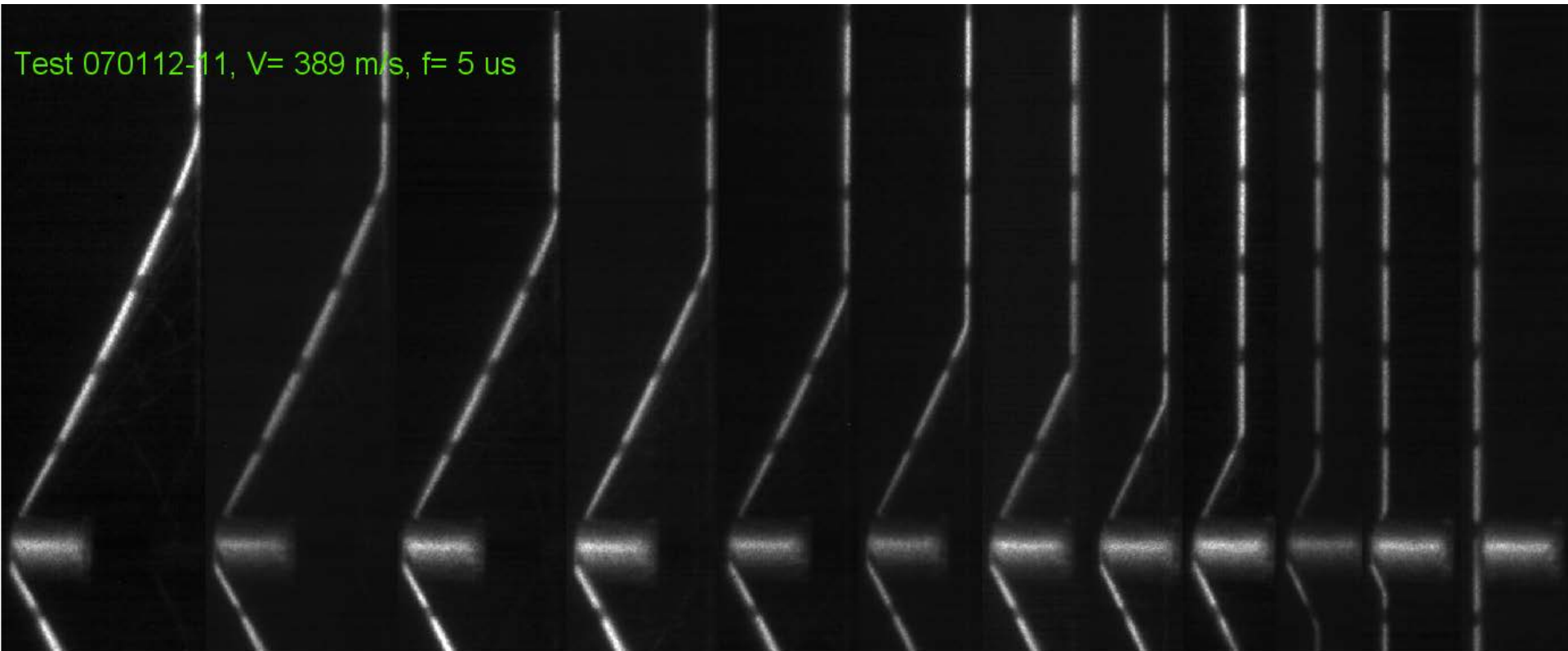
# Position of Failure Front wrt Rod Tip



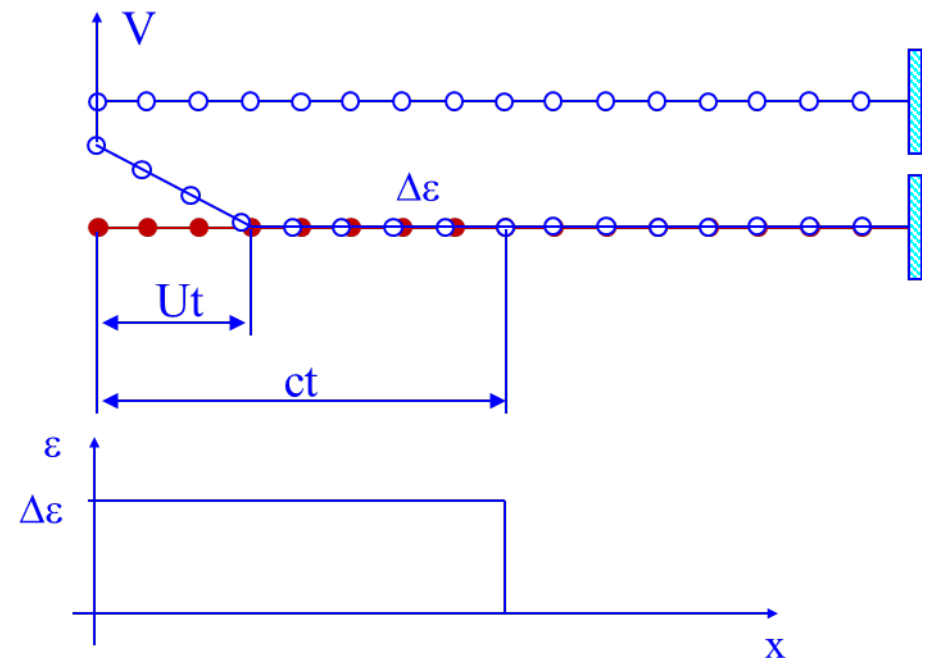
- *Failure front moves faster than penetrating projectile  
→ projectile penetrating failed material*



# *Yarn Impact*



- Longitudinal wave travels at speed of sound  $c$
- Transverse wave travels slower at a speed  $U$
- Wave reflects on boundary and impact point increasing by  $\Delta\varepsilon$  at each reflection until yarn breaks.



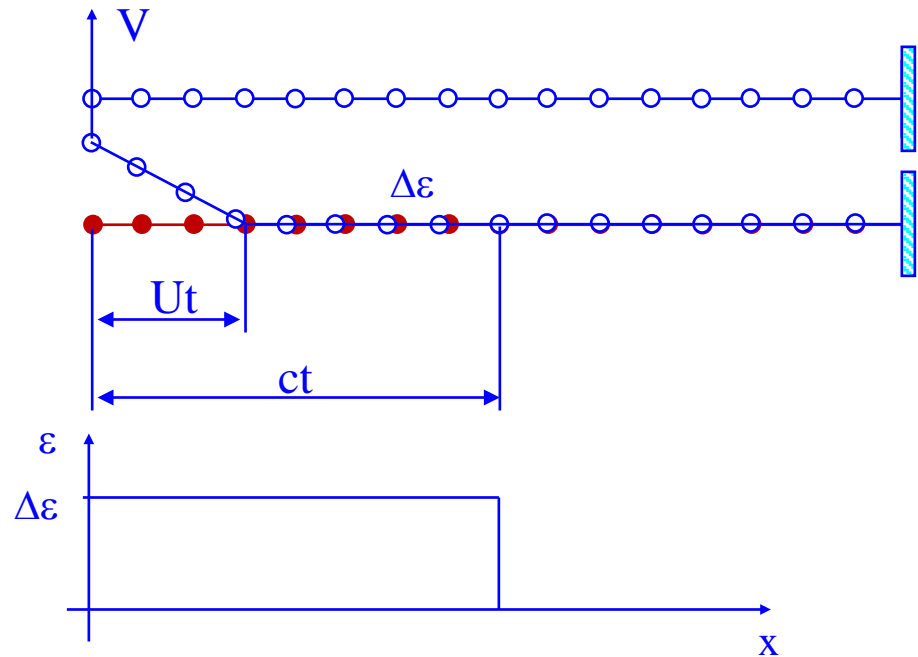
J. C. Smith, F. L. McCrackin, and H. F. Schiefer, "Stress-strain relationships in yarns subjected to rapid impact loading: Part V: Wave propagation in long textile yarns impacted transversely," *Textile Res. Journal*, **28**: 288-302, 1958.

- Given impact velocity and sound speed in the yarn, can determine the strain and the transverse wave velocity:

$$V = c\sqrt{\varepsilon(2\sqrt{\varepsilon(1+\varepsilon)} - \varepsilon)}$$

$$U = c(\sqrt{\varepsilon(1+\varepsilon)} - \varepsilon)$$

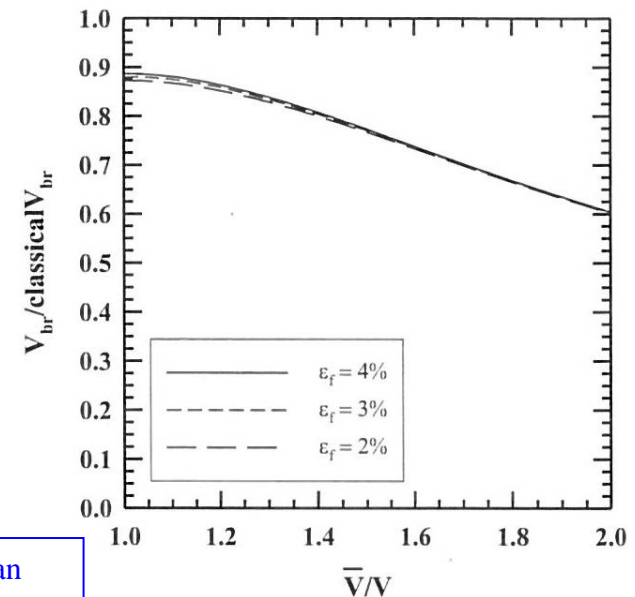
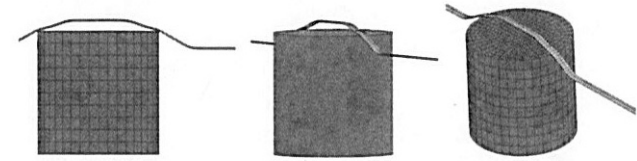
- When  $\sum \Delta\varepsilon \geq \varepsilon_f$  yarn breaks



J. C. Smith, F. L. McCrackin, and H. F. Schiefer, "Stress-strain relationships in yarns subjected to rapid impact loading: Part V: Wave propagation in long textile yarns impacted transversely," *Textile Res. Journal*, **28**: 288-302, 1958.

- Critical velocity,  $V_{br}$ , is the impact velocity where the induced strain is  $\varepsilon_f$
- However, using FSP's, the critical velocity is generally less than that predicted by the classical Smith theory, e.g., ~627 m/s instead of 945 m/s for KM2 ( $\varepsilon_f = 4.25\%$ )
- An analytical model was developed that incorporates the wave interactions from the sides of the flat projectile, plus any “bounce” of the yarn, reduces the critical velocity

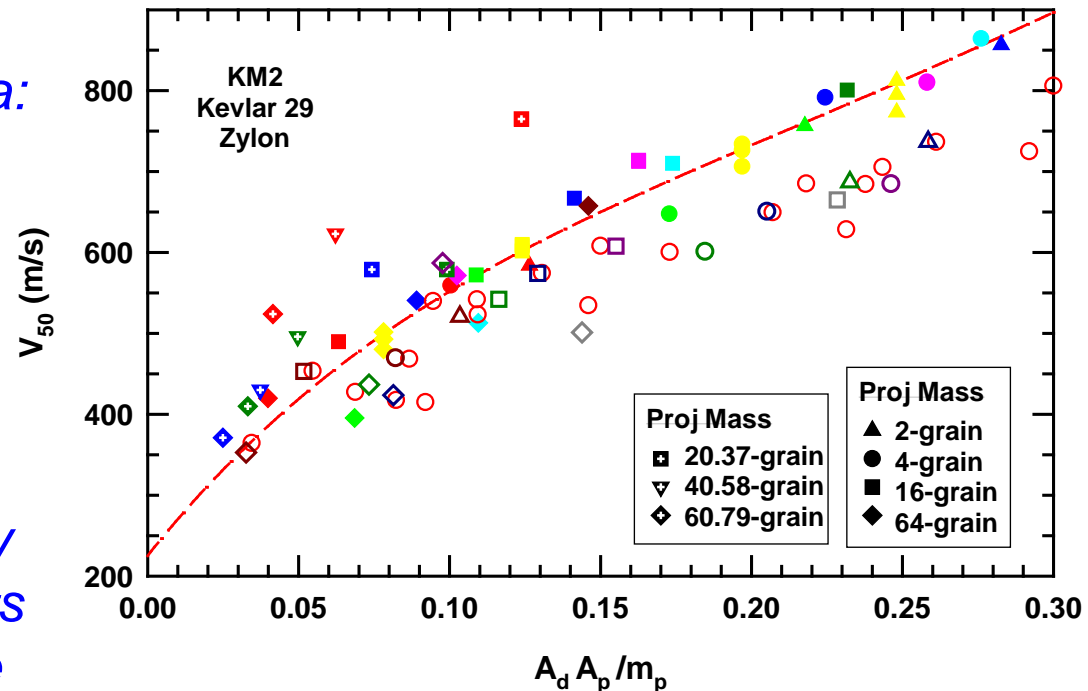
$$V_{br} = c \sqrt{\varepsilon_f \left( 2\sqrt{\varepsilon_f (1 + \varepsilon_f)} - \varepsilon_f \right)}$$



J. D. Walker and S. Chocron, “Why impacted yarns break at lower speed than classical theory predicts,” *J. Appl. Mech.*, **78**: 051021-1/7, 2011.

# *Fabric Response & Resin-Impregnated Fabrics*

- Like to plot  $V_{50}$  as some function that accounts for different fabric materials, different number of plies, and different projectile masses
- Defined a non-dimensional abscissa:
- $A_d =$  areal density of fabric (accounts for different number of plies and fabric density)
- $m_p/A_p =$  areal density of projectile (accounts for different projectile cross-sectional areas and projectile masses)



KM2/#Plies	
Red	12
Green	20
Yellow	23
Blue	27
Pink	30
Cyan	33
Gray	44

Kev29/#Plies	
D. Red	8
D. Green	16
D. Blue	18
D. Pink	22
D. Gray	54

Zylon/#Plies	
Blue	28
D. Green	37
Red	46

P. M. Cunniff, "Dimensionless parameters for optimization of textile-based body armor systems," *Proc. 18<sup>th</sup> Symp. Ballistics*, 2: 1303-1310, Technomic Publishing Co, Lancaster, PA, 1999.

- Couple fabric response to properties of the yarns

- Typically, yarns are linearly elastic until failure

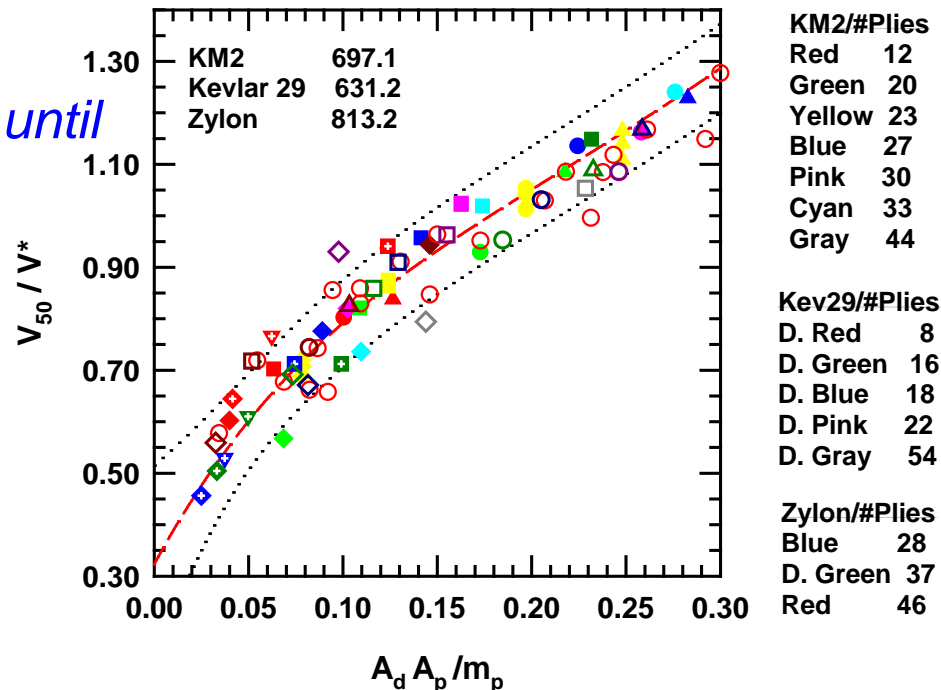
- Strain energy per volume:

$$U = \frac{1}{\rho} \int \sigma d\varepsilon = \frac{1}{2} \frac{\sigma_u}{\varepsilon_f}$$

- Cunniff defined a new variable

$$U^* = Uc = U \sqrt{E/\rho} \text{ [m}^3/\text{s}^3]$$

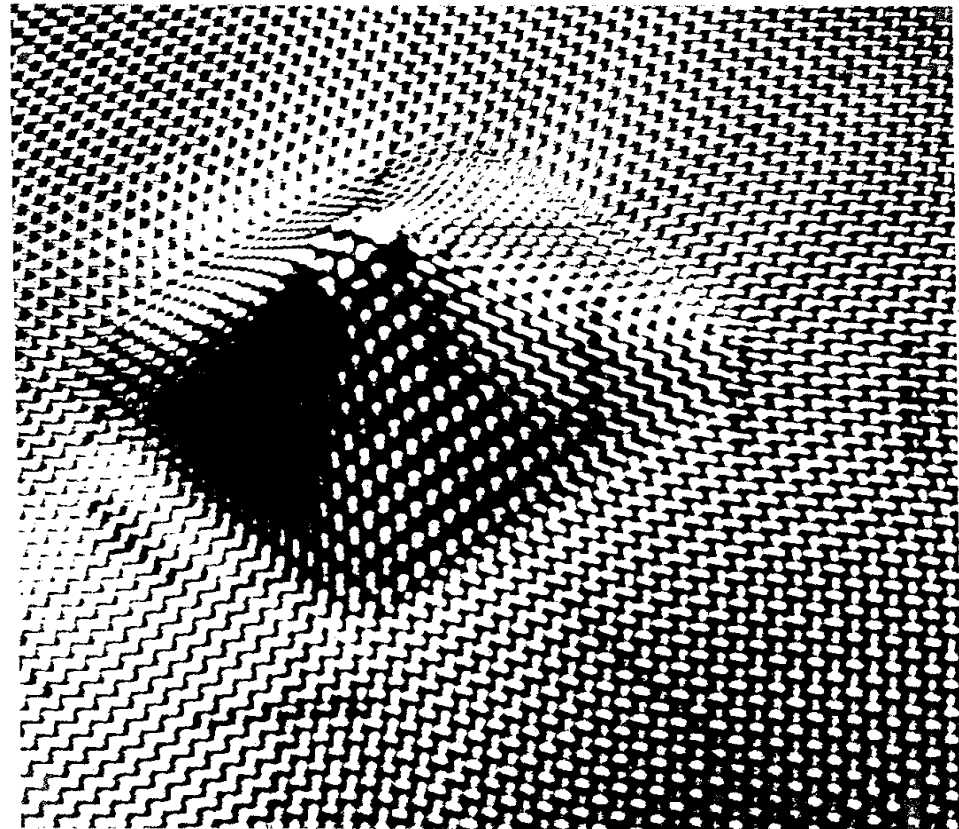
$$V^* = (U^*)^{1/3}$$



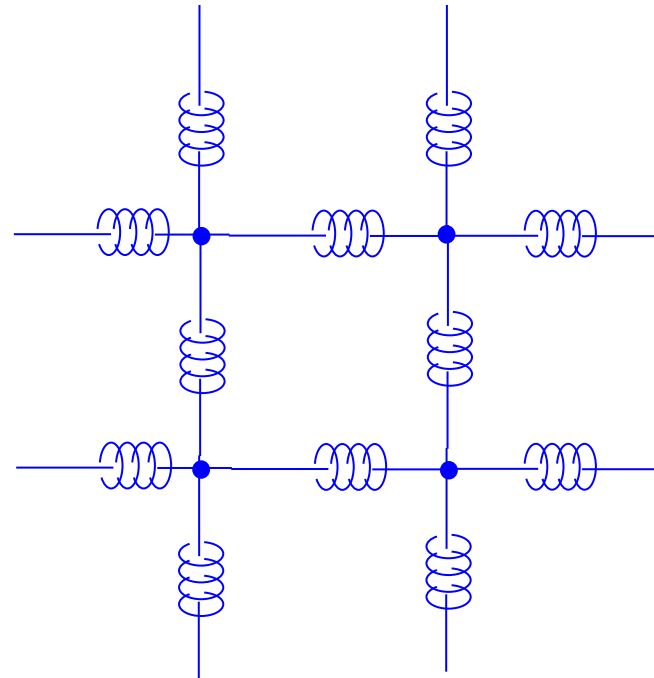
P. M. Cunniff, "Dimensionless parameters for optimization of textile-based body armor systems," *Proc. 18<sup>th</sup> Symp. Ballistics*, 2: 1303-1310, Technomic Publishing Co, Lancaster, PA, 1999.



- *Deformation of fabrics results in a definitive pyramidal shape*
- *Modeling this response analytically was a challenge*

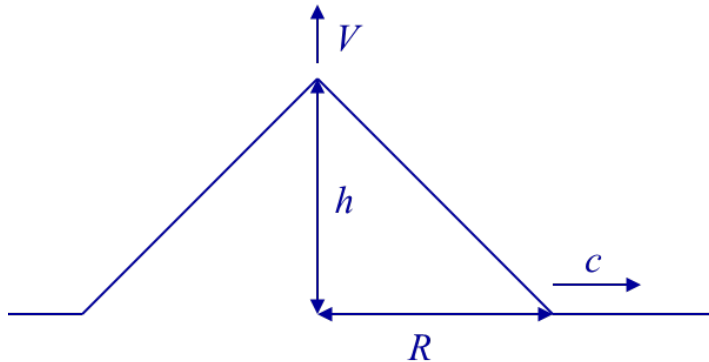


- *Fabric a limiting case of a spring system*
  - *Solution to static deflection of fabric sheet*
  - *Determine strains from sheet deflection*
  - *Use the strain to determine force versus deflection*
  - *Use Piola-Kirrhoff stress (stress with respect to the initial configuration)*



J. D. Walker, "Constitutive model for fabrics with explicit static solution and ballistic limit," *Proc. 18<sup>th</sup> Int. Symp. Ballistics*, 2: 1231-1238, Technomic Publishing Co., Lancaster, PA, 1999.

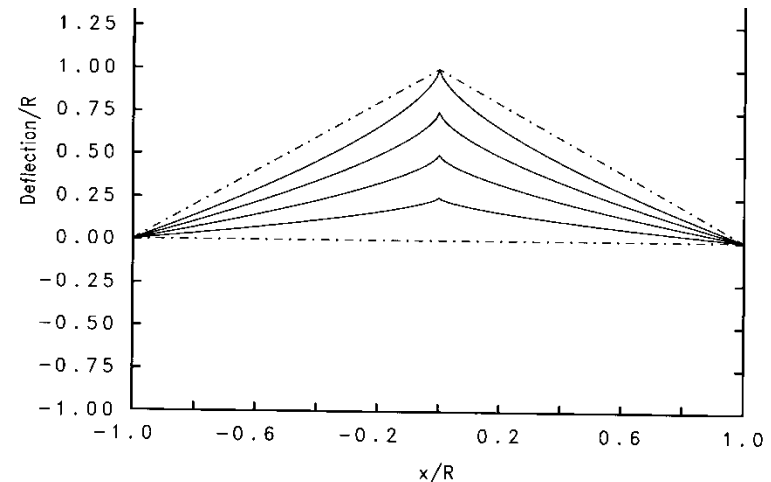
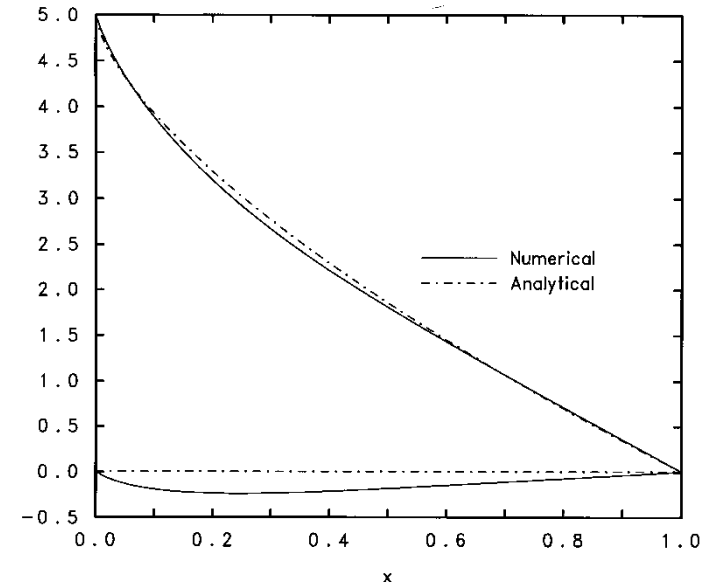
- Develop solution for out-of-plane deformation



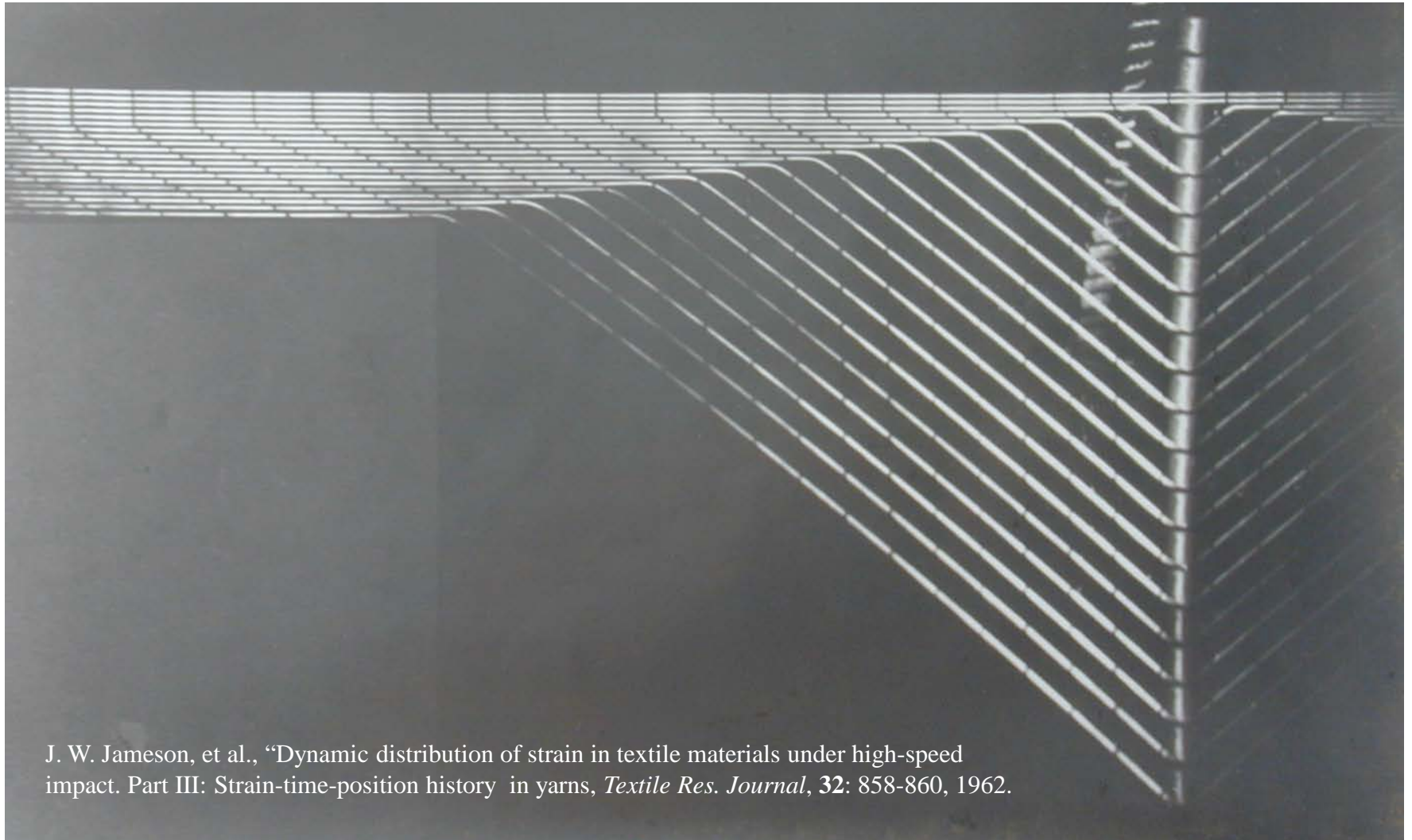
$$u_z = h \left\{ 1 - \sqrt{(x/R)^{4/3} + (y/R)^{4/3}} \right\}$$

$$h \left\{ 1 - (y/R)^{2/3} \right\}$$

$$\varepsilon_x = \frac{2}{9} (h/R)^2 (R/x)^{2/3}$$



# *Yarn Impact (Jameson, 1957)*



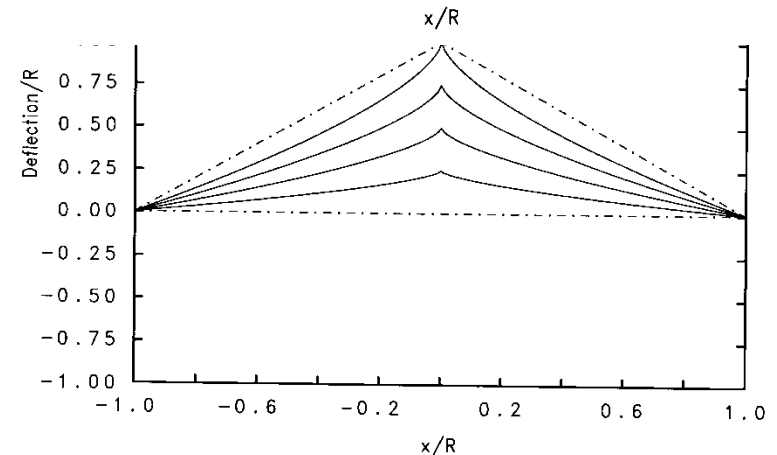
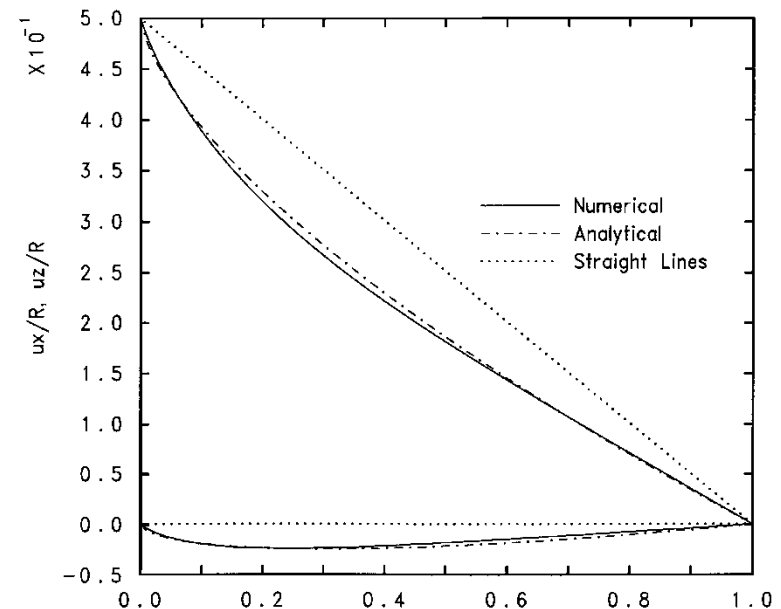
J. W. Jameson, et al., "Dynamic distribution of strain in textile materials under high-speed impact. Part III: Strain-time-position history in yarns, *Textile Res. Journal*, **32**: 858-860, 1962.

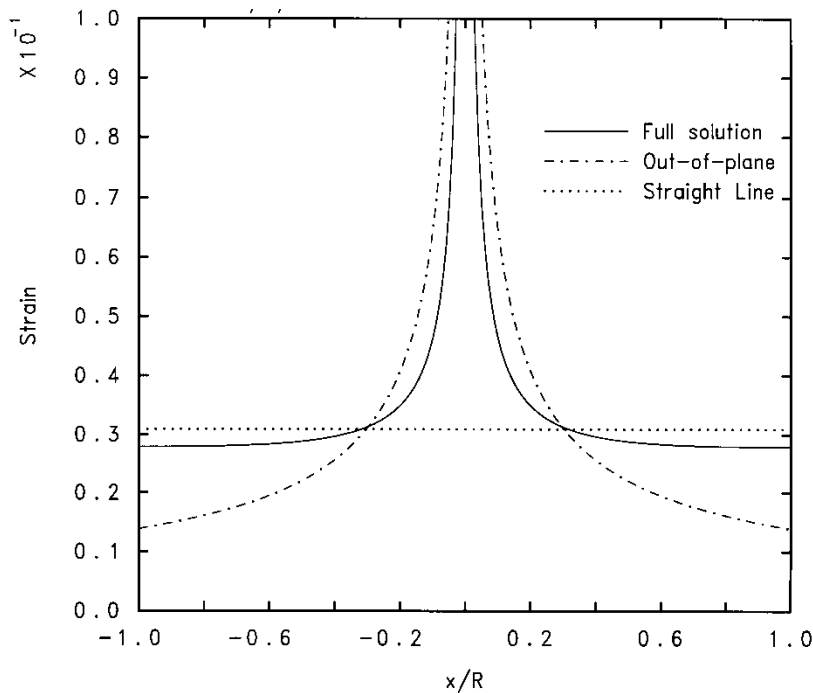
- Developed an equation for in-plane motion

$$u_x(x,y) = -\frac{2}{3} \frac{h^2}{R} \left\{ 1 - (y/r)^{4/3} \right\}^{1/4} \left\{ \left( 1 - (y/R)^{2/3} \right) \left[ 1 - \frac{x/R}{\left\{ 1 - (y/R)^{2/3} \right\}^{3/4}} \right] - \left( 1 - \sqrt{(x/R)^{4/3} + (y/R)^{4/3}} \right) \right\}$$

$$u_x = -\frac{2}{3} \frac{h^2}{R} \left\{ \left( \frac{x}{R} \right)^{2/3} - \frac{x}{R} \right\}$$

J. D. Walker, "Constitutive model for fabrics with explicit static solution and ballistic limit," *Proc. 18<sup>th</sup> Int. Symp. Ballistics*, **2**: 1231-1238, Technomic Publishing Co., Lancaster, PA, 1999.





- *Analytic solution allows calculation of strain*

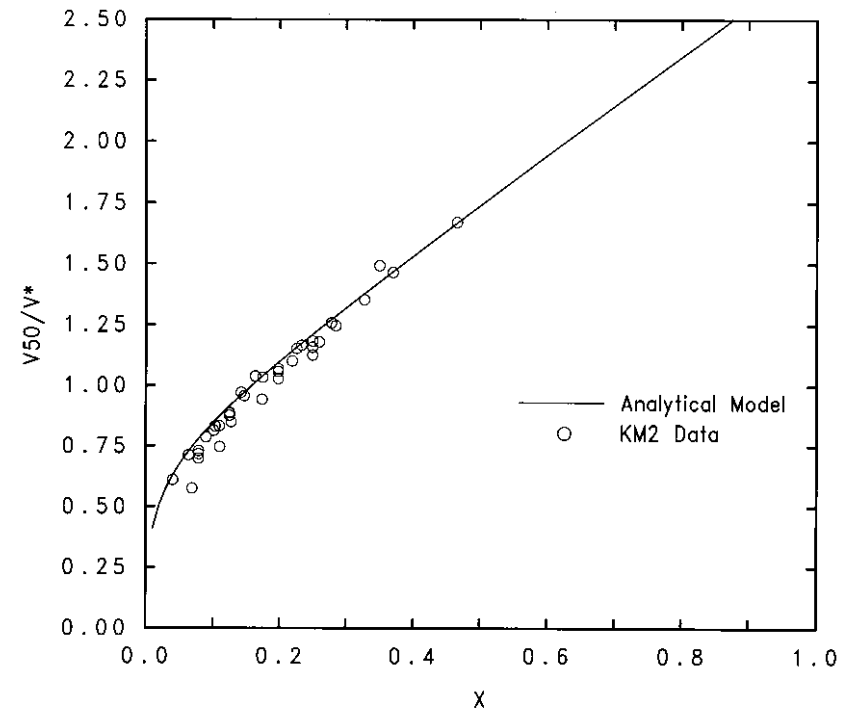
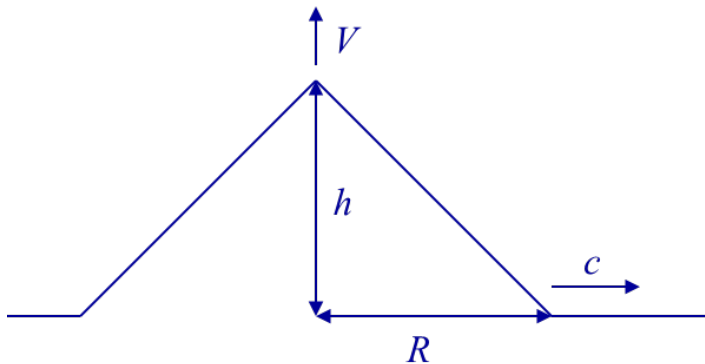
- *Strain along edge*

$$\varepsilon_x \approx \frac{2}{9} \left( \frac{h}{R} \right)^2 \left\{ \left( \frac{R}{x} \right)^{2/3} - 2 \left( \frac{R}{x} \right)^{1/3} + 3 \right\}$$

- *Strains from out of plane solution differ from total solution*

# Calculate $V_{50}$

- Need to know how fast the tent is expanding under the impact (how much fabric is involved in the impact)
- Set deceleration of projectile and fabric equal to the force



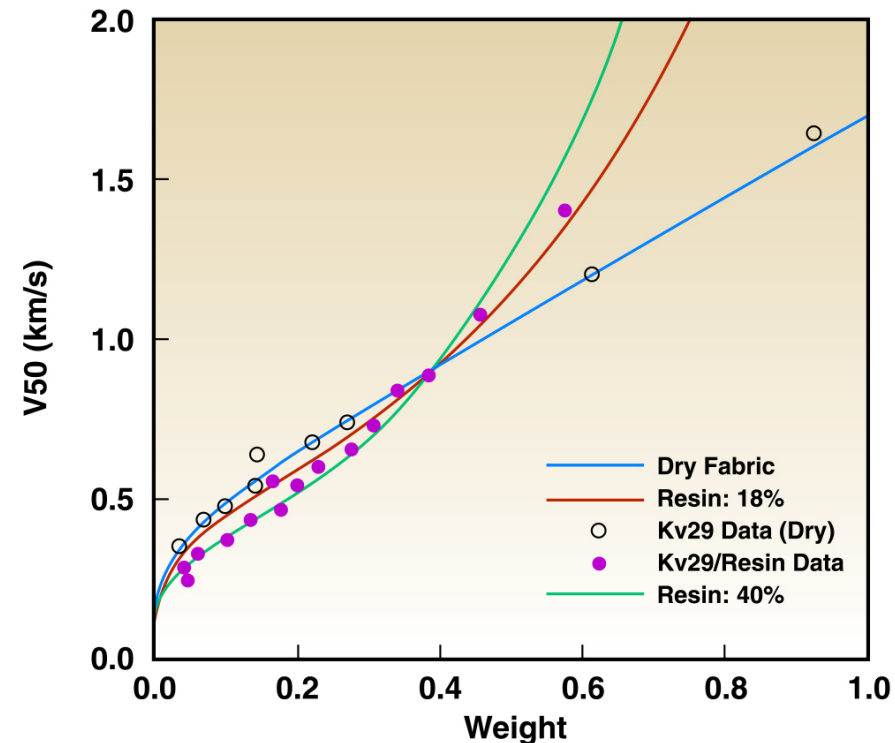
J. D. Walker, "Constitutive model for fabrics with explicit static solution and ballistic limit," *Proc. 18<sup>th</sup> Int. Symp. Ballistics*, 2: 1231-1238, Technomic Publishing Co., Lancaster, PA, 1999.





# Addition of Resin to Fabrics

- For same areal density, addition of resin means removal of fabric (loss of tensile strength)
- Resin adds bending moment to response, thus increasing strength
- Addition of resin increases shear wave speed, thus reducing the strain
- Harder composite deforms projectile
- Fabrics held in resin may now shear



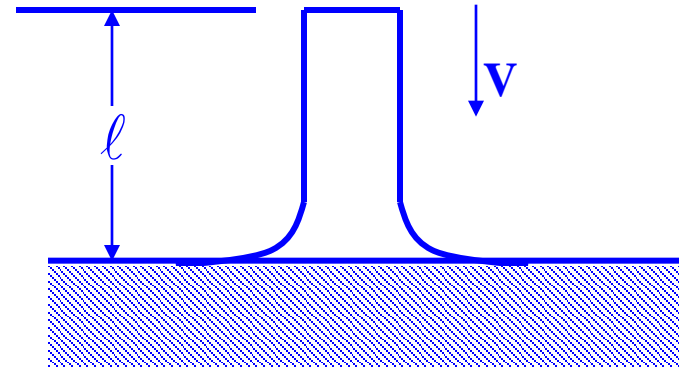
J. D. Walker, "Ballistic limit of fabrics with resin," *Proc. 19<sup>th</sup> Int. Symp. Ballistics*, 3: 1409-1414, Interlaken, Switzerland, 2001.

# *Dwell and Dwell-Penetration Transition*



$$\rho_p \ell \frac{dv}{dt} = -Y_p$$

$$\frac{d\ell}{dt} = -(v - u)$$



for dwell  $u = 0$

C. E. Anderson, Jr. and J. D. Walker, "An analytic model for dwell and interface defeat," *Int. J. Impact Engng.*, **31**(9): 1119-1132 (2005)

$$\rho_p \ell \frac{dv}{dt} = -Y_p, \quad \frac{d\ell}{dt} = -v$$

Can be solved simultaneously and integrated:

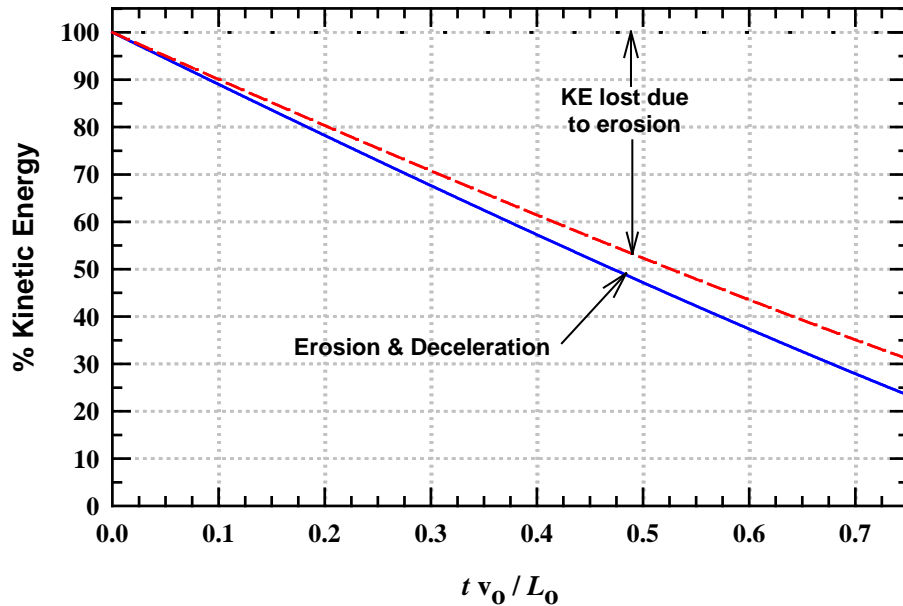
$$\frac{1}{2} \rho_p (v^2 - v_o^2) = Y_p \ln(\ell / \ell_o)$$

After some rearranging

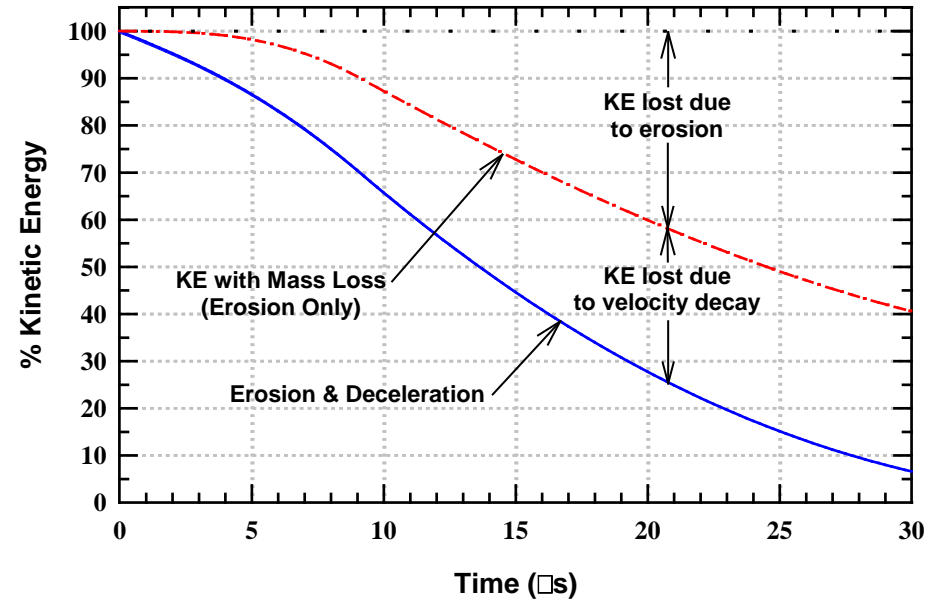
$$KE \equiv \frac{1}{2} \rho_p \ell v^2 = \frac{1}{2} \rho_p (\ell - \ell_o) v_o^2 + Y_p \ell \ln(\ell / \ell_o) + KE_o$$

# Analytic Dwell Model-2

$$\frac{1}{KE_0} \frac{d KE}{dt} = - \frac{v}{\ell_0} \left\{ \underbrace{1 + \frac{Y_p}{\frac{1}{2} \rho_p v_0^2} \ln(\ell / \ell_0)}_{\text{erosion}} + \underbrace{\frac{Y_p}{\frac{1}{2} \rho_p v_0^2}}_{\text{deceleration}} \right\}.$$

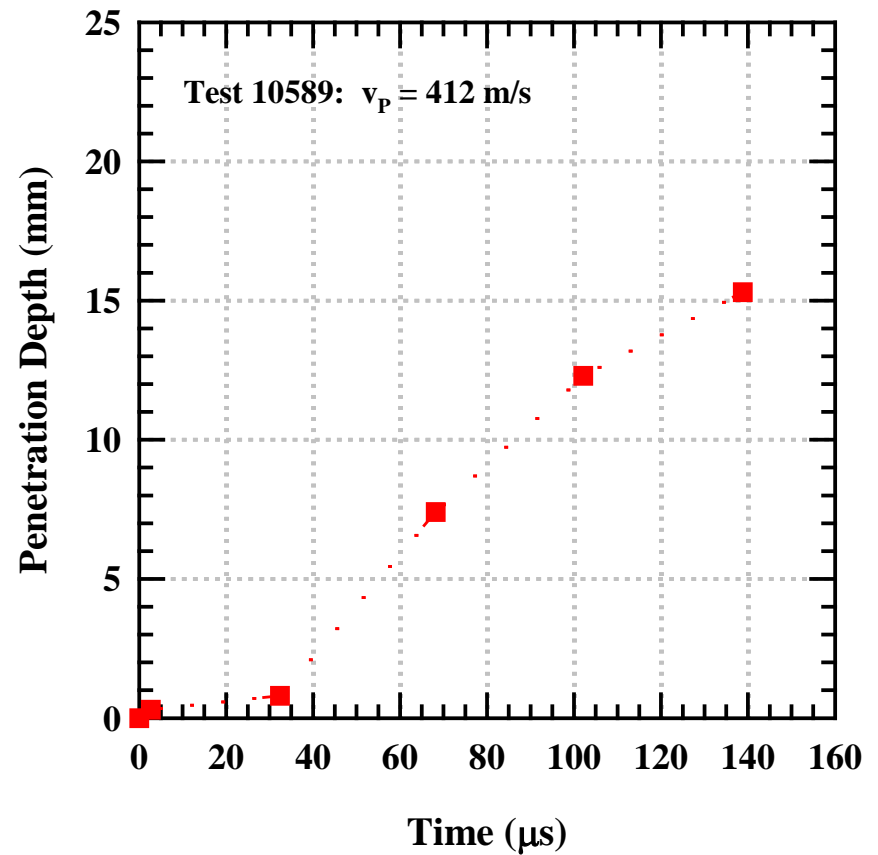
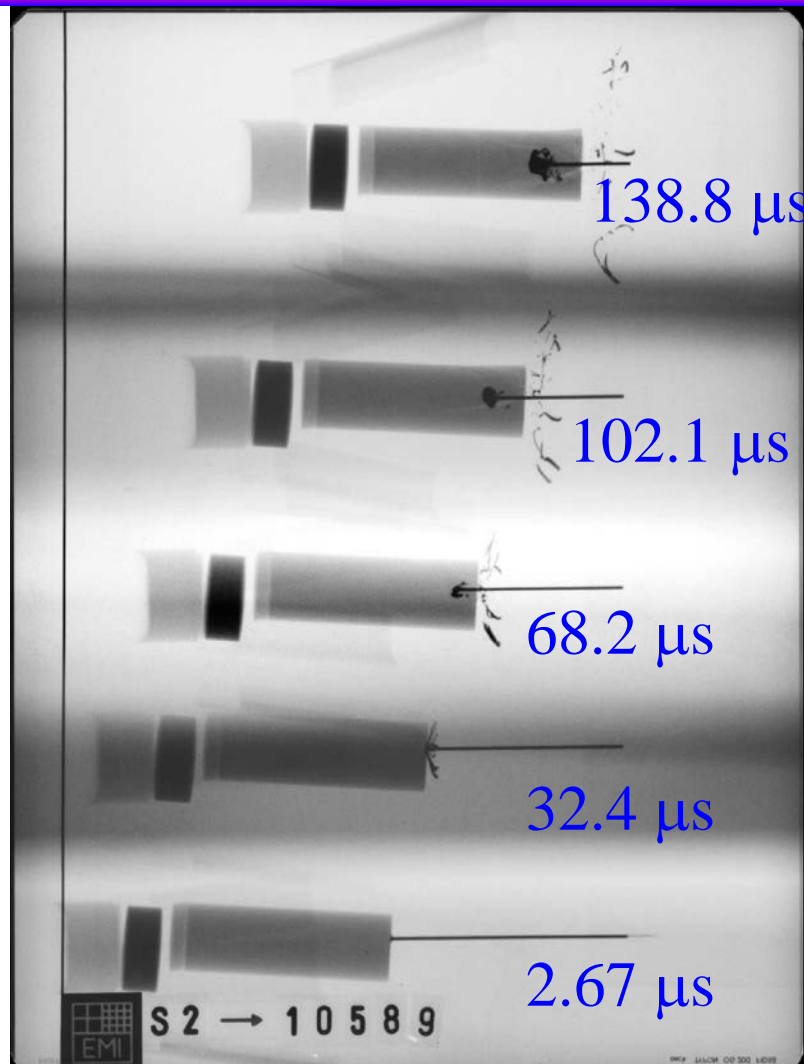


Long Rod



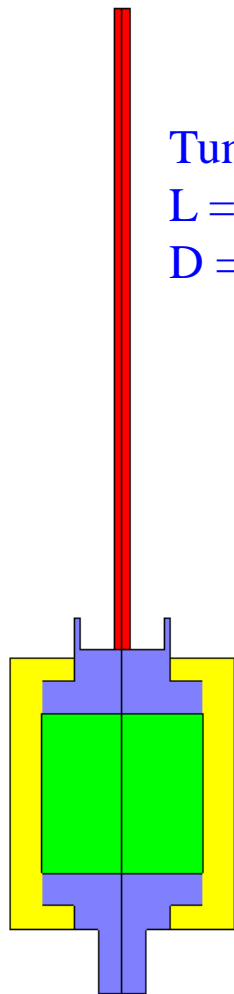
Armor Piercing

# Dwell-Penetration Transition

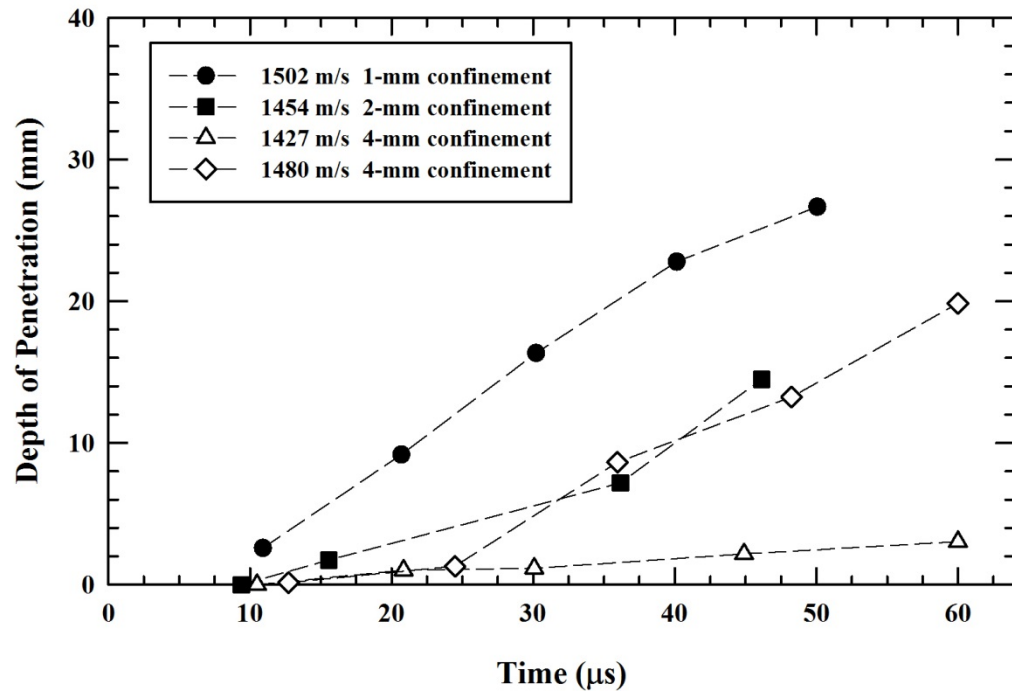


Borosilicate glass

# Confined $B_4C$ Experiments

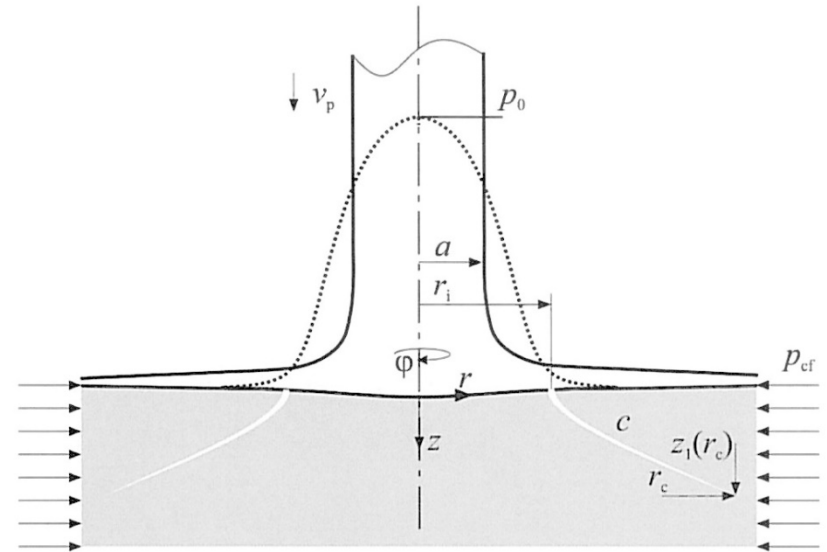


Tungsten projectile  
L = 80 mm  
D = 2 mm



L. Westerling, P. Lundberg, and B. Lundberg, "Tungsten long-rod penetration into confined cylinders of boron carbide at and above ordnance velocity," *Int. J. Impact Engng.*, **25**(7): 703-714, 2001.

- Lundberg and colleagues have been studying interface defeat for a number of years (1998-present)
- Modeled the pressure distribution,  $P$ , of the projectile on the surface
  - Radial distribution from low-velocity water jet results
  - $\alpha \ll 1$ ; ratio of elastic to inertial effects
  - $\beta \ll 1$ ; ratio of plastic to inertial effects
  - $K_p$  = bulk modulus;  $q_p$  = Bernoulli pressure;  $V_o$  = impact speed



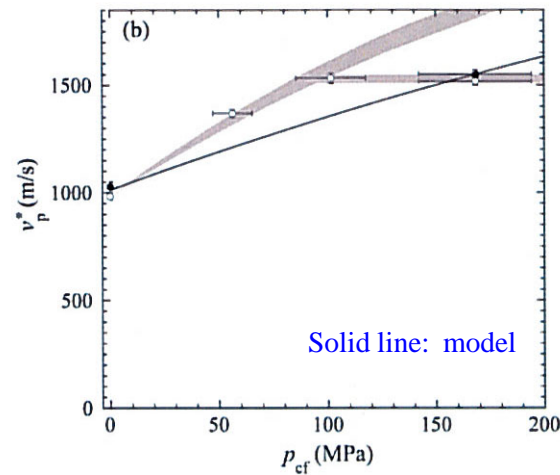
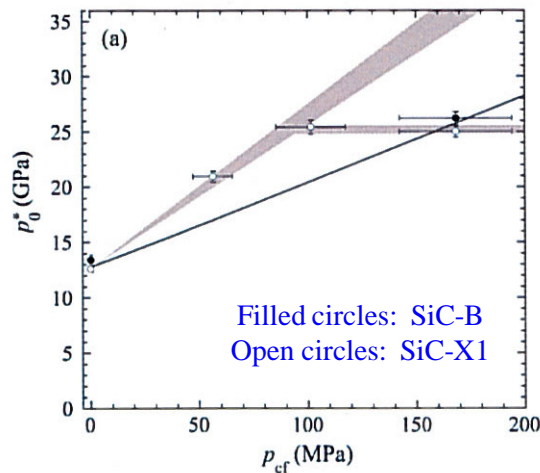
$$P(r, \alpha, \beta) = q(r) \left[ 1 + \frac{1}{2\alpha} + 3.0\beta - 1.6\beta^2 \right]$$

$$\alpha = \frac{K_p}{q_p} \quad \beta = \frac{\sigma_{yp}}{q_p} \quad q_p = q(0) = \frac{1}{2} \rho_p V_o^2$$

P. Lundberg, R. Renström, and O. Andersson, "Influence of length scale on the transition from interface defeat to penetration in unconfined ceramic targets," *J. Appl. Mech.*, **80**: 031801-1/9, 2013.



- First estimated transition velocity by equating the maximum load per unit area with the shear yield strength of the ceramic material
- Subsequent work used fracture mechanics to estimate the critical stress,  $P_0^*$  to drive a crack, first for an unconfined target, then a target with applied prestress,  $P_{cf}$

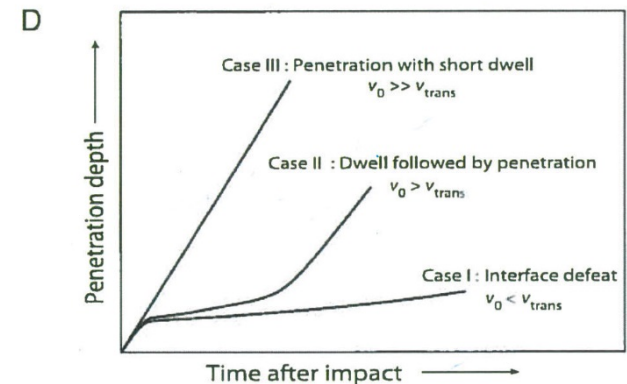
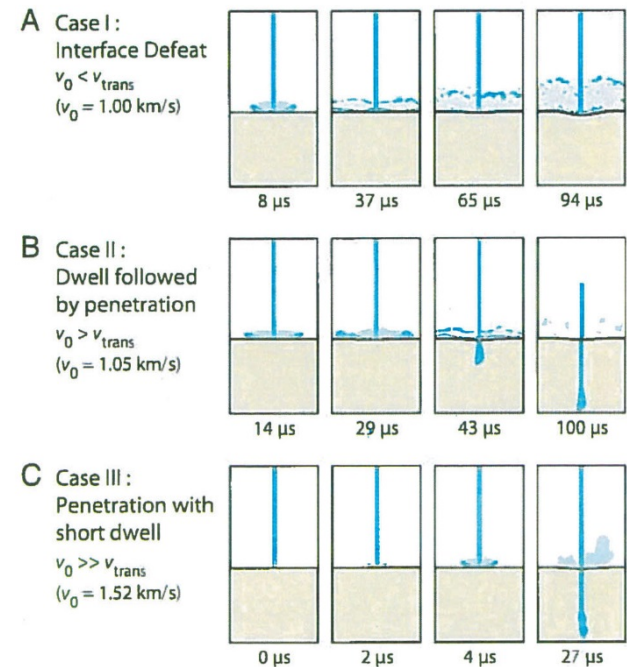


Solid line: model estimate  
Gray shading: possible two-mode behavior

P. Lundberg, R. Renström, and O. Andersson, "Influence of confining prestress on the transition from interface defeat to penetration in ceramic targets," *Defence Technology*, in press, 2016.

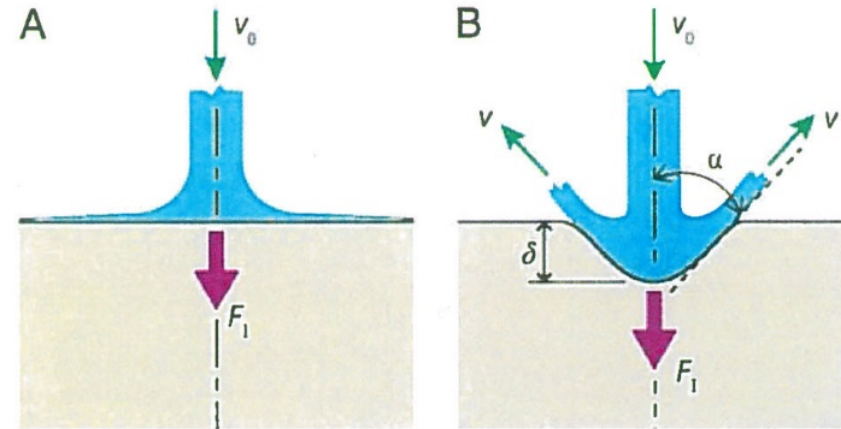
# Three Regimes of Penetration

- Case 1.  $V_o < V_T$  : Interface defeat
- Case 2.  $V_o > V_T$  : Dwell followed by penetration
- Case 3.  $V_o \gg V_T$  : Short dwell followed by penetration



T. Uth and V. S. Deshpande, "Unsteady penetration of a target by a liquid jet," *PNAS*, **110**(50): 20028-33, 2013

- *Unsteady penetration rate due to impact of a fluid jet with velocity  $V_o$*
- *During initial stages of jet impact, the target surface is flat and the fluid spreads horizontally*
- *As the jet deforms the target and penetrates at depth  $\delta$ , it creates a dimple at the impact site*
- *Flow pattern changes, resulting in backflow of the fluid with a velocity  $V$  and a consequent increase in the penetration pressure*



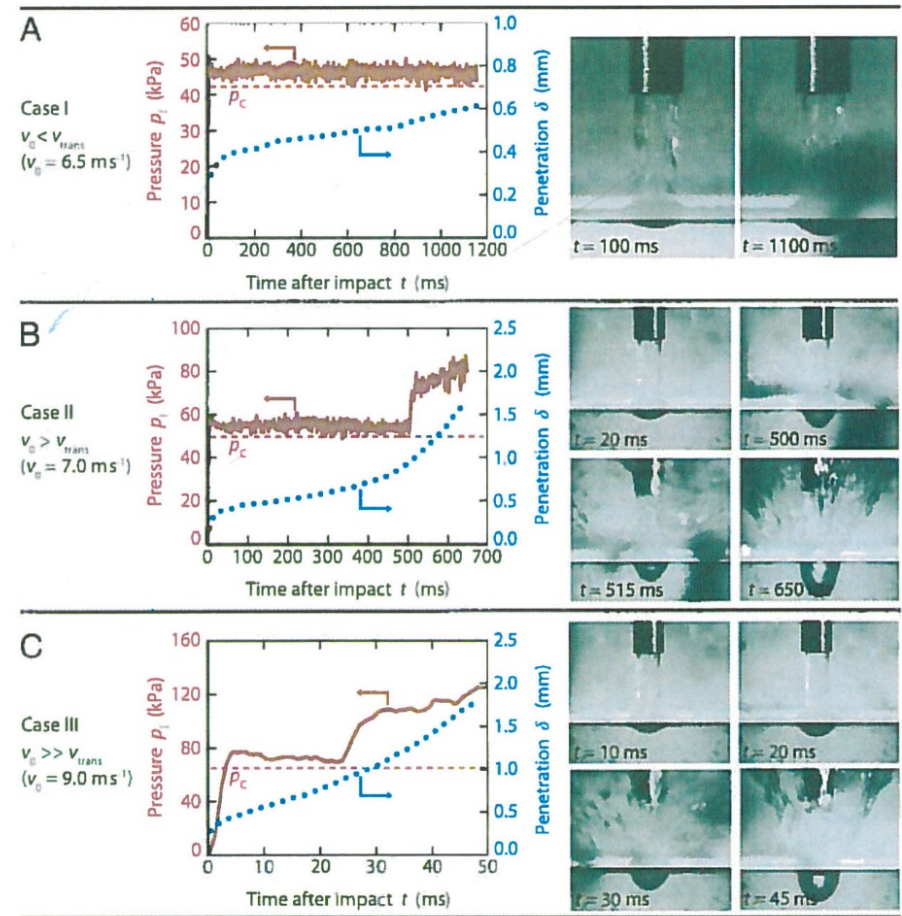
$$P = \frac{F_I}{A_{jet}} = (1 + \cos \alpha) \rho_{jet} V_o^2$$

T. Uth and V. S. Deshpande, "Unsteady penetration of a target by a liquid jet," *PNAS*, **110**(50): 20028-33, 2013

# Experiments: 2-mm-diameter Water Jet on Vacuum Grease

## ■ Provided experimental evidence

- Case 1.  $V_o < V_T$ : Pressure remains constant; radially flow of jet
- Case 2.  $V_o > V_T$ : Pressure increases sharply at  $t \sim 500 \mu\text{s}$ ;  $\delta \approx r_{\text{jet}}$  penetration rate increases rapidly
- Case 3.  $V_o \gg V_T$ : Measurements and observations closely resemble those of Case 2, but with no discernible dwell phase

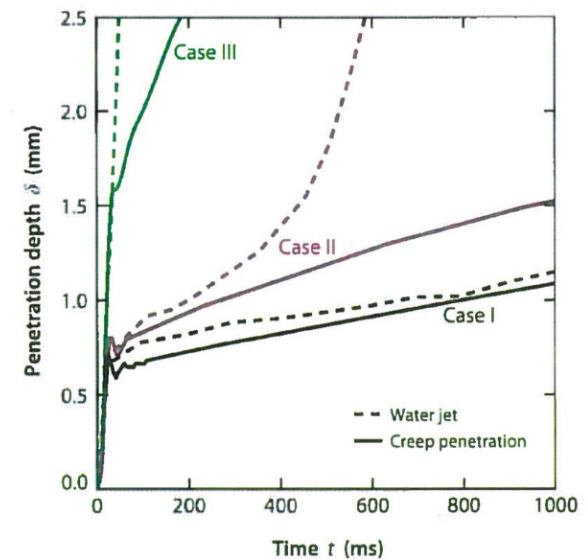
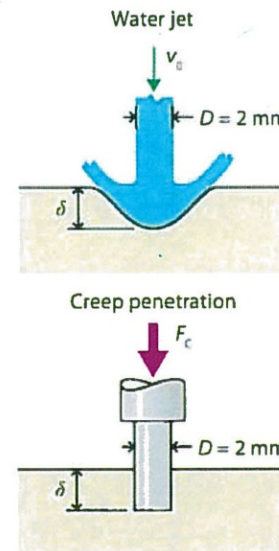


T. Uth and V. S. Deshpande, "Unsteady penetration of a target by a liquid jet," *PNAS*, **110**(50): 20028-33, 2013

$$P = \frac{F_I}{A_{\text{jet}}} = (1 + \cos \alpha) \rho_{\text{jet}} V_o^2$$

# Jet vs. Creep Penetration

- $P_{creep} = \rho_{jet} V_o^2$
- $P = \frac{F_I}{A_{jet}} = (1 + \cos \alpha) \rho_{jet} V_o^2$
- Case 1: 2 experiments give similar response creep
- Case 2: Penetration rate for creep case remains steady; sharp increase in jet penetration rate
- Case 3: Backflow sets in early and penetration rate is higher for the jet compared to creep experiment



T. Uth and V. S. Deshpande, "Unsteady penetration of a target by a liquid jet," *PNAS*, **110**(50): 20028-33, 2013

# *Fluid-Structure Interaction and Dwell-Penetration Transition*



- *Demonstrated that backflow of impacting jet, rather than any damage to the target material, can cause unsteady penetration*
- *Experimentally, damage observed in ceramics that show interface defeat*
- *Damage and backflow are not mutually exclusive*
- *Impacting jet needs to penetrate to a depth of approximately the jet radius before backflow can be established*
- *Brittle targets such as ceramics must have some damage to permit penetration to half of the projectile radius*
- *Backflow then acts like a switch, doubling the pressure, and amplifying the penetration rate*

# *Summary*



- *Understanding is displayed by how well we can model*
- *Accuracy versus trends:*
  - *many difficult problems, accuracy is subjective*
  - *but if cannot get trends right, then do not understand*
- *Modeling*
  - *materials response (constitutive) models*
  - *numerical simulations*
  - *analytical models*



- *If you can model the phenomenology, it demonstrates a certain level of understanding*
- *Of course, we have to be careful that we are truly modeling, and not simply curve fitting (adjusting parameters)*
- *That's why in penetration mechanics modeling, need to verify the ability to predict at different velocities, different geometries, and different materials*

*If have numerical simulations, why the need for analytical models?*

- *If we can develop an analytical model that captures the essence of the phenomenology:*
  - *Not only demonstrated that we understand*
  - *Also demonstrates that we have grasped the essential and relevant mechanics of the phenomenology*
- *Now have a tool for predictions, design studies, optimization, etc., that is fast running compared to numerical simulations*

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*THE END*